



DISTRICT OF COLUMBIA  
PUBLIC SCHOOLS

Office of Specialized Instruction

# School Year 2014-2015

## Speech and Language Program Guidebook

Updated August 15, 2014

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## SECTION I

### Introduction

#### A. A CAPITAL COMMITMENT

##### *Better Schools for All Students by 2017*

The years 2012 to 2017 will be a time of dramatic progress for the District of Columbia Public Schools. With this strategic plan, we recommit DCPS to providing every student with a safe, academically challenging, and inspiring learning experience.

DCPS' five-year strategic plan, *A Capital Commitment*, provides a roadmap for building DCPS into a high-quality, vibrant school district that earns the confidence of our community. The plan defines an overarching ***purpose*** as well as ***five goals*** that will guide DCPS' work through 2017. Our ***stakeholder commitments*** reflect our promises to the community and underscore our dedication to improving the quality of education in the District.

**OUR PURPOSE** is to ensure that every DCPS school provides a world-class education that prepares ALL of our students, regardless of background or circumstance, for success in college, career, and life.

**OUR GOALS** are ambitious. Based on the hopes and dreams of DCPS stakeholders, these goals will help us align our resources and measure our success.

#### **GOAL 1: Improve Achievement Rates**

At least **70%** of our students will be **proficient in reading and math**, and we will **double the number of advanced students** in the district.

In 2011–12, DCPS launched a new, rigorous academic plan aligned to the Common Core State Standards. This plan is designed to prepare all students for success and accelerate student achievement. To improve achievement rates, DCPS will:

- Continue to invest in high-quality instruction by rewarding our highly effective teachers and principals.
- Provide professional development driven by student performance data and teacher needs.
- Increase investments to improve In-Seat Attendance (ISA), decrease tardiness and truancy, reduce bullying, and improve student wellness.
- Invest in new gifted and talented programs and continued expansion of International Baccalaureate and Advanced Placement programs.

#### **GOAL 2: Invest in Struggling Schools**

Our **40 lowest-performing schools** will **increase proficiency rates by 40 percentage points**.

DCPS is committed to investing in our 40 lowest-performing schools, which serve large populations of students who need extra support, including low-income students, English language learners, and students with special needs. To help accelerate achievement, DCPS will:

- Offer the Proving What's Possible grant to low-performing schools that are improving instruction, extending learning time, and making targeted technology investments.
- Invest in the teachers, principals, and staff who interact with students every day. We will continue to recruit and retain highly effective educators with a focus on placing these educators in our 40 lowest-performing schools.

### **GOAL 3: Increase Graduation Rate**

At least **75% of entering 9th graders** will **graduate** from high school in **four years**. To ensure that more students graduate on time, DCPS will leverage technology and provide targeted support to secondary schools. For example, through an electronic portfolio, students in grades 6–12 will discover their interests, set goals, and create a thoughtful plan for high school and beyond. DCPS will also:

- Provide targeted resources to schools with low promotion rates for first-time 9th graders, including an intensive summer bridge program.
- Invest in an Early Warning Intervention system so we can identify students who need support to graduate on time.
- Explore new ways to make the high school experience vibrant and relevant.

### **GOAL 4: Improve Satisfaction**

90% of students will say they like their school.

Academic achievement begins with engagement. DCPS is committed to ensuring that our students enjoy school and treasure their educational experiences. Our schools will:

- Employ dedicated staff who make meaningful connections with students.
- Provide a rich and varied educational experience that includes art, music, and physical education.
- Offer safe and modern facilities, quality meals, and current technology.
- Welcome families and encourage them to participate in their children's education.

### **GOAL 5: Increase Enrollment**

DCPS will increase its enrollment over five years.

As enrollment increases, DCPS will be able to expand the range of courses and experiences offered at each school to make DCPS the system of choice for more residents. To expand enrollment in the coming years, DCPS will:

- Prepare to serve all students, including special education students who are returning to DCPS from non-public placements.
- Continue to use targeted recruitment to raise the profile of high-performing schools. As part of this effort, DCPS will continue to make school performance data easily accessible for families.
- Continually improve the quality of education at every school to attract new families to DCPS.

## **B. DCPS OFFICE OF SPECIALIZED INSTRUCTION (OSI) STRATEGIC PLAN**

In the spring of 2011, the DC Public Schools (DCPS) Office of Specialized Instruction (OSI) embarked on a strategic planning process—a process that was at times challenging, other times revealing, but mostly reinvigorating. I believe that we are more focused than ever and have emerged with a results-driven plan that ensures we are making the best decisions for our students.

Foremost to our strategic plan is our vision: to be the district of choice for students with disabilities. We will achieve this vision by focusing on building the capacity of our schools to ensure that they have the systems, supports, tools, and well-trained staff to address the needs of our students with disabilities, allowing them to access education in their neighborhood schools alongside their typically developing peers. We must also collaborate with our partners within DCPS and throughout the District to develop clear policies and processes for delivering high-quality instruction and supports to improve the academic achievement of our students with disabilities.

### **OFFICE OF SPECIALIZED INSTRUCTION (OSI) CORE BELIEFS**

- We believe that all children, regardless of background or circumstance, can achieve at the highest levels.
- We believe that achievement is a function of effort, not innate ability.
- We believe that we have the power and the responsibility to close the achievement gap.
- We believe that our schools must be caring and supportive environments.
- We believe that it is critical to engage our students' families and communities as valued partners.
- We believe that our decisions at all levels must be guided by data.

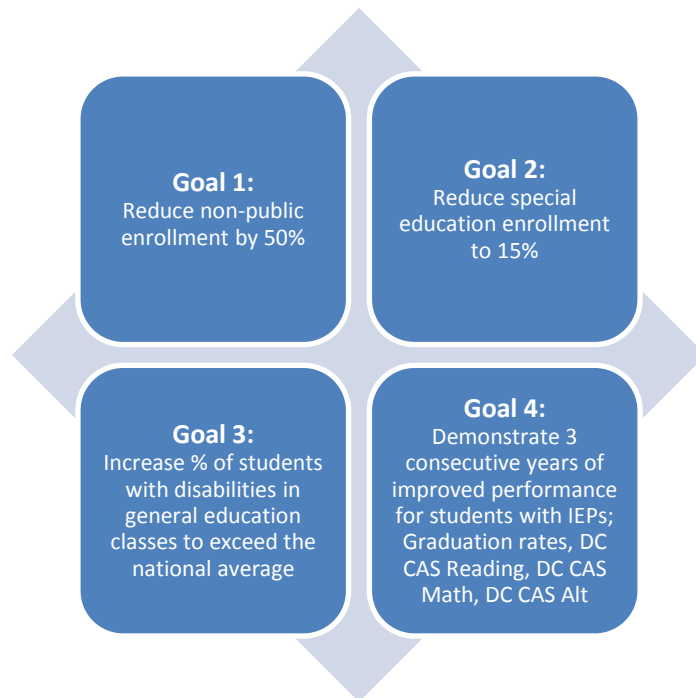
### **DCPS CORE Initiatives**

The following are the core initiatives of DCPS:

- Build neighborhood School Capacity
- Manage Non-Public Enrollment and Costs
- Expand Early identification
- Provide Effective Student Supports
- Prioritize Academic Achievement
- Create a Culture of Inclusiveness

**C. OSI's STRATEGIC GOALS**

By setting these goals, we are making a commitment to improve the ways and means that we support our special needs students, and we are holding ourselves accountable for that improvement. We have identified four strategic goals for the next four years on the path to realizing our vision:



<b>Reduce non-public enrollment by 50%.</b>	DCPS believes that students with disabilities will be better served in their neighborhood schools, closer to home, where they will have more opportunities to interact with their typically developing peers.
<b>Reduce special education enrollment to 15%.</b>	DCPS believes that early identification and intervention will ensure that students with disabilities have the tools and resources to overcome barriers to academic achievement when given the appropriate level of services at the appropriate time in the appropriate setting.
<b>Increase % of students with disabilities in general education classes to exceed the national average.</b>	Students with disabilities should receive supports and services that will help them to success alongside their peers in a general education classroom whenever possible, as data shows that all students in inclusive settings better educational and social outcomes.
<b>Demonstrate 3 consecutive years of improved performance for students with IEPs; Graduation rates, DC CAS Reading, DC CAS Math, DC CAS Alt.</b>	Prioritizing academic achievement fosters independence and ensures that students with disabilities will have access to greater opportunities in their postsecondary careers comparable to their typically developing peers.



**D. RELATED SERVICES TEAM VISION**

To increase the independence of every student in our schools by giving them the strategies and skills they need to be successful in the classroom and their community. We collaborate with parents, students, schools and other stakeholders to provide services that are timely and tailored to the unique needs of each student and are provided in conjunction with classroom instruction.

**RELATED SERVICES TEAM GOALS AND RELATIONSHIP WITH THE OFFICE OF SPECIALIZED INSTRUCTION (OSI) STRATEGIC GOALS**

The related services team has three goals to achieve over the next three years:

- ❖ 90% of providers achieve score of 3.0 on assessment quality section of IMPACT in three (3) years
  - OSI Strategic Goals: 1, 2, 3 and 4
- ❖ Increase delivered services from 45% to 90% by showing evidence of due diligence efforts.
  - OSI Strategic Goals: 1, 3 and 4
- ❖ 100% of RSPs are trained and implementing evidence based interventions (RTI, inclusionary practices, pilots, consultation)
  - OSI Strategic Goals: 1, 2, 3 and 4

### **E. SPEECH AND LANGUAGE PATHOLOGY MISSION**

The mission for DCPS Speech and Language Pathologists is to identify and provide therapeutic intervention for students with communication disorders so the students can participate as fully as possible in their academic setting.



### **CONTACT NUMBERS FOR SPEECH AND LANGUAGE PATHOLOGY DEPARTMENT**

Office of Special of Education  
1200 First Street, NE  
9<sup>th</sup> Floor  
Washington, DC 20002  
(202) 442-4800

Speech, Language & Hearing Department Office  
Wilkinson Elementary School  
2320 Pomeroy Road, SE  
Room 253 (Kenyetta)  
Room 218 (Tiffany)  
Washington, DC 20020  
(202) 673-8363

Kenyetta M. Singleton, M.S., CCC-SLP  
Program Manager  
Cell (202) 907-7682  
fax (202) 654-6099  
Office Hours: Fridays, by Appointment

Tiffany A. White, M.Ed., CCC-SLP  
Program Manager  
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fax (202) 535-1391  
Office Hours: Mondays, 12 PM – 4 PM

## SECTION II

### General Guidelines and Procedures

#### PURPOSE

To guide the provision of Speech and Language Pathology services in order to support the educational goals of eligible students with disabilities in the District of Columbia Public Schools (DCPS). It is designed with the purpose of assuring that all Speech-Language Pathologists (SLPs) in the District of Columbia Public Schools (DCPS) operate from the same premise, utilize the same procedures and guidelines and are uniform in presentation.

This guidebook is written for special education administrators, school personnel responsible for 504 Plans, Individualized Service Plans (ISPs), and providers of speech and language services. In addition, it may benefit parents, teachers, and other professionals. The elements contained within this guidebook are designed to provide optimal school-based interventions as part of a Free Appropriate Public Education (FAPE) in the Least Restrictive Environment (LRE), following IDEA 2004; while simultaneously maximizing equal access to Speech-Language Pathologists for all of the District of Columbia Public Schools students. Implicit within this document are the following core principles:

- The criteria for eligibility must include both the presence of a composite depressed score and documented impact on the student's access to the academic curriculum
- Services should not be instituted until accommodations have been implemented and given a chance to work
- The intensity and modalities of interventions should dwindle over time
- The default delivery service need not be 1:1, unless otherwise required and justified, as applicable, by the clinician
- Discharge from services should be stated at the first IEP meeting as a desirable and celebrated outcome and not a denial of services; discharge may, and should, occur at any time in the process.

DCPS regulates the practice of Speech-Language Pathology services to the students in public schools of the District of Columbia while the Department of Health, Board of Audiology and Speech-Language Pathology regulates the practice of speech language pathologists. In this guidebook, providers will find guidelines, procedures, suggestions and ideas that should be used on a daily basis to guide them in assuring a high level of professional services for all students and invested stakeholders.

This guidebook is structured according to the Table of Contents above. Appendices are attached with additional useful resources.

This guidebook replaces any guidebook introduced previously. Providers should expect to receive supplemental policy and procedure documents and training throughout the 2014-2015 school year.

## A. DUTIES & RESPONSIBILITIES

Each week, Speech Language Pathologists are expected to complete activities such as attending meetings (including but not limited to Student Support Team (SST), Student Evaluation Plan (SEP), Multidisciplinary Team (MDT), school-wide, staff, case conference, professional development), providing assessment and intervention services, completing student observations, consulting with others (including but not limited to staff, parents, other service providers, etc...), writing assessment reports, making phone calls to parents, completing "EasyIEP" duties, and various other activities that are necessary to perform as a speech language pathologist. (Please see DCPS OSI job descriptions on the subsequent pages for specific details.)

### ET-11 Speech Language Pathologist Job Description

#### **INTRODUCTION**

This position is located in the District of Columbia Public Schools Office of Specialized Instruction.

#### **TOUR OF DUTY**

Tour of duty is from 8:00 am until 4:30 pm for a 12-month timeline. An extended tour of duty may be inclusive of central office assignments, summer school assessments, compensatory education services, extended school year services, non-public assessment completions, HOD/SA specifications and extra duty cases which extend beyond the regular school day hours.

#### **DUTIES AND RESPONSIBILITIES**

*The below statements are intended to describe the general nature and scope of work being performed by this position. This is not a complete listing of all responsibilities, duties, and/or skills required. Other duties may be assigned.*

- The incumbent provides direct and indirect clinical services to students who have been diagnosed with an academically based communication disorder in areas of articulation, language, voice and/or fluency.
- The incumbent completes diagnostic assessments as a means of determining the presence/absence of a specific communication disorder, which adversely impacts on academic progress.
- Serves as a resource to school staff members in the development of a balanced program for oral communication and speech, language and literacy development.
- Provides direct/indirect service to students diagnosed with a swallowing disorder as it

relates to the educational environment.

- Provides an implemented therapeutic program to meet individual needs of students with a diagnosed communication impairment.
- Assists and guides teachers in observing, describing and referring suspected and identified speech and language impairments.
- Assists in proper referrals of students to agencies and specialists in the community as appropriate.
- Provides appropriate individualized programs of therapy to meet individual students' needs and correct existing speech or language impairments.
- Provides a comprehensive assessment and diagnosis of speech, voice, and language impairments.
- Keeps thorough records for each student receiving therapy or other school-provided speech-language services.
- Compiles case history data on those cases where additional family history, health history, and early developmental history are deemed appropriate.
- Conducts speech, language and hearing screenings.
- Coordinates assistive technology support services.
- Participates/lends to child study committees.
- Supervises support personnel/ Speech-Language Pathology Clinical Fellows
- Monitoring guidelines, timelines, and completion of student assessments, evaluations, IEP development and renewals according to federal, state, and district guidelines for students with Speech only on IEPs
- Reviewing Hearing Officer Decision/Settlement Agreements requirements, determines assessment needs, and ensures compliance by deadline and standards guidelines for students with Speech only on IEPs
- Monitoring student's records for accuracy and compliance guidelines for students with IEPs with only speech and language goals/objectives

### **MINIMUM QUALIFICATIONS**

- Master's degree (M.A./M.S.) in Communication Sciences and Disorders or Speech-Language Pathology.
- Eligible for ASHA Certificate of Clinical Competence in Speech-Language Pathology
- District of Columbia Certification as a School Speech-Language Pathologist, which must be maintained throughout employment with DC Public Schools.

### **KNOWLEDGE REQUIRED BY THE POSITION**

- Ability to read, analyze, and interpret general business periodicals, professional journals, technical procedures, or government regulations.
- Ability to write reports, business correspondence, and procedural manuals.
- Ability to effectively present information and respond to questions from groups of managers, clients, customers, and the general public.
- Ability to apply concepts such as percentages, ratios, confidence intervals and proportions to practical situations.
- Ability to solve practical problems and deal with a variety of concrete variables in situations where only limited standardization exists.
- Ability to interpret a variety of instructions furnished in written, oral, diagram, or schedule form.
- Ability to write reports and correspondence and enter and extract data in electronic form.
- Ability to work well in a team environment.
- Knowledge of the procedures and the operations of District of Columbia Public Schools and other agencies of the District of Columbia government.

### **SUPERVISORY CONTROLS**

The incumbent works under the broad general direction and guidance of the Director for Related Services and Non-School Based Programs and specifically under the direction and guidance of the Program Managers of Speech Language Programs. The incumbent consults with the Program Managers of Speech Language Program services on critical

problems relative to DCPS divisions and departments, and their compliance with the Individuals with Disabilities Education Act of 2004 and any and all future reauthorizations of the Individuals with Disabilities Education Act.

### **GUIDELINES**

Guidelines include but are not limited to the following: Codes of Federal Regulations, the District of Columbia Board Rules, and District of Columbia Public Schools Guidelines.

The incumbent exercises judgment in determining what guidelines are applicable, in interpreting these, guidelines and in deciding what matters to discuss with the Program Managers of Speech and Language Programs.

### **COMPLEXITY**

The nature of assignments is complex; therefore, the incumbent is expected to identify the area of difficulty and suggest/discuss means and methods to overcome those complexities. The incumbent is expected to use originality and ingenuity in overcoming problem areas.

### **SCOPE AND EFFECT**

The purpose of this position is to eliminate, reduce and/or provide compensatory strategies in the area of speech and language development. The speech language pathologist will support the student's ability to derive full benefit from the District's educational program. The impact of such a position is felt throughout the Office of Specialized Instruction Reform in the District.

### **PERSONAL CONTACTS**

Contacts are with employees throughout DCPS, other District government agencies and offices, parents, constituency groups, advocates, attorneys, vendors and other related agencies.

### **PURPOSE OF CONTACTS**

The purpose of the contacts is to encourage support and understanding of DCPS work regarding Special Education as it relates to Speech-Language Pathology, to serve as a link between DCPS and the parent/guardian to coordinate work activities, to exchange and clarify information and resolve special education problems and concerns.

**PHYSICAL DEMANDS**

The physical demands described here are representative of those that must be met by an employee to successfully perform the essential functions of the job. Reasonable accommodations may be made to enable individuals with disabilities to perform the essential functions. While performing the duties of this job, the employee is frequently required to stand, walk, sit, talk and/or listen. The employee must occasionally lift and/or move up to 10 pounds. Specific vision abilities required by this job include close vision, distance vision, color vision, peripheral vision, depth perception, and ability to adjust focus. Specific listening abilities required by this job include acute perceptual and physical listening skills.

**WORK ENVIRONMENT**

The work environment characteristics described here are representative of those an employee encounters while performing the essential functions of this job. Reasonable accommodations may be made to enable individuals with disabilities to perform the essential functions. The noise level in the work environment is usually moderate.

**ET-15 Speech-Language Pathologist Position Description****INTRODUCTION**

This position is located in the District of Columbia Public Schools Office of Specialized Instruction, Department of School Support.

**TOUR OF DUTY**

Tour of duty is from 8:00 am until 3:30 pm for a 10-month timeline. An extended tour of duty may be inclusive of extra duty team assignments, which extend beyond the regular school day.

**DUTIES AND RESPONSIBILITIES**

*The below statements are intended to describe the general nature and scope of work being performed by this position. This is not a complete listing of all responsibilities, duties, and/or skills required. Other duties may be assigned.*

- The incumbent provides direct and indirect clinical services to students who have been diagnosed with an academically based communication disorder in areas of articulation, language, voice and/or fluency.
- The incumbent completes diagnostic assessments as a means of determining the presence/absence of a specific communication disorder, which adversely impacts on



academic progress.

- Serves as a resource to school staff members in the development of a balanced program for oral communication and speech, language and literacy development.
- Provides direct/indirect service to students diagnosed with a swallowing disorder as it relates to the educational environment.
- Provides an implemented therapeutic program to meet individual needs of students with a diagnosed communication impairment.
- Assists and guides teachers in observing, describing and referring suspected and identified speech and language impairments.
- Assists in proper referrals of students to agencies and specialists in the community as appropriate.
- Provides appropriate individualized programs of therapy to meet individual students' needs and correct existing speech or language impairments.
- Provides a comprehensive assessment and diagnosis of speech, voice, and language impairments.
- Keeps thorough records for each student receiving therapy or other school-provided speech-language services.
- Compiles case history data on those cases where additional family history, health history, and early developmental history are deemed appropriate.
- Conducts speech, language and hearing screenings.
- Coordinates assistive technology support services.
- Participates/lends to child study committees.
- Supervises support personnel/ Speech-Language Pathology Clinical Fellows
- Monitoring guidelines, timelines, and completion of student assessments, evaluations, IEP development and renewals according to federal, state, and district guidelines for students with Speech only on IEPs
- Reviewing Hearing Officer Decision/Settlement Agreements requirements, determines assessment needs, and ensures compliance by deadline and standards guidelines for students with Speech only on IEPs

- Monitoring student's records for accuracy and compliance guidelines for students with IEPs that only have speech and language goals/objectives

#### **MINIMUM QUALIFICATIONS**

- Master's degree (M.A./M.S.) in Communication Sciences and Disorders or Speech-Language Pathology.
- Eligible for AHSA Certificate of Clinical Competence in Speech-Language Pathology.

#### **KNOWLEDGE REQUIRED BY THE POSITION**

- Ability to read, analyze, and interpret general business periodicals, professional journals, technical procedures, or government regulations.
- Ability to write reports, business correspondence, and procedural manuals.
- Ability to effectively present information and respond to questions from groups of managers, clients, customers, and the general public.
- Ability to work with mathematical concepts, such as probability and statistical inference, and fundamentals of plane and solid geometry and trigonometry.
- Ability to apply concepts, such as fractions, percentages, ratios, and proportions to practical situations.
- Ability to solve practical problems and deal with a variety of concrete variables in situations where only limited standardization exists.
- Ability to interpret a variety of instructions furnished in written, oral, diagram, or schedule form.
- Ability to write reports, correspondence, enter and extract data in electronic form.
- Ability to work well in a multi-disciplinary team environment.
- Knowledge of the procedures and the operations of District of Columbia Public Schools and other agencies of the District of Columbia government.

### **SUPERVISORY CONTROLS**

The incumbent works under the direction and guidance of the Program Managers of Speech Language Pathology Services. The incumbent consults with the Program Managers of Speech and Language Services on critical problems relative to DCPS divisions and departments, and their compliance with state and federal mandates as they relate to the provision of Speech-Language services. The incumbent receives annual performance assessment reviews ensuring that best practices are regularly implemented.

### **GUIDELINES**

Guidelines include but are not limited to the following: Codes of Federal Regulations (34 CFR Parts 300, 301 and 304), the District of Columbia Board Rules related to the provision of related services, District of Columbia Public Schools Guidelines and the DCPS Speech-Language Eligibility and Dismissal Criteria Standards)

The incumbent exercises judgment in determining what guidelines are applicable, in interpreting these guidelines and in deciding what matters to discuss with the Supervisor of Speech and Language Services.

### **COMPLEXITY**

The nature of assignments is complex; therefore, the incumbent is expected to identify the area of difficulty and suggest/discuss means and methods to overcome those complexities. The incumbent is expected to use originality and ingenuity in overcoming problem areas.

### **SCOPE AND EFFECT**

The purpose of this position is to eliminate, reduce and/or provide compensatory strategies in the area of speech and language development. The speech language pathologist will support the student's ability to derive full benefit from the District's educational program. The impact of such a position is felt throughout the Office of Specialized Instruction in the District.

### **PERSONAL CONTACTS**

Contacts are with employees throughout DCPS, other District government agencies and offices, parents, constituency groups, advocates, attorneys, vendors and other related agencies.

**PURPOSE OF CONTACTS**

The purpose of the contacts is to encourage support and understanding of DCPS work regarding Special Education as it relates to Speech-Language Pathology, to serve as a link between DCPS and the parent/guardian to coordinate work activities, to exchange and clarify information and resolve Special Education problems and concerns.

**PHYSICAL DEMANDS**

The physical demands described here are representative of those that must be met by an employee to successfully perform the essential functions of the job. Reasonable accommodations may be made to enable individuals with disabilities to perform the essential functions. While performing the duties of this job, the employee is frequently required to stand, walk, sit, talk and/or listen. The employee must occasionally lift and/or move up to 10 pounds. Specific vision abilities required by this job include close vision, distance vision, color vision, peripheral vision, depth perception, and ability to adjust focus. Specific listening abilities required by this job include acute perceptual and physical listening skills.

**WORK ENVIRONMENT**

The work environment characteristics described here are representative of those an employee encounters while performing the essential functions of this job. Reasonable accommodations may be made to enable individuals with disabilities to perform the essential functions. The noise level in the work environment is usually moderate.

**B. CERTIFICATION & LICENSURE**

*(The minimum requirements for certification/qualification as a Speech-Language Pathologist are:)*

- Current Office of the State Superintendent of Education (OSSE) Certification as a Speech –Language Pathologist
  - For application information (initial and renewal), refer to link: <http://osse.dc.gov/seo/cwp/view,a,1224,Q,563615,PM,1.asp>
- Master’s degree in Speech Language Pathology or Communication Sciences and Disorders
- Eligibility for the American Speech Language Hearing Association’s Certification of Clinical Competence
- Effective December 2009, all Speech Language Pathologists providing services in the District of Columbia must have a DC Board of Audiology and Speech Language Pathology license. The Board notes that the Audiology and Speech-

Language Pathology Amendment Act of 2006, as codified at D.C. Official Code §5 3-1201.02(2B)(B) and (19)(D), exempts from licensure, school audiologists and school speech-language pathologists working in accordance with the regulations of the District of Columbia Board of Education. Contract providers who are employed by agencies but who serve students in DCPS must be licensed. It is the Board's opinion that independent contractors do not fall within the exception because they are not employed by DCPS. Thus, these contractors must be licensed.

## C. WORK HOURS

### Tours of Duty

#### ET-11

Speech Language Pathologists are to report to their schools for an eight and one-half (8.5) workday inclusive of a duty-free lunch period. Staff members should arrive at their assigned schools no later than the time of arrival expected for all school staff.

**Arrival Time – 8:00am**

**Departure Time – 4:30pm**

#### ET-15\*

Service providers are to report to their schools for a seven and one-half (7.5) workday inclusive of a duty-free lunch period. Staff members should arrive at their assigned schools no later than the time of arrival expected for all school staff.

**Arrival Time – 8:00am**

**Departure Time – 3:30pm**

### Signing In and Out

- a. Immediately upon his/her arrival, each service provider shall record in the school business office of his/her immediate supervisor the time of his/her arrival, and he/she shall report to his/her classroom or place of duty at least thirty-five (35) minutes before the start of the official school day for students.
- b. Itinerant service providers shall immediately upon their arrival at each school assigned, record in the school business office their time of arrival.
- c. Service providers shall record in the school business office or in the office of their immediate supervisor the time of their departure at the end of the school day.
- d. Service providers shall not be required to use time clocks.

\*As stated in the WTU contract

## D. TIME AND ATTENDANCE PROCEDURES

A memorandum from the Deputy Chancellor for Special Education stated that:

“It is vital that time and attendance is accurately reported by all personnel. The erroneous reporting of time is against DCPS policy and grounds for disciplinary action against the employee, his/her supervisor or his/her timekeeper.”

“Effective immediately, all staff must sign-in and sign-out on a **daily basis**”. If an employee **does not** submit leave slips, sign-in/sign-out sheets or any other required documentation to verify time and attendance, then time and attendance **WILL NOT** be approved in “PeopleSoft for that employee with **NO EXCEPTIONS.**”

### **SCHOOL BASED & ITINERANT OSI STAFF:**

1. All sign-in/sign-out sheets must be signed by you on a daily basis.
2. All leave slips must be submitted thru PeopleSoft and approved by your Program Manager (annual, sick, compensatory time, overtime, administrative, etc...).
3. All annual leave must be approved prior to the leave period.
4. All administrative leave requests for seminars, conferences and official travel must be accompanied by appropriate documentation (registration, receipt, etc.).
5. All requests for leave for over two weeks must be approved by your Program Manager and the Director of Related Services.
6. Leave without pay must be APPROVED by the Deputy Chancellor for Special Education.
7. Staff should not plan to request leave during the two weeks prior to the start of the new school year. Emergencies will require APPROVAL by the Deputy Chancellor for Special Education.
8. “Use or lose” leave must be exhausted prior to the use of annual leave.
9. All compensatory time or overtime must be approved by the Deputy Chancellor for Special Education prior to the work being performed and provide a copy to your supervisor.
10. 12 month employees may not take extended leave during Extended School Year.

### **ENTERING TIME IN PEOPLESOFT**

#### **How do I enter my own time?**

1. Log into the PeopleSoft online system.
  - Inside of DC Network: <https://pshcm.dc.gov>
  - Outside of DC Network: <https://ess.dc.gov>
  - Login: Your DCPS email address without @dc.gov (generally firstname.lastname)
  - Use the “Forgot Your Password?” link if you do not know your password.
2. Click on “Self Service” in the blue box on the left side of the page.
3. Click on “Report Time” under the Time Reporting heading.
4. Click on “Timesheet” under the Report Time heading.
5. Enter the appropriate number of hours for each day of the current week.
  - You may need to change the Date field if you are entering time late.

- After changing the date, click “Refresh” to enter time for a previous time period.
- 6. Select a Time Reporting Code from drop down menu. The most frequently used codes are:
  - Regular Pay – REG
  - Annual Leave Taken – ALT
  - Sick Leave Taken – SLT
  - Holiday Pay – HOL
  - Administrative Closing Pay – ACP
- 7. Click the “+” at the far right of the line if you will be entering more than one type of time.
  - Ex: 2 lines would be needed if you worked Monday-Thursday, but you were sick Friday.
  - Ex: 3 lines would be needed if the above were true except that Monday was a holiday.
- 8. Click “Submit.”
  - Submitted time can be changed (prior to the end of the pay period) if needed.
  - Saved time cannot be approved. Please do not use the “Save for Later” button.
  - Only enter time for the current week, except prior to winter and spring breaks.

#### When do I need to enter my time?

***All ET-11 and ET-15 Speech-Language Pathologists are required to enter time into People Soft Weekly (Thursday). Each SLP must submit all supporting documents via fax, email, route mail or hand deliver to their assigned Program Manager prior to taking leave.***

#### How do I submit a leave request in PeopleSoft?

1. Log into the PeopleSoft online system.
2. Click on “Self Service” in the blue box on the left side of the page.
3. Click on the “Time Reporting” heading.
4. Click on “Absence Request” under the Report Time heading.
5. Populate all of the fields on the page (leave may only be taken in 1 hour increments).
6. Click “Submit.” Do not use the “Save for Later” button.

You will receive an email once your leave is approved. Follow up with your manager directly if you do not receive this confirmation at least 48 hours prior to the start of your leave.

#### What if I need help?

Click [here](#) to view online tutorials on how to enter time and absence requests. For more information, refer to the Human Resources page of the [DCPS website](#) or call the PeopleSoft Helpdesk (202.727.8700).

Please check your leave balances prior to submitting requests for leave in PeopleSoft. Leave balance information can be obtained by logging into PeopleSoft.

**In DCPS network: <http://pshcm.dc.gov>**  
**Outside DCPS network: <https://ess.dc.gov>**

**ET- 15** (Refer to WTC Contract for detailed information)

## LEAVE

### 1. Sick and Emergency Leave

a. For the purposes of accruing and using sick leave, a day of leave is defined as eight (8) hours, regardless of the tour of duty. For leave purposes, one-half of the tour of duty is calculated as four hours. Twelve (12) days (96 hours) of sick leave are posted at the beginning of each school year for ten (10) month service providers. Four (4) sick leave days may be used for general leave and one (1) additional sick leave day may be used for “personal business leave” during each school year. General leave and personal business leave shall not be cumulative. Unused sick leave shall be carried forward from year to year.

b. Fifteen days (15) days (120 hours) of sick leave are posted at the beginning of each school year for twelve (12) month teachers (ET 15/12). Three (3) sick leave days may be used for general leave and one (1) additional sick leave day may be used for “personal business leave” during each school year. General leave and personal business leave shall not be cumulative. Unused sick leave shall be carried forward from year to year.

c. A service provider who becomes sick or disabled to the point that he/she is unable to do his/her job, or has a scheduled medical or dental appointment, shall be permitted to use his/her accumulated leave in accordance with the Rules of the Board. **Leave requests for medical or dental appointments must be made by the service provider to his/her immediate supervisor as soon as the appointment is known to the employee. If a service provider cannot report for work due to illness, he/she shall notify the supervisor or designee as soon as possible, but in no case later than the first fifteen (15) minutes of the service provider’s workday.**

d. A service provider may be required to submit a doctor’s certificate after three (3) or more consecutive days of absence due to illness, provided, however, that a service provider may be required to submit such a certificate in support of sick leave for any lesser period if the supervisor has reason to believe that the use of such leave has been abused.

e. In cases of emergencies, service providers may be required to submit appropriate documentation in support of such absences.

f. Service providers may be excused immediately from duties, with charge to leave, for pressing, urgent emergencies at any time upon oral explanation and notification to the supervisor or his/her designee. For the purpose of this Article, emergency shall be defined as any situation requiring immediate attention over which the employee has no control.



g. Leave (sick and emergency), not to exceed thirty (30) days may be advanced to permanent and probationary service providers in cases of personal serious disability, illness or an emergency, which requires the service provider's personal attention. Service providers in a temporary status may be advanced sick leave in amounts equal to anticipated sick leave accruals during their temporary appointments. A request for advanced leave must be submitted and approved in writing at least five (5) days prior to the absence.

h. A service provider may elect to return to the Board one half (1/2) of the sick leave days accrued but not taken during the current year at the current daily rate of pay. Un-purchased sick leave shall be credited each year to the service provider's sick leave balance and shall not be subject to the Sick Leave Buy-Back Plan.

i. An employee sick leave bank shall be operated under the guidelines approved by the Board and the Union.

j. An employee maternity/paternity leave bank may be established annually at the option of the Union. If established, it shall operate under the guidelines developed and approved by the Board and the Union.

k. One day of "individual professional development leave" shall be posted at the beginning of each school year for all bargaining unit members. Such leave shall be cumulative and unused "individual professional development leave" shall be carried over from year to year as part of the cumulative sick leave. The Chancellor and the President of the WTU shall mutually agree on the parameters associated with the use of "individual professional development leave".

## 2. General and Annual Leave

a. Twelve (12) month service providers (EG09) shall receive annual leave with pay for each calendar year, exclusive of Saturdays, Sundays and holidays as follows:

- (a) Service providers with less than three (3) years service shall receive thirteen (13) days;
- (b) Service providers with three (3) but less than fifteen (15) years of service shall receive twenty (20) days; and,
- (c) Service providers with fifteen (15) or more years of service shall earn twenty-six (26) days.

b. A request for the use of general or annual leave (Application for Leave) shall be given to the supervisor or his/her designee at least one (1) day prior to the expected absence. The unavailability of the application form at the school shall not be a reason for denial of leave.

### 3. Funeral/Bereavement Leave

- a. Four (4) additional days of leave will be granted without loss of pay and benefits for the death of an employee's or his/her spouse's/domestic partner's parent, legal guardian, child, sibling, or such persons designated in writing to the building supervisor prior to the beginning of each school year.
- b. This does not preclude the use of accrued sick leave if additional days are needed for the purpose of bereavement or attending a funeral.
- c. Funeral/Bereavement leave shall not be cumulative.

### 4. Administrative Leave

- a. Administrative leave shall be granted to a teacher when it is necessary for the teacher, in a major hardship case, to use time during the school day to seek redress under the terms of this Agreement.
- b. Service providers who are authorized by the Board to attend appropriate job-related technical, scientific and professional conferences, conventions, meetings, seminars, symposiums, approved training courses, workshops and to visit industry and other schools during regular duty hours are considered to be in an administrative leave status.
- c. Service providers shall be carried in a leave without loss of pay status when summoned to serve as a juror on a petit or grand panel, or to appear in court as a subpoenaed witness in their official capacity, or on behalf of federal, state, or municipal governments. The service provider shall furnish his/her supervisor with a copy of the summons within twenty-four (24) hours of his/her receipt of the summons. If a service provider is excused from jury duty for a day or a substantial portion thereof, he/she shall report to the place of his/her employment and perform the duties assigned for that day or portion thereof. Any pay received for service as a witness or juror, other than expenses, shall be handled in accordance with applicable policy or law.
- d. When a service provider is injured in the performance of his/her duties, he/she shall be considered in a duty status during the time required for initial examination, emergency treatment, or treatment during duty hours.
- e. A service provider shall be granted a reasonable amount of time to present appeals in connection with adverse actions, grievances and discrimination complaints.
- f. Leave shall not be charged when schools are closed to service providers for emergency reasons.

- g. Service providers who are injured on the job and are unable to work shall be entitled to compensation as provided for in Section 1-624.2 of the D.C. Code. Upon notification that a service provider has been hurt on the job, the building supervisor shall immediately notify the Office of Risk Management and submit all appropriate documentation in a timely manner. Copies of workmen's compensation forms shall be available at the work site.

## 5. Extended Leaves of Absence

- a. Extended leaves of absence with or without pay for periods in excess of thirty (30) days and not to exceed two (2) years may be granted by the Board to permanent or probationary service providers. Among the reasons, but not limited to, for which such leaves of absence may be used are the following:
  - (1) Personal illness leave
  - (2) Family care leave
  - (3) Maternity leave
  - (4) Paternity leave
  - (5) Adoption leave
  - (6) Educational leave with pay
  - (7) Educational leave without pay
  - (8) Military service leave
- b. A service provider who is granted an extended leave of absence for maternity/paternity purposes may elect to use her accrued sick leave at the time she begins the extended leave of absence from duty.
- c. A service provider returning from maternity/paternity, adoption or educational leave shall have the right to return to his/her former or comparable position.
- d. A service provider shall be permitted to return from maternity/paternity, adoption, or educational leave upon a thirty (30) day written notice of intent to return to work prior to the end of a semester. This shall not preclude a teacher from an earlier return at the discretion of the Board.
- e. Upon proper application, permanent teachers may be granted a leave of absence without pay for one (1) school year to serve as a full time employee of the Union. A service provider granted such leave of absence shall retain all rights of reinstatement in accordance with the Rules of the Board.

## 6. Educational Leave With Pay

1. A permanent teacher may be granted a leave of absence with one-half (1/2) of his/her salary after six (6) continuous years of service in the Public Schools of the District of Columbia to pursue full-time graduate study in a program approved by the Board.
2. Such leave as granted in paragraph 1 above may be terminated at any time if the teacher fails to pursue in a satisfactory manner the purpose for which said leave of absence was granted.

## 7. Family and Medical Leave

Bargaining unit employees shall receive benefits as provided in the Family and Medical Leave Act of 1993, as amended, and as provided in the District of Columbia Family and Medical Leave Act of 1990.

**ET-11** (Refer to CSO contract agreement for detailed information.)

### LEAVE

#### 1. Annual

a. Service providers shall earn leave with pay in any one calendar year, exclusive of authorized leave for educational purposes and assignments and exclusive of Saturdays, Sundays and holidays as follows:

1. Less than three (3) years service, thirteen (13) days per year;
2. Three (3) years service, but less than fifteen (15) years service, twenty (20) days per year; or
3. Fifteen (15) or more years service twenty-six (26) days per year.

b. Officers may accumulate annual leave for later use up to a maximum of thirty (30) days.

c. Each supervisor in conjunction with the officer staff shall develop a tentative leave schedule for the use of annual leave, which shall be developed early in the leave year, which provides for vacations on a staggered basis throughout the year. On the basis of mutual agreement between employees and their supervisors, vacation periods should be scheduled in such a manner as to provide the least interruption to the work unit. These schedules may, of course, be revised from time to time. Employees should be given the opportunity for a planned period of extended vacation leave.

Annual leave may be used as the service provider chooses, provided that the leave has been requested by the related service provider and approved by the related service provider's immediate supervisor in advance of the utilization of the leave and in

accordance with established leave policies.\* However, if and when exigencies of the service provider's area(s) of responsibility occur, then the officer's immediate supervisor may rescind the approval of the leave request. In the event an officer's approved annual leave request is rescinded, the immediate supervisor should provide priority consideration to the service provider's future request for annual leave.

**\*PLEASE NOTE:** Guidelines indicate that "in advance" requires that you submit your request for leave at least three (3) days prior to the start date of your leave requested.]

d. Service providers may exceed the thirty (30) day accumulation of annual leave under the following conditions:

1. Administrative error where such error causes the loss of annual leave;
2. Exigencies of the public business when the leave was scheduled in advance and the exigencies caused the cancellation of the leave; or
3. Illness or injury when leave was scheduled in advance and cancelled because of illness or injury.

The term "scheduled in advance" means before the start of the third bi-weekly pay period prior to the end of the leave year.

e. Restoration of Leave

1. The Board is responsible for notifying the membership of, and providing the required form(s) for, the process to be followed in the restoration of annual leave in accordance with the annual "use or lose" leave protocol.
2. The Board will provide the process for recording and utilization of restored annual leave to the membership and all responsible supervisors – in accordance with paragraph 6 below.
3. If the Board fails to properly notify officers of the process to be followed and the forms to fill out for the restoration of annual leave, the restored leave the service provider would have been entitled to shall not be subject to the "use or lose" leave protocol timeline and will be restored.

f. Requests to restore leave lost due to any of the three (3) conditions listed above should be submitted to the Department of Human Resources in writing and include the service provider's name and social security number, organizational code, amount of hours to be restored, reason(s) the scheduled leave could not be used and the date(s) the leave was scheduled for use, supported by documentation. Requests for restoration of leave must be submitted within thirty (30) days of the end of the leave year in which the leave was lost.

g. Upon separation from service, an officer shall receive a lump-sum payment, at the rate of salary on the effective date of separation, for accumulated or restored annual leave.

## 2. Sick Leave

- a. Service providers shall earn thirteen (13) days sick leave, with pay, in any one calendar year.
- b. Sick leave, which is not used during the year it is earned, shall accumulate and be available for use in accordance with Board Rules.
- c. Upon arrival by the Board, an officer may use accumulated sick leave in addition to the maximum useable accumulation provide in 5 DCMR §1200.9 of the Board Rules.
- d. Permanent or probationary service providers may be advanced up to thirty (30) days leave by the Chancellor. Every application for advances leave shall by supported by a certificate signed by a registered practicing physician or other licensed practitioner certifying that the service provider is unable to perform regular duties. Any advance leave is paid back. Sick leave may be advanced irrespective of whether the officer has annual leave credit. If the employee voluntarily or involuntarily terminates their employment prior to the repayment of the advance sick leave, the employee will be required to repay, at their then current rate of pay, the amount remaining.

## 3. Court & Jury Leave

- a. Service providers shall be entitled to a leave of absence with pay when they are required to report for jury duty or to appear in court as a subpoenaed witness, other than as a litigant, or to respond to an official subpoena from duty authorized government agencies. Service providers shall provide a copy of the documentation, in the form of the subpoena or jury duty notice, to the supervisors. Any pay received for service as a witness or juror, other than expenses, must be submitted to the D. C. Public Schools, Department of Human Resources.
- b. If a service provider is excused from jury duty for a day or a substantial portion thereof the service provider shall report to their place of employment and perform the duties assigned for that day or portion thereof.

## 4. Family & Medical Leave

In accordance with D.C. Official Code §32-501, et seq., the Board acknowledges that an eligible employee who is employed for one year without a break in service except for regular holidays and worked at least 1,000 hours during a 12-month period shall be entitled to a total of 16 work weeks of family leave during any twenty-four (24) month period for:

- a. The birth of a child of the employee:

- b. The placement of a child with the employee for adoption or foster care;
- c. The placement of a child with the employee for whom the employee permanently assumes and discharges parental responsibility; or
- d. The care of a family member of the employee who has a serious health condition. D.C. Official Code §32-502(a).
- e. Family member means:
  - i. A person to whom the employee is related by blood, legal custody, or marriage;
  - ii. A child who lives with an employee and for whom the employee permanently assumes and discharges parental responsibility; or
  - iii. A person with whom the employee shares or has shared, within the last year, a mutual residence and with whom the employee maintains a committed relationship. D.C. Official Code §32-501 (4).

An employee who is unable to perform the functions of the employee's position because of a serious health condition shall be entitled to medical leave for as long as the employee is unable to perform the functions, except that the medical leave shall not exceed sixteen (16) work weeks during any twenty-four (24) month period. D.C. Official Code §32-503 (a).

The Board shall provide and implement Family and Medical Leave consistent with D.C. Law. The provision and implementation of Family and Medical Leave is based on D.C. Law.

## **5. Administrative Leave**

- a. Each service provider, upon request and approval, shall be allowed three (3) days of leave with pay per year for visits to schools, industry and participation in conferences, seminars and workshops which are beneficial to the school system subject to the educational program and/or the service provider's work assignments during the period of leave request. Such leave must be requested by the service provider fifteen (15) days in advance.
- b. At the initial of the Board, leave with pay to attend conferences, workshops, conventions and seminars, which are beneficial to the school system, may be granted to the service provider.

## **6. Educational/Sabbatical Leave of Absence**

- a. Educational/Sabbatical leave for academic study/professional improvement may be granted at the Chancellor's discretion and approval for academic study, research or other purposes that will increase or further the officer's professional growth and development and will contribute to the improvement of the school system.

b. An outline of a planned program must be submitted with the application for leave, including what the officer intends to accomplish during the period of leave, how the leave would enhance the service provider's performance/career and benefit the school system, and a plan for monitoring progress during the term of leave. In addition, the service provider must obtain approval of the Chancellor or his/her designee who will monitor the plan, review progress reports submitted by the officer, and approve the documented completion of the approved program.

1. Standard: The total number of service providers granted sabbatical leave at the Chancellor's discretion in any leave year will not exceed one (1) percent of the total number of service providers.

2. Eligibility: A service provider becomes eligible for sabbatical leave, for a minimum period of a full semester, up to a maximum of one full year after five (5) consecutive years of employment with the District of Columbia Public Schools, excluding periods of Family and Medical leave, military or exchange leave. Eligibility is reestablished seven years after the first sabbatical leave is completed.

3. Salary Allowance: A service provider granted sabbatical leave shall receive a maximum of fifty (50) percent of his/her salary for the period of the sabbatical leave minus all required and/or elected deductions. Should the sabbatical leave be for participation in a program for which the officer is to receive remuneration, the total remuneration (DCPS salary and program assistance/compensation) shall not exceed the service provider's annual DCPS salary. In cases where the combined remuneration exceeds the service provider's annual DCPS salary, the service provider's DCPS salary shall be reduced accordingly.

#### **Benefits during Sabbatical Leave**

1. A service provider on sabbatical leave shall for all purposes be viewed as a full-time employee. The service provider's rights and privileges, length of service, and the right to receive salary increments as provided by the policies of the Board or this contract will be the same as if the service provider had remained in the position from which he/she took leave. However, annual or sick leave may not be used or earned while on sabbatical leave.
2. During the period of sabbatical leave, the officer's contributions to his/her retirement plan will be continued.
3. The service provider shall retain membership in the employee benefit plans, for which he/she shall be made for the period of leave; and the Board shall continue to make its contributions thereto.



## **Contractual Agreement for Sabbatical Leave**

A service provider accepting sabbatical leave shall enter into a separate, written contract whereby he/she agrees to return to service in the District of Columbia Public Schools for a minimum two-year period immediately following the sabbatical leave. If the service provider fails to return and remain for the specified time, he/she shall be required to refund all monies paid to or for him/her or on his/her behalf by the Board, along with interest at the rate of six (6) cent per annum, prorated to account for any time served out of the two-year period. DCPS may deduct any amount owed from the Officer's termination pay upon agreement with the Officer.

Non-completion of program: If the service provider cannot complete the planned program for which sabbatical leave was granted, it is his/her responsibility to notify the Chancellor. The leave may then be rescinded by the Chancellor and the service provider is placed on the appropriate employment status. Salary allowances and benefits shall be adjusted accordingly. The service provider must repay any monies paid him/her or on his/her behalf for which he/she may be liable as a result of the change in leave status.

Satisfactory service as a probationary or permanent employee in the DC Public Schools shall be credited in determining eligibility for leaves of absence for educational purposes with or without pay.

## **7. Leave for Council Business**

- a. Service providers elected to full time Council positions may be granted a leave of absence without pay for a period of one (1) year. Service providers granted leave of absence shall retain all rights to reinstatement and shall continue to accrue seniority.
- b. Service providers who are granted leave without pay for Council business may elect to receive retirement credit for such period of leave in accordance with the DC Official Code §38-2021.01 (a).

## **8. Return from Leave**

A service provider returning from Family and Medical leave or educational/sabbatical leave of absence shall have the right to return to his/her former position or to an equivalent position and the same salary class. Excluding returns from Family and Medical leave, the returning service provider will be returned to his/her former or equivalent position if he/she has maintained appropriate/requisite certification/licensure and is considered to be in good standing at the time of scheduled return from leave.

## 9. Special Leave

Service providers required by the Chancellor to serve as administrators or supervisors of the regular summer school program during the entire period of the program shall be entitled to ten (10) days of special leave. The additional leave resulting from this provision must be used prior to the service provider's next administration of the regular summer school program. If the service provider has been denied requested utilization of earned Special Leave, due to exigencies of their position or responsibilities, prior to retirement, termination or non-reappointment, the service provider will receive a lump sum payment for the number of days not utilized at their rate of pay on the effective date of the payout.

## 10. Sick Leave Bank

A sick leave bank for service providers shall be established and operated under the guidelines approved by the Board and Council.

## 11. Funeral/Bereavement Leave

- a. Four (4) additional days of leave without loss of pay and benefits will be granted annually for the occasion of the death of an employee's spouse/domestic partner, child, parent or sibling (whether adopted, natural, step, foster or in-law).
- b. The employee may be required to submit to the immediate supervisor a written statement specifying the date of funeral.
- c. This provision does not preclude the use of accrued sick leave if additional days are needed for the purpose of bereavement or attending a funeral.
- d. Funeral/bereavement leave shall not be cumulative and if not used during the school year, will not be carried over into the subsequent school year.

## 12. Note

Any officer (i.e., service provider) other than principals and assistant principals, who is not authorized or assigned administrative functions shall be granted liberal leave when schools are closed for emergencies for students or teachers.

## F. Dress Expectations

It is the provider's responsibility to find out the dress code requirements for their assigned school site and to wear the appropriate attire. Providers must be in compliance with the dress code for the school. Cleanliness and professionalism are the primary considerations. The following is a non-exhaustive list of expectations:

- a. All clothing should be clean, and neat. *Clothing should not contain any suggestive or offensive pictures or messages.*

- b. Tops should be of opaque fabric (not see-through), fit appropriately, not too low cut, tight or loose, and long enough to remain tucked in with movement (i.e., no bare midriffs). Showing of cleavage is not appropriate. Tops should allow for rising of hands above head without exposing skin. T-shirts that convey a casual appearance are not to be worn. For men, collared shirts and ties may be appropriate in many settings.
- c. Pants should fit appropriately, loose enough to allow for mobility but not to present a safety hazard by getting caught in equipment.
- d. Skirts or skorts may be worn, but should be no shorter than 2" above the knee and have no slits above the knee.
- e. Piercing- other than ears- should not be visible while working with students. All tongue jewelry must be removed.

### G. Performance Evaluations

Each RSP is evaluated twice per school year using IMPACT: The DCPS Effectiveness Assessment System for School-Based Personnel or IMPACT. The primary purpose of IMPACT is to help the employee become more effective in your work. Our commitment to continuous learning applies not only to our students but to the employee as well. IMPACT supports the employee's growth by:

- **Clarifying Expectations** - IMPACT outlines clear performance expectations for all school-based employees. Over the past year, we have worked to ensure that the performance metrics and supporting rubrics are clearer and more aligned to your specific responsibilities.
- **Providing Feedback** - Quality feedback is a key element of the improvement process. This is why, during each assessment cycle, you will have a conference to discuss your strengths as well as your growth areas. You can also view written comments about your performance by logging into your IMPACT account at <http://impactdcps.dc.gov>.
- **Facilitating Collaboration** - By providing a common language to discuss performance, IMPACT helps support the collaborative process. This is essential, as we know that communication and teamwork create the foundation for student success.
- **Driving Professional Development** - The information provided by IMPACT helps DCPS make strategic decisions about how to use our resources to best support you. We can also use this information to differentiate our support programs by cluster, school, grade, job type, or any other category.
- **Retaining Great People** - Having highly effective teachers and staff members in our schools helps everyone improve. By mentoring and by serving as informal role models, these individuals provide a concrete picture of excellence that motivates

and inspires us all. IMPACT helps retain these individuals by providing significant recognition for outstanding performance.

All related service providers are in Group 12. There are four IMPACT components for the members of Group 12. Those components include:

- Related Service Provider Standards (RSP)
- Assessment Timeliness (AT)
- Core Professionalism (CP)
- Individual Education Plan Timeliness (IEPT)

Please refer to your Group 12 IMPACT book for additional information. You may also contact the IMPACT office at (202) 719-6553 or [impactdcps@dc.gov](mailto:impactdcps@dc.gov).

## H. INCLEMENT WEATHER POLICY

As you know, inclement weather has the potential to impact our school schedule (delayed openings or school closings). As in the past, the decision made and announced will be one of the following:

### Inclement Weather Options

- Option 1: All schools and district administrative offices are closed. Only essential personnel report to work.
- Option 2: Schools are closed. District administrative offices are open.
- Option 3: Schools open for students and teachers two hours late. District administrative offices open on time.
- Option 4: Schools and district administrative offices open two hours late.

### Notification Options:

When poor weather requires changing school schedules, DCPS works closely with radio, TV and other news outlets to notify the community. During these situations, it is important that related service providers monitor one of the stations listed below or check this page. Look for updates (i.e. delayed openings or complete closures) on the radio and TV stations below. DCPS aims to work with stations to post closings by approximately 5:30 am.

- AM Radio  
WMAL (630), WOL (1450), Radio America, Spanish (1540), WTOP (1500)
- FM Radio  
WAMU (88.5), WTOP (103.5), WHUR (96.3)
- Television

Channels 4, 5, 7, and 9 and Cable Channels 8, 16 and 28

- Website  
[www.dc.gov/closures](http://www.dc.gov/closures)  
[www.dcps.dc.gov](http://www.dcps.dc.gov)
- Telephone  
 (202) 442-5885 or dial 311 for DC's Citywide Call Center

## I. COMMUNICATIONS

**E-mail.** Each service provider has a DCPS e-mail address. This is our primary means of communication. ***Messages should be checked daily and returned promptly.*** Failure to receive notification of job related information due to a lack of timely checking of one's e-mail is not an acceptable excuse for non-compliance to work responsibilities. Related service providers are required to use their dc.gov email address – no other email address should be used.

When the service provider is out of the office, the “Out Of Office” reply option should be utilized. Your message should include a greeting, dates you will be out of the office, scheduled return date and contact information during your absence.

Program Managers, Special Education Coordinators, Principals, teachers and parents often send email messages to related service providers. Please ensure the LEA has the correct email address to ensure proper communication.

Email communication is maintained by the District of Columbia's Office of the Chief of Technology Officer. The help desk number for email difficulties is (202) 442-5715.

**SLP Weekly Notice.** SLPs will receive weekly notices on Monday morning to all Speech Pathologists. It will include SLP timeliness rates for assessments and Random Moment In Time Study timeliness, documentation percentages, tasks due for the week, reminders on upcoming important dates or events in OSI and DCPS. This information will be received via the Provider Management Application 2.0, where the clinician will have that information on their dashboard.

**Related Services Portal.** OSI maintains an educational portal on DCPS' website for related service providers. The portal will include discipline guidebooks, SLP eligibility / dismissal forms, independent review form, impact presentations, OSI notices, and etc. The portal accessible at: <https://www.educatorportalplus.com/web/edportal/login>

**Mailbox.** Service providers are encouraged to check with school staff regarding correspondence.

**Route-Mail Service.** A DCPS mail service is available for sending documents to DCPS work locations. Envelopes may be available at your school's main office. An area for all outgoing route mail is designated at each school and work location. Provide the sender's name and school address on the route mail envelope.

**Provider Management Application (PMA).** RSPs will be able to review their caseload, assigned assessments, document Tier II interventions, Student Service Alignment Plan, and review weekly email communication. The Provider's Management Application 2.0 is accessible through quickbase by accessing the following website:

<https://www.octo.quickbase.com>

## J. TEST KITS/COMPUTERS (CHANGE TO EQUIPMENT)

**Assessment Test Materials** are assigned to each provider on a permanent basis. Other instruments may be shared between speech language pathologists and infrequently used tests are available on a temporary loan basis. It is important to return loaned items promptly since other speech language pathologists may be waiting for them. Additionally, speech language pathologists are asked to inform your program manager of any problems found with these tests, e.g., missing or broken items.

**Laptop Computers** are assigned to all service providers for the purpose of scoring tests, writing reports and maintaining progress notes in the Special Education Data System (SEDS). Laptops are the responsibility of each service provider and should be appropriately maintained and secured at all times.

**Laptop Computer Repairs Policy-** For providers who are issued Macs, all repairs should be handled through your local Apple store. All computer technology issues should be directly referred to the DCPS IT Support department using one of the following options:

- Phone: 202-442-5715
- <https://itremote.dc.gov>
- <http://dcforms.dc.gov/webform/it-servus-request-form>

The DCPS IT support department will provide a ticket number for your technology request. Please retain a copy of this ticket number for your records. In the event your laptop or computer becomes inoperable, this information will be required.

### **Stolen Computer / Laptop**

In the event your laptop or computer is stolen, please inform your school security officer and the DC Police Department (DCPD). You are required to file a report with the DCPD. If you are school based providers, please submit the police report to your school administration. For centrally funded staff, please submit the police report to your manager.

**PLEASE NOTE:** Testing equipment & testing materials are on loan for work purposes

only. Therefore, upon your resignation, retirement, or termination your materials must be returned in good condition to the Program Managers prior to your separation date. Failure to return property will result in garnishing of wages.

## K. NATIONAL PROVIDER IDENTIFIER (NPI) Number

As a result of the Affordable Care Act, the Centers for Medicare and Medicaid (CMS) issued a final rule (42 CFR Parts 424 and 431) on April 12, 2012 requiring all providers of medical services to obtain a National Provider Identifier (NPI). The NPI acts as a unique provider identifier for Medicaid claims submitted to the Medicaid Agency. In order to conduct Medicaid claiming, all providers are rendering services on behalf of DCPS must obtain an NPI. Refer to the document "OSI Provider NPI Requirement for New Employees" in the appendix.

All providers rendering services on behalf of DCPS must obtain a National Provider Identifier (NPI). **DCPS must have record of your NPI before November 1, 2013.** Individuals are eligible to receive one NPI regardless of the number of specialties practiced. Please follow the steps below. If you already have an NPI then please skip section 1 and complete section 2.

### Section 1: Apply for an NPI

There are two ways to apply for an NPI: web-based and paper-based

1. Use the web-based NPI application process at <https://nppes.cms.hhs.gov/NPPES/Welcome.do>
  - a. Click on the hyper link National Provider Identifier to apply for an NPI.
    - i. **Select Entity type 1**, health care providers who are individuals. Complete sections 2A, 3, 4A, and 5.
    - b. Completion of the application takes approximately 20 minutes.
2. Obtain the NPI Application/Update form (CMS 10114).
  - a. Complete and mail application to the following address:
    - i. NPI Enumerator  
P.O. Box 6059  
Fargo, ND 58108-6059

### Section 2: Submit NPI to DCPS Office of Specialized Instruction (OSI)

1. Each provider will receive an email from [phuong.van@dc.gov](mailto:phuong.van@dc.gov) with subject line "DCPS NPI form."
2. Click on the link.
3. Providers must sign in using their dc.gov email address.
4. Complete all questions and submit.

Sade Creighton, Medicaid Coordinator - C: 202-520-9297E: [sade.creighton@dc.gov](mailto:sade.creighton@dc.gov)  
Gloria VanHook, OSI Eligibility and Enrollment Specialist, OSI, Email: [gloria.vanhook@dc.gov](mailto:gloria.vanhook@dc.gov)

## SECTION III

### Special Education Disability Classifications

As mandated by federal regulations in the Individuals with Disabilities Education Act (IDEA), the Individualized Education Program (IEP) defines the individualized objectives of a child who has been found with a disability, as defined by federal regulations. The IEP is intended to help children reach educational goals more easily than they otherwise would. In all cases the IEP must be tailored to the individual student's needs as identified by the IEP evaluation process, and must especially help teachers and related service providers understand the student's disability and how the disability affects the learning process.

The presence of a disability is not sufficient to establish eligibility for special education. The disability must result in an educational deficit or adverse effect that requires specially designed instruction (i.e. special education). In order to qualify for services a child, due to his / her disability, must require special education and related services. If the child needs additional services in order to access or benefit from special education, schools are to provide the services as related services. A student becomes eligible for special education when a Multidisciplinary team (MDT), identifies the student as having a disability that impacts the student educationally and determines that the student has a need for specially designed instruction.

Eligibility for special education and related services is determined by documenting the existence of one or more of the following 13 disability classifications and its adverse effect on educational performance. Refer to the Office of the State Superintendent of Education's Chapter 30 policy for more detailed descriptions at <http://www.osse.dc.gov/seo/cwp/view,a,1222,q,561151.asp>.

- Autism
- Deaf-blindness
- Developmental Delay
- Emotional Disturbance
- Hearing Impairment
- Intellectual Disability
- Multiple Disabilities
- Orthopedic Impairment
- Other Health Impairment
- Specific Learning Disability
- Speech Language Impairment
- Visual Impairments including Blindness
- Traumatic Brain Injury

#### **Autism**

A developmental disability significantly affecting verbal & nonverbal communication & social interaction, generally evident before age 3.



Common Associated characteristics:

- Exhibit a condition characterized by severe communication and other developmental and educational problems such as extreme withdrawal, self-stimulation, repetitive motoric behavior and inability to relate to others
- Diagnosed by a psychologist or physician as autistic

## Deaf-blindness

The concomitant existence of hearing and visual impairments, the combination of which causes such severe communication and other developmental and educational needs. Criteria include:

- The child has a current medical examination and report completed by either an optometrist or ophthalmologist that confirms a visual impairment.
- The child has an urgent medical examination and report completed by an audiologist that confirms a hearing impairment.

## Developmental Delay

To be eligible for special education as a child with a developmental delay, a child must:

- Be aged three to seven
- Experiencing development delays and measured by appropriate diagnostic instruments and procedures, in one or more of the following areas:
  - physical development
  - cognitive development
  - communication development
  - social or emotional development
  - adaptive development
- Be certified by the MDT as qualifying and needing special education services

## Emotional Disturbance

Exhibit one or more of the following characteristics over a long period of time and to a marked degree that adversely affects educational performance:

- An inability to learn that cannot be explained by intellectual, sensory or health factors
- Have a history of difficulty in the educational setting in relating to adults and / or peers as reflected by a diminished capacity to learn, and the inability to comply with school rules due to a limited frustration tolerance level

## Hearing Impairment

Impairment in hearing, whether permanent or fluctuating, that adversely affects a child's educational performance, but that is not included under the definition of deafness. To be eligible as a child with deafness, a child must meet the following criteria by a MDT:

- Hearing impairment typically relies upon the auditory channel for primary sensory input related to communication and must meet the criteria of absence of enough measureable hearing (usually a pure tone average of 30 – 65 decibels without amplification) that the ability to communicate is adversely affected

however, the child who has a hearing impairment typically relies upon the auditory channel as the primary sensory input for communication.

## Intellectual Disability

Intellectual Disability is diagnosed by looking at two main things. These are:

- The ability of a person's brain to learn, think, solve problems, and make sense of the world (called IQ or intellectual functioning); and
- Whether the person has the skills he or she needs to live independently (called adaptive behavior, or adaptive functioning).

Intellectual functioning is usually measured by a test called an IQ test. The average score is 100. Scores ranging from standard scores below 70 are within the intellectual disability range.

To measure adaptive behavior, professionals look at what a child can do in comparison to other children of his or her age.

Certain skills are important to adaptive behavior. These are:

- Daily living skills, such as getting dressed, going to the bathroom, and feeding one's self;
- Communication skills, such as understanding what is said and being able to answer;
- Social skills with peers, family members, adults, and others.

To diagnose Intellectual Disability, psychologists look at the child's mental abilities (IQ) and his or her adaptive skills. Both of these are required in the definition and identification of Intellectual Disability.

## Multiple Disabilities

Concurrent impairments (such Intellectual Disability-blindness or Intellectual Disability-orthopedic impairment), the combination of which causes such severe educational needs that they cannot be accommodated in special education programs solely for one of the impairments. MD does not include deaf-blindness

## Orthopedic Impairment

To be eligible for special education as a child with orthopedic impairment, a child must:

- Exhibit a severe orthopedic impairment, including impairments caused by a congenital anomaly, disease or other causes that adversely affects educational performance
- Be diagnosed by a physician as orthopedically impaired

## Other Health Impaired

Other health impairment means having limited strength, vitality or alertness, including a heightened alertness to environmental stimuli, that results in limited alertness with respect to the educational environment, that-

- (i) Is due to chronic or acute health problems such as asthma, attention deficit hyperactivity disorder, diabetes, epilepsy, a heart condition, hemophilia, lead poisoning, leukemia, nephritis, rheumatic fever, an sickle cell anemia; and
- (ii) Adversely affects a child's educational performance.

### Specific Learning Disability

The child must exhibit a disorder in one or more of the basic psychological processes involved in understanding or using sign language, spoken or written, which may manifest itself in an imperfect ability to listen, think, speak, read, write, speak or to do mathematical calculations.

### Speech Language Impairment

To be eligible for SLI, a child must:

- Exhibit a communication disorder, such as stuttering, impaired articulation, a language impairment or a voice impairment that adversely affects educational performance
- Be diagnosed by a speech language pathologist
- Be certified by the MDT as qualifying and needing special education services

### Visual Impairment

To be eligible as a child with blindness, a child must be certified by a MDT to:

- Exhibit a visual capacity of 20/200 or less in the better eye with the best correction or a peripheral field so contracted that the widest diameter of such field subtends an angular distance no greater than 20 degrees

To be eligible as a partially sighted child, a child must be certified by a MDT to:

- Exhibit a visual acuity between 20 / 70 and 20 / 200 in the better eye with best correction or other dysfunctions or conditions that affect the vision

### Traumatic Brain Injury

The term TBI included open or close head injuries resulting in mild, moderate or severe impairments in one or more of the following areas: cognition, language, memory, attention, reasoning, abstract thinking, judgment, problem solving, sensory, perceptual and motor abilities, psychosocial behavior, physical functions, information processing, and speech.

***A disability category does not determine the amount or type of service. The duration, frequency, and location of the instruction and related service is determined and recommended based on the expertise of the discipline specific team member. The IEP team, including the parents utilize the information presented as it relates to the unique individualized strengths and needs of the child to make the final decision regarding the disability and services.***

## A. Defining Educational Impact

Adverse effect means the child's progress is impeded by the disability to the extent that educational performance is significantly and consistently below the level of similar age peers. Adverse Effect must have been consistently present, across time and settings. Situational issues such as divorce or a death in the family – may cause temporary educational problems that should improve with time which means the educational problem is not due to a disability. The term "educational performance" includes academic areas and non-academic areas. Educational performance in non-academic areas can include reading, math, communication, etc.; progress in meeting goals for the general curriculum; and performance on state-wide and local assessments. Non-academic areas include daily living activities, behavior, mobility, mental health, etc.

While consideration of a student's eligibility for special education and related services should not be limited to a student's academic achievement, evidence of psychological difficulties, considered in isolation, will not itself establish a student's eligibility for classification as a student with an emotional disturbance. Moreover, as noted by the U.S. Department of Education's Office of Special Education Programs, "the term 'educational performance' as used in the IDEA and its implementing regulations is not limited to academic performance" and whether an impairment adversely affects educational performance "must be determined on a case-by-case basis, depending on the unique needs of a particular child and not based only on discrepancies in age or grade performance in academic subject areas" (Letter to Clarke, 48 IDELR 77).

The IEP Team's determination of adverse effect is based on the results of assessments and/or data sources determined by the team to be necessary to validate the effect of the disability on educational performance. The following is a list of assessment(s) or data source(s) used to determine adverse effect:

1. Standard or percentile scores on nationally-normed, individually-administered achievement test(s); or for children ages 3 to 5, appropriate multi-domain nationally-normed test(s) or rating scale(s)
2. Standard or percentile scores on nationally-normed, group-administered achievement test(s), including nationally-normed, curriculum-based measures.
3. Any report prepared by the SST or presented by the parent/guardian that reflects academic or functional performance
4. Performance on comprehensive assessments based on a learning results, such as Common Core, or measurements of indicators within GOLD
5. Criterion-references assessment(s) of academic or functional performance
6. Student work products, language samples, or portfolios
7. Disciplinary evidence or rating scales based on systemic observations in more than one setting by professionals or parents/guardians.
8. Attendance patterns
9. Social or emotional deficits as observed by professionals or parents/guardians in multiple settings, on clinical rating scales or in clinical interviews.

In order to determine educational impact, the IEP team must consider the following questions:

- Is there a disability condition (i.e., a communication disorder)?
- Is there an adverse effect on educational performance (academic achievement and functional performance) resulting from the disability condition?
- If so, are specially designed instruction and/or related services and supports needed from the teacher and/or related service provider to help the student make progress in the general education curriculum?

The following is a list of some areas of educational performance (academic, functional and/or developmental) that are impacted by a variety of disabilities:

- Academic performance
- Communication functioning
- Social functioning
- Pragmatic (social) language
- Organizational Skills
- Group work skills
- Problem solving skills
- Emotion regulation
- Hygiene
- Behavior
- Attention challenges
- Daily living skills/adaptive behavior

## B. Role of SLPs within Related Services

Related services is defined by the [United States Individuals with Disabilities Education Act \(IDEA\)](#) 1997 as, "transportation and such developmental, corrective, and other supportive services as are required to assist a child with a disability to benefit from special education..."[section 300.24(a)]. Students who need [special education](#) and specially designed instruction are eligible for related serves under *IDEA*. During the evaluation process the student is evaluated to first find out if the student has a disability, and secondly to determine what types of related services the student requires. Below you will find a list of the related services that are available to students with IEPs and the role of the provided related service within the educational setting:

Related Service	Role within the Educational Setting
Speech-Language Pathology	Speech-Language Pathologists assess and provide services to students who demonstrate communication deficits/impairments within the following five domains in order to increase attention, comprehension and retention of orally presented information, and express their wants and needs to access the general curriculum: speech production (i.e., articulation, apraxia, dysarthria), receptive language (comprehension), expressive language (use of vocabulary, ability to request/reject/comment), pragmatic language (social use of language), fluency, and voice.

### C. Defining Educational Impact of Speech-Language Impairment (SLI)

A communication disorder, such as stuttering, impaired articulation, language impairment or a voice impairment that adversely affects a child's educational performance. A student is eligible for speech-language pathology services through IDEA 2004 when s/he exhibits a speech impairment that has an adverse effect on educational performance to the degree that specially designed instruction or related services and supports are needed from the SLP to help the student make progress in the general education curriculum. Adverse effect on academic achievement – generally refers to a child's performance in academic areas such as reading or language arts, math, science, and history. The determination regarding whether there is an adverse effect resulting from the communication disorder on academic achievement requires an understanding of the general education curriculum and the language, speech, and communication demands on the student to make progress in academic activities (ASHA, 2007). Adverse effect on functional performance – generally refers to skills or activities that are not considered academic or related to a child's academic achievement and often used in the context of routine activities of everyday living (Federal Register, 71[156], p. 46661). The determination of whether there is an adverse effect resulting from the communication disorder on functional performance requires analysis of how "functional" the student's communication is outside of the classroom learning environment. When the communication disorder limits participation in interpersonal activities (e.g., social conversations, group discussions, peer interactions) or extracurricular and nonacademic activities (e.g., athletics, meals, recess, and clubs), an adverse effect on functional performance is present (ASHA, 2007).

#### How does SLI differ from the other Disability Classifications???

Of the fourteen IDEA classifications, SLI is the only one that can stand alone without specialized instruction. 34 CFR §300.8(a)(2); 34 CFR §300.39(a)(2) OSSE Non-Regulatory Guidance to the Related Services Policy Guidance, issued on June 23, 2010.

Per OSSE's Related Services Policy Guidance (June 2010):

- Special education services refers to specially designed instruction provided at no cost to the parent which meet the unique needs of a student with a disability.
- Related Services are services that are required to assist a student with a disability with benefiting from special education . Related services support special education services by directly or indirectly addressing an identified impairment resulting from a student's disability.
- The term specially designed instruction refers to the adaptation of content, methodology, or delivery of instruction as appropriate to meet the unique needs of a child with a disability. Specially designed instruction assists the student in accessing the general education curriculum, so that the student can meet the education standards that apply within the jurisdiction of the District.

- In the District of Columbia, related services that can be considered specially designed instruction under special education include: speech-language pathology, vocational education, travel training and instruction in physical education

1. Does the child meet the criteria associated with one of the disability categories established in the law (e.g., speech-language impairment)?

2. Does the child need special education and related services as a result of this disability?

***The definition of speech-language impairment highlights the importance of considering the child's performance in school when making the decision about eligibility: "...speech-language impairment means a communication disorder, such as stuttering, impaired articulation, a language impairment, or a voice impairment, that adversely affects a child's educational performance" (34 C.F.R. Section 300.8(c)(11)).***

#### What Makes a Student Eligible for Services???

The presence of an impairment does not make the child eligible for services.

- The crux of an eligibility decision for special education is whether the impairment "adversely affects a child's educational performance."
- The determination of eligibility is made by an interdisciplinary team that includes the parents, based on an analysis of data from multiple sources.
- IDEA prohibits a single professional—an SLP, psychologist, or a physician, for example—from making the decision regarding eligibility.
- The evaluation reports completed by individual professionals should clearly identify the presence of an impairment.
- ***However, the presence of a speech-language impairment does not equal eligibility for speech-language services under IDEA.*** That decision is the sole purview of the eligibility committee, which considers the speech-language assessment information and other data.

#### Questions to Consider When Determining Whether a Child is SLI (Speech Language Impaired)

- Is the child's speech-language impairment the primary disability impacting the his/her ability to access the academic curriculum?
- Is there documented adverse affect per IDEA which would indicate educational impact within the classroom setting?
- What other disabilities does the child have that may potentially impact his/her language and communication skills (prognosis for improvement given intervention)?
- Did the student demonstrate behaviors, attention issues, etc... which may have impacted the validity of the administration of the assessment?
- When the speech and language assessment is reviewed along with the other educational/psychological tests were academic/cognitive concerns revealed?

- Has the student had any exposure to the classroom/language rich setting in order to gain adequate speech/language skills necessary to be functional within the classroom setting?
- Does the child meet the criteria outlined by DCPS to be considered to have a speech and language impairment?

Factors to Consider When Qualifying a Student as Being Speech and Language Impaired (SLI)

In order for the student to qualify under IDEA, **ALL** of the following factors must be ruled out:

- Is the communication developmentally appropriate?
  - If yes, the student is not speech and language impaired
- Is the communication deficit related primarily to the normal process of acquiring English as a second language?
  - If yes, the student is not speech and language impaired
- Is the communication deficit related primarily to dialectal differences?
  - If yes, the student is not speech and language impaired
- Is the relative contribution of behavioral factors greater than communication factors?
  - If yes, the student may not be speech and language impaired

IDEA Sec 300.306(b)- Special rule for eligibility determination. A child must not be determined to be a child with a disability under this part--

- [\(1\)](#) If the determinant factor for that determination is--
- [\(i\)](#) Lack of appropriate instruction in reading, including the essential components of reading instruction (as defined in section 1208(3) of the ESEA);
- [\(ii\)](#) Lack of appropriate instruction in math; or
- [\(iii\)](#) Limited English proficiency



## D. Role of Related Service Providers for Assessments

In order to determine whether or not a student demonstrates a disability, his/her skills are assessed using formal and informal measures. Based on the results and with comparison to educational assessments, the information is utilized to determine if the student meets certain characteristics of the disability coding outlined by IDEA. Below you will find a list of the types of assessments completed by related service providers, which is used along with provided information from the educational team (teachers and special educators) to determine if a disability exists, the type of disability, and the overall educational impact of the disability within the academic setting as it relates to education, social-emotional, vocational, and transition.

Types of Assessments	Related Service Provider Responsible	Types of Information Gathered to Make Determinations Regarding Disabilities
Observation	Social Worker Psychologist Occupational Therapist Physical Therapist Speech-Language Pathologist	Observing behavior of a child in a natural setting is a required part of the evaluation process. A natural setting may include the classroom, playground, restroom, bus, or home. Observations should occur in places familiar to the child where her or she is comfortable and will have the opportunity to demonstrate typical behaviors. Observations add a critical dimension to the evaluation process, particularly when they are used in conjunction with objective tests, behavioral checklists, questionnaires, and interviews.
Language and Communication	Speech-Language Pathologist  Audiologist	Measures the child's understanding of language and expression of language, pragmatic language skills, speech production (including articulation/phonology, phonation/voice, and fluency), oral motor development, and feeding/swallowing skills.
Adaptive Behavior	Occupational Therapist Physical Therapist Speech-Language Pathologist Psychologist Audiologist	The performance of developmentally appropriate daily activities required to meet personal needs and social responsibility. Areas of adaptive behavior to be assessed include, self-help skills, play skills, learning styles, communication skills, motor skills, and social interaction/behavioral skills.

January 2003. Guide for Determining Eligibility and Special Education Programs and/or Services for Preschool Students with Disabilities. The New York State Education Department; Office of Vocational And Educational Services for Individuals with Disabilities. Albany, NY.

**For detailed information on DCPS' Special Education Guidelines,  
please refer to DCPS' Reference Guide:**

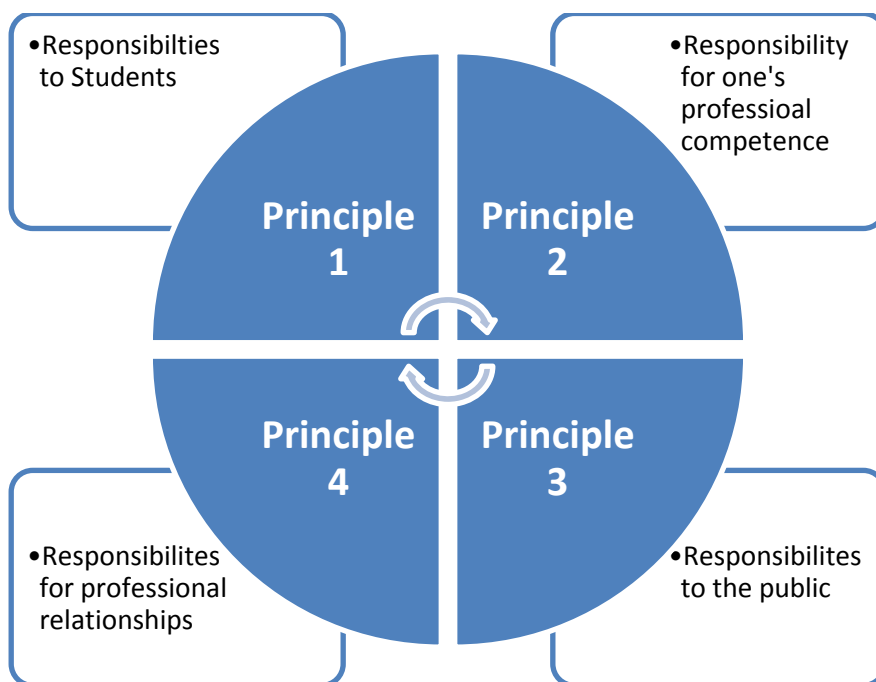
For users connected to a dc.gov <<http://dc.gov>> connection go to:  
<http://osereferenceguide.dc.gov> <<http://osereferenceguide.dc.gov>>

For users not connected to a dc.gov <<http://dc.gov>> connection go to:  
<https://sites.google.com/a/dc.gov/office-of-special-education-reference-guide/>

## F. Code of Ethics and Speech and Language Services

*“A set of principles that outline standards for right or proper conduct.” – Ethics and IDEA, 2007*

The ASHA Code of Ethics (ASHA 2010) consists of four principles of ethics which constitute the moral basis for the code. A code of ethics does not make a person ethical nor is it the mechanism by which we solve ethical problems. Ethical decision-making involved a commitment to applying the ethics code to construct rather than simply to discover solutions to ethical quandaries. Any violation of the spirit and purpose of the Code is considered unethical.



### IDEA & Ethics

Relates Service Providers (RSPs) are bound by:

- State and federal laws (IDEA and OSSE)
- Professional codes of ethics
- Professional association guidelines
- State professional licensing boards (OSSE and DOH)
- Teacher organizations (WTU and CSO)

### Most Frequently Recurring Ethics Issues

- Cultural Competence
  - Discrimination in the provision of services and/or interactions with colleagues and students

- Lack of competence in the selection, administration and/or interpretation of diagnostic and/or treatment materials or approaches
- Reimbursement for services
  - Misrepresenting services to obtain reimbursement
  - Billing for services provided by a clinician who is not certified and/or does not receive the necessary supervision
  - Intentionally misusing incorrect code numbers or diagnostic labels to qualify for payment
  - Billing for services not provided
  - Billing for unnecessary services
- Professional vs. Business Ethics
  - Solicitation of cases for private practice from your caseload
  - Acceptance of gifts or incentive from manufacturers or other individuals
  - Client abandonment and/or disruption of services
  - Failure to report unethical behavior
  - Misuse of professional credentials
- Clinical fellowship supervision

#### References

Davis-McFarland, Elise (2010) Ethics for School Speech-Language Pathologists and Audiologists; ASHA Schools Conference, Las Vegas, NV

Ethics and School Practice. <http://www.asha.org/slp/schools/prof-consult/ethicsschoolspractice.html>

Ethics Q and A for School-based Speech Language Pathology practice. <http://www.asha.org/slp/schools/prof-consult/ethicsFAQsforschools.htm>

## SECTION IV

### Assessment Referral Procedures

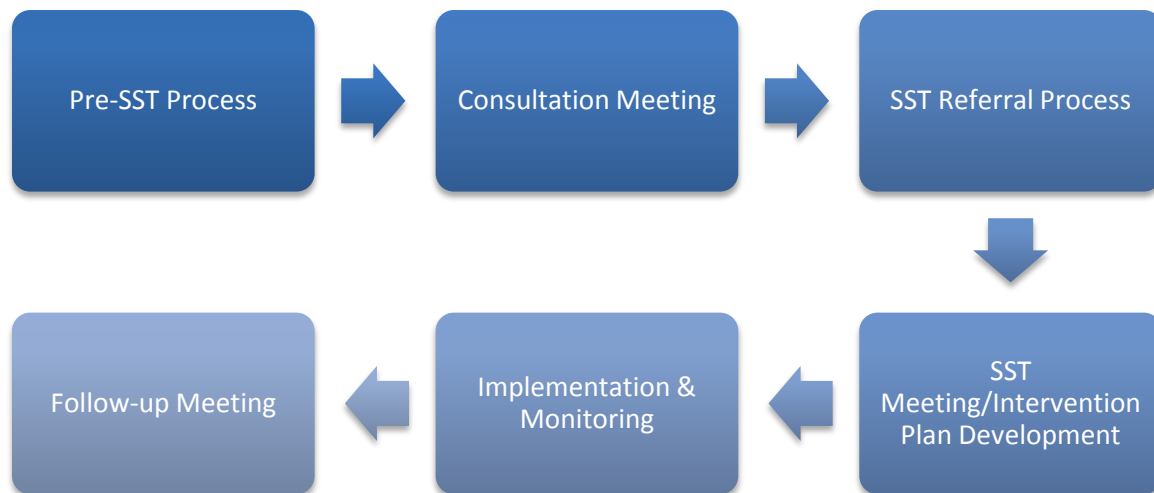
#### A. Role of the Student Support Team (SST)

The Student Support Team is a problem solving team consisting of school-based personnel. Parents are encouraged to participate as an active member of the team. The SST Coordinator organizes and facilitates *weekly meetings* to address the academic and/or behavioral needs of students. The team:

- collects and analyzes student data
- identifies student need(s)
- Identifies interventions matched to student need(s)
- creates a student intervention plan with desired success targets
- establishes fidelity and monitoring systems
- agrees on a home-school communication system
- schedules the six week progress update meeting
- provides support to the teacher for plan implementation

Prior to a referral being submitted the SST should meet on the student to determine what interventions will be implemented to assist in meeting the individual needs of the student.

#### The SST Process



This process is a vital part of the student referral process. Members of the SST include three to five members. Examples of team members include an administrator, a counselor, a regular education teacher, a special education teacher, a school social worker, a parent, specialist or other central office persons, as appropriate. Speech Language Pathologists should serve as consultants to the team. The SST process should be implemented over approximately six weeks, to determine if the recommendations are successful. If the strategies are not successful the team can meet again to modify the strategies. Students should be referred to Special Education if a number of important decision criteria are met:

- Reasonable classroom interventions of sufficient duration have been carefully attempted, without success.
- The cause of the problem is suspected to be a disability that cannot be resolved without special education services.

Exceptions to the process include those students for whom SST would delay obviously needed special education services. In these cases, the SST process may occur concurrently during the special education referral/assessment process.

As a Speech Language Pathologist, you may be asked to consult on the SST for certain students. As a member of the SST, you should provide strategies to the teacher and parent to address the identified communication concerns. In addition, tier 1 or 2 RTI interventions, strategies and techniques may be required by the Speech Pathologist. If the SST process is not successful in addressing the communication concerns, the student should be referred for a special education evaluation.

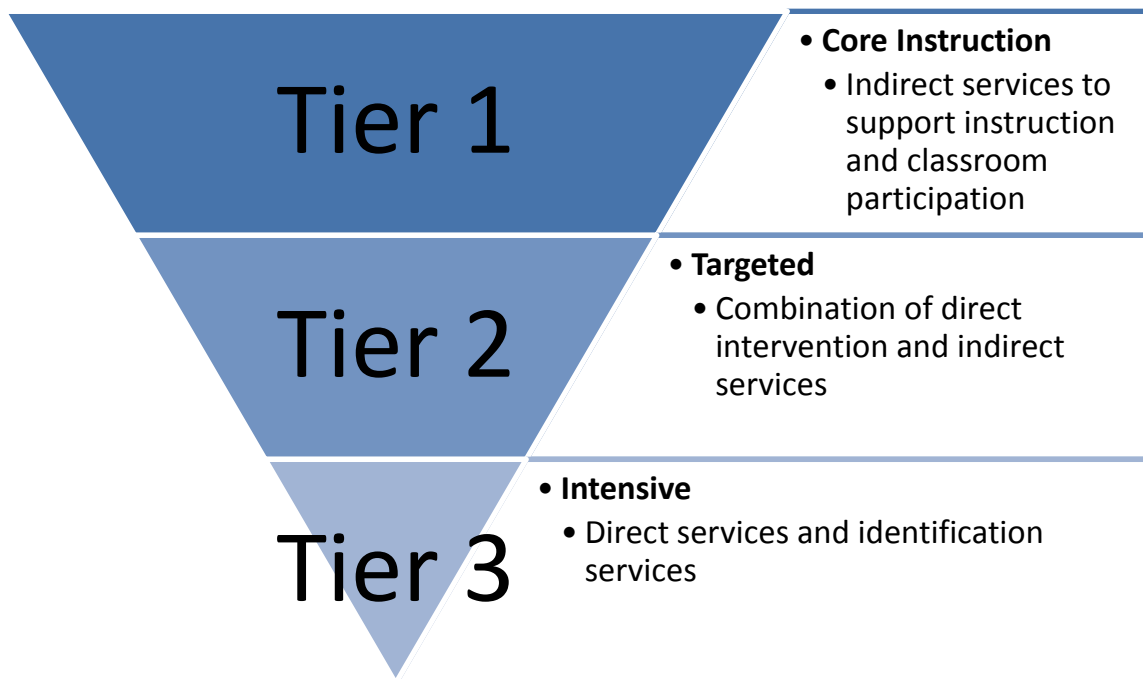
Effective SY 2011 – 2012, RSPs will be able to document pre-referral interventions in the Provider Management Application (PMA). The PMA is accessible through quickbase by accessing the following website:

<https://www.octo.quickbase.com>

If you have any questions regarding the SST process you can contact Dr. Margaret Scott Graves at [Margaret.Scott@dc.gov](mailto:Margaret.Scott@dc.gov) or refer to the educator portal at:

<https://www.educatorportalplus.com/group/edportal/studentsupportteamcoordinators>

## B. Response to Therapy Intervention (RTi)



## I. Role of Speech and Language Pathologists

The SLP's key roles in RTI are to provide intervention through general education for students not meeting expectations in speech and language skills and to use RTI data to assist with identification of speech and/or language disabilities. Prevention of communication disorders is one activity listed in the definition of speech-language pathology services in the Individuals with Disabilities Education Act. SLPs offer expertise in the language basis of literacy and learning, experience with collaborative approaches to instruction/intervention, and an understanding of the use of student outcomes data when making instructional decisions.

### Role of the SLPs at each Tier Level

At the universal tier 1 of RTI/MTSS, speech and language pathologists may:

The SLP provides mostly indirect services to support quality instruction in the classroom and to participate in prevention activities. Direct services include expanded speech and language screening or providing support for struggling students through a variety of classroom activities that support oral language development. Indirect activities include completing student observations, helping the teacher make connection between oral language and literacy, and staff development to promote understanding of students' language learning needs

At the targeted tier 2 of RTI/MTSS, speech and language pathologists may:

The SLP provides a combination of direct intervention and indirect services. Direct services **include targeted group intervention** to support students' speech and language skills in small, same-ability groups. Indirect activities include completing student observations, and assisting with frequent progress monitoring of Tier 2 literacy intervention.

At the intensive tier 3 of RTI/MTSS, speech and language pathologists may:

The SLP provides mostly direct intervention and identification services. Direct services include intensive, individualized intervention to support students' speech and language skills. Indirect activities include helping to select research-based interventions, completing student observations, assisting with frequent progress monitoring, and **helping the team** make decisions regarding referral for special education evaluation.

SLPs can be a valuable resource as schools design and implement a variety of RTI models. The following functions are some of the ways in which SLPs can make unique contributions:

- Explain the role that language plays in curriculum, assessment, and instruction, as a basis for appropriate program design
- Explain the interconnection between spoken and written language
- Identify and analyze existing literature on scientifically based literacy assessment and intervention approaches
- Assist in the selection of screening measures
- Help identify systemic patterns of student need with respect to language skills
- Assist in the selection of scientifically based literacy intervention
- Plan for and conduct professional development on the language basis of literacy and learning
- Interpret a school's progress in meeting the intervention needs of its students

## ANALYZING EXISTING DATA

The analyzing existing data step of the evaluation process should be completed to determine whether or not there is sufficient information to make an eligibility determination or if formal assessments are needed to make a determination. This review must be conducted by a group of individuals that include required members of an IEP Team

- ☐ Review existing evaluation data
  - Information provided by parent
  - Classroom-based observations
  - Response to Intervention in the General Education setting
  - Information provided by teachers
  - Formal and informal assessments
- ☐ The IEP team should begin their review of the referral by analyzing as many of the following types of existing data as are available:
  - Attendance
  - Behavior or Incident reports
  - Classroom observations
  - Class work samples
  - Current grades
  - Discipline reports
  - Documentation of academic and behavior interventions
  - Evaluations and information provided by parents
  - Health records and medical reports
  - Report cards
  - Standardized test scores
- ☐ Identify the data that is needed to be determined
  - Category of disability
  - Present level of performance
  - Special education and related services
  - Modifications to allow child to meet IEP goals and participation in general education
  - The student's progress
- ☐ Documentation of this review must include:
  - The team conclusions/decisions
  - The date the conclusions/decisions are finalized
  - The names of individuals participating in the review
  - Conclusion if additional assessments are needed



### C. Early Stages Center

Students between the ages of 3 and 5 years, 10 months are assessed by Early Stages not the local school special education team. Initial assessments for students 5 years, 10 months, 1 day old are to be completed by the Speech Pathologist assigned to the school. Initial assessments for students younger than this age are to be completed by Early Stages. Re-assessments for students between the ages of 3 years and 5 years 10 months should be completed by the IEP team at the student's attending school. Students referred to Early Stages Center receive a full assessment at the center located at Walker Jones Education Center.

Contact Information for Early Stages Providers	
(202) 698-8037	<a href="http://www.earlystagesdc.org">www.earlystagesdc.org</a>

Per federal findings made via Head Start program reviews, the assessment process should not be deferred to provide SST interventions. Interventions should be provided simultaneously as a student is going through the assessment and eligibility process at Early Stages.

#### The Collaborative Relationship between Providers in Schools and Early Stages

The assessments conducted at Early Stages plays an integral part in the shaping of the students receiving speech and language services within the schools. The early identification of students is intended to reduce future educational impact and adverse effects the student may experience throughout his/her educational career. In order to ensure that the needs of our students are adequately met, it is imperative that the Early Stages SLPs and School-based SLPs collaborate as it relates to identification, recommendations, and determination of services and service delivery.

On many occasions, Early Stages requires input from the student's attending school teachers and/or related service providers. In those instances, school observation data will need to be provided to Early Stages by school based Related Service Providers for the Early Stages evaluation process. Within 24 hours of the Early Stages assessment being completed, prior to the eligibility decision meeting, the appropriate Early Stages' RSP or Evaluation Coordinator (EC) will email the RSPs at the school to complete the observation. For all cases where pragmatics is a concern, the Early Stages SLP or Evaluation Coordinator will contact the school SLP to complete the observation. The observation must be completed and returned to the Early Stages requestor within 14 calendar days of being notified by email. Please see the Appendix for the Observation Forms.

To ensure greater collaboration that educational impact is captured providers at Early Stages may do the following methods of contact with providers at elementary schools and educational campuses:

- Contact providers via email or phone to assist with conducting classroom observations and/or completion of observations forms

- Share findings from assessment reports regarding students who are in their assigned schools
- Provide recommendations and/or determinations of service delivery models/types and frequency
- Notify the provider (when made aware or given the information in advance) regarding upcoming IEP meeting for students assessed at Early Stages, so the school-based provider may attend if their schedule allows
- Share outcomes from the IEP meeting as it relates to service delivery recommendations and frequency
- Discuss current service delivery interventions that are being implemented within the school setting for greater alignment when making recommendations/formulating goals

#### Determination of Settings for Interventions

When making the determination regarding the setting for the recommended service, the Early Stages clinician will seek the input of the school-based clinician in order to align current models of service deliveries being implemented. However, the student's overall progress and level of severity dictates the amount of service and the location. There may be occasions, when the Early Stages clinician might recommend that services may be provided in both the general education setting and outside the general education setting (this typically happens if a school has not yet been identified for the student and they are unable to contact the assigned school-based provider). Given those occasions the Early Stages clinician should input the following to into SEDS to reflect the setting of the delivery of service (as an example):

Service	Setting	Begin Date	End Date	Time Frequency
Speech-Language Pathology	Outside General Education Setting	2/27/2014	2/26/2014	60 min/month
Speech-Language Pathology	Inside General Education Setting	2/27/2014	2/26/2014	60 min/month

OR

Service	Setting	Begin Date	End Date	Time Frequency
Speech-Language Pathology	Outside General Education Setting	2/27/2014	6/20/2014	120 min/month
Speech-Language Pathology	Inside General Education Setting	6/21/2014	2/26/2014	60 min/month

#### Early Stages Clinicians and Feeding Plans

If feeding and swallowing concerns are indicated at the time of eligibility, it is the responsibility of the Early Stages clinician to formulate a Feeding and Swallowing Plan and enter the required documentation (i.e., MBS report, doctor's order, etc...) per the "Feeding and Swallowing Guidelines". This is to ensure that upon the student enrolling into his/her school, there is a plan already formulated and ready to be implemented. Therefore this reduces the possibility of the student not having his/her feeding plan available when starting at his/her school and the

educational team not being aware of the needs of the student. The Early Stages clinician will utilize the most recent MBS (modified barium swallow) study results to assist with the formulation of this plan. Once a student identified as needing a “Feeding Plan”, it is the responsibility of the school-based SLP to provide training the educational staff, conduct periodic monitoring, and modify the plan if necessary. If at the time of the eligibility, feeding and swallowing concerns are indicated, but the parents have not obtained a swallow study and/or do not have the results of a swallow study, the Early Stages provider should do the following:

- Work with the parents and educational team by providing the list of identified locations (see Feeding and Swallowing Guidelines)
- Provide education to the parent regarding the importance and need for obtaining an evaluation to determine their child’s least restrictive diet so safety and hydration needs can be met once enrolled in the school
- Notify the receiving school’s SLP to make them aware of the concern, so they are able to follow-up with the student upon enrollment

#### **D. Vision/Hearing Screening**

Vision and hearing screenings are completed by school personnel (i.e., school nurse). If either screening is failed, appropriate measures must be taken (parent notified, audiological assessment obtained, glasses prescribed, requests for vision / hearing assessments etc.) in an attempt to correct the problem before the student can be evaluated in most cases. This includes even if a student fails a hearing screening in one or both ears. If it is ascertained that a vision or hearing impairment cannot be corrected or has been corrected to the extent that it can be, this information should be included and incorporated into the assessment report.

In the event an audiological assessment is warranted, please complete the following steps:

- Have the LEA order the audiological assessment in the Special Education Data System (SEDS)
- Contact the Audiology department at (202) 698-8011 or send an email to [DCPS.Audiology@dc.gov](mailto:DCPS.Audiology@dc.gov)

#### **E. Central Auditory Processing Disorder Protocol**

Students who are suspected of having Auditory Processing Disorders (APD), or who are diagnosed with APD, should be considered for special education services though the same process as any student suspected of having a disability. To qualify for special education and related services, the disorder must interfere with the student’s ability to obtain reasonable benefit from regular education.

A Central Auditory Processing problem causes difficulty in understanding the meaning of incoming sounds. Sounds enter the auditory system but the brain is unable to interpret efficiently or at all the meaning of sounds... in an extreme case, meaningful sounds cannot be differentiated from non-meaningful sounds.

### Referral Guidelines

The student must:

- Be at least eight (8) years or older.
- Have normal peripheral hearing acuity.
- Full Scale IQ score of 80 or above.
- Have a recent psycho-educational assessment (within the year).
- Have a recent speech and language assessment (current within one year, which must include a language battery (e.g. CELF-5) and phonological processing skills assessment (e.g. CTOPP-2).
- Have intelligible speech.
- Be able to follow directions.

The referral must:

1. Include the psychological, educational and speech-language assessment.
2. State clearly and in detail why the student is being referred for an APD evaluation.
3. List any diagnoses including ADD.
4. Indicate whether or not the student is taking medication for ADD. A student who is taking medication for ADD but has not taken it in the morning of APD, testing will be rescheduled.
5. Indicate which special classes the student attends and for how much of the day.
6. Indicate what modifications are being made for the student at present.
7. Include the Justification for Consideration of APD Assessment Evaluation (see Appendix).

The DCPS Audiologists as a team will determine if the APD assessment is appropriate. DCPS completes APD Assessments at the DCPS audiology center at Payne (where equipment resides).

Criteria used to identify an educationally significant APD

The student must meet the following two criteria in order to be identified as having an educationally significant APD:

- Scores that are below the age-corrected normal region (-2.0 standard deviations) on at least two different dimensions.
- Evidence of difficulty in the academic setting based on observation, multidisciplinary assessment and academic performance.

### F. Speech and Language Assessment Referral

When a speech and language assessment is necessary, a referral for assessment will be initiated. Prior to making a referral for a speech and language assessment, the teacher or SST members should complete the DCPS Communication Abilities Rating Scale form. This information can assist the speech pathologist in completing the Analyzing Existing Data section in EasyIEP. Per the DCPS guidelines, initial and reassessments must be completed within 45 days of parental consent.

## G. Assessments for Non-Attending DCPS Students

DCPS is also responsible for students who reside within the geographic boundaries of the districts that are served by DCPS and who are:

- Students attending non-public Schools outside of the District of Columbia
- Students who receive home instruction as their placement on their IEP (Please note that this does not include students on temporary home instruction as these students are expected to return to their prior school)
- Students who are being home-schooled and
- Students who are non-attending

OSI related service providers also complete assessments for students who do not attend school in a DCPS site. These evaluators are responsible for all students age 5 to 21 years of age who attend a school within the geographic boundaries of the districts that are served by DCPS which include:

- Day Care Center
- Private or Parochial school
- Charter school
- State approved Non-Public Schools (regardless of home address)

These assessments are completed at the neighborhood school by the special education team.

## H. Bilingual Assessment Referrals and Services

School-based speech-language pathologists play an important role in determining appropriate identification, assessment, and academic placement of students with limited English proficiencies (Adler, 1991, ASHA, 1998f).

Speech and language pathologists must understand the first as well as the second language acquisition process. They must be familiar with current information available on the morphological, semantic, syntactic, pragmatic, and phonological development of children from a Non-English language background to be able to distinguish a communication difference from a communication disorder in bilingual children. ASHA's Office of Multicultural Affairs has compiled information on the phonemic systems for Arabic, Cantonese, English, Korean, Mandarin, Spanish and Vietnamese languages on <http://www.asha.org/practice/multicultural/Phono.htm>.

### ***Language Difference vs. Disorder***

Language Difference- Expected variations in syntax, morphology, phonology, semantics, and pragmatics when an individual is acquiring another language. Decreased language skills may be result of experience rather than ability

Language Disorder-A disability affecting one's underlying ability to learn a language. In bilingual children, disorder should be present in both languages (to one extent or another).

The primary goal for most second language learners is to function as proficient learners in the classroom. Literacy skills will transfer from the first language (L1) to the developing second language (L2) if the student has learned the academic skills (reading, writing, organization of information) in the 'home' or first language. Most language learners experience a time when they acquire receptive language skills before they are able to use the language expressively. They listen but do not speak. This silent period parallels the stage in first language acquisition when the children are internalizing the vocabulary and rules of the new language. The students are making needed connections between the first language and their new language. Conversational proficiency is the ability to use language in face-to-face communication. It is important to remember that oral proficiency does not constitute second language proficiency. Oral proficiency is not sufficient for the increased language demands required for academic competence.

### *STAGES of SECOND LANGUAGE ACQUISITION (Hearne 2000)*

#### STAGE I: Pre-Production (first 3 months of L2 exposure)

- Silent period
- Focusing on comprehension

#### STAGE II: Early Production (3-6 months of exposure)

- Focusing on comprehension
- Using 1-3 word phrases
- May be using formulaic expressions ('gimme five')

#### STAGE III: Speech Emergence (6 months-2 years of exposure)

- Increased comprehension
- Using simple sentences
- Expanding vocabulary
- Continued grammatical errors

#### STAGE IV: Intermediate fluency (2-3 years of exposure)

- Improved comprehension
- Adequate face-to-face conversational skills
- More extensive vocabulary
- Few grammatical errors

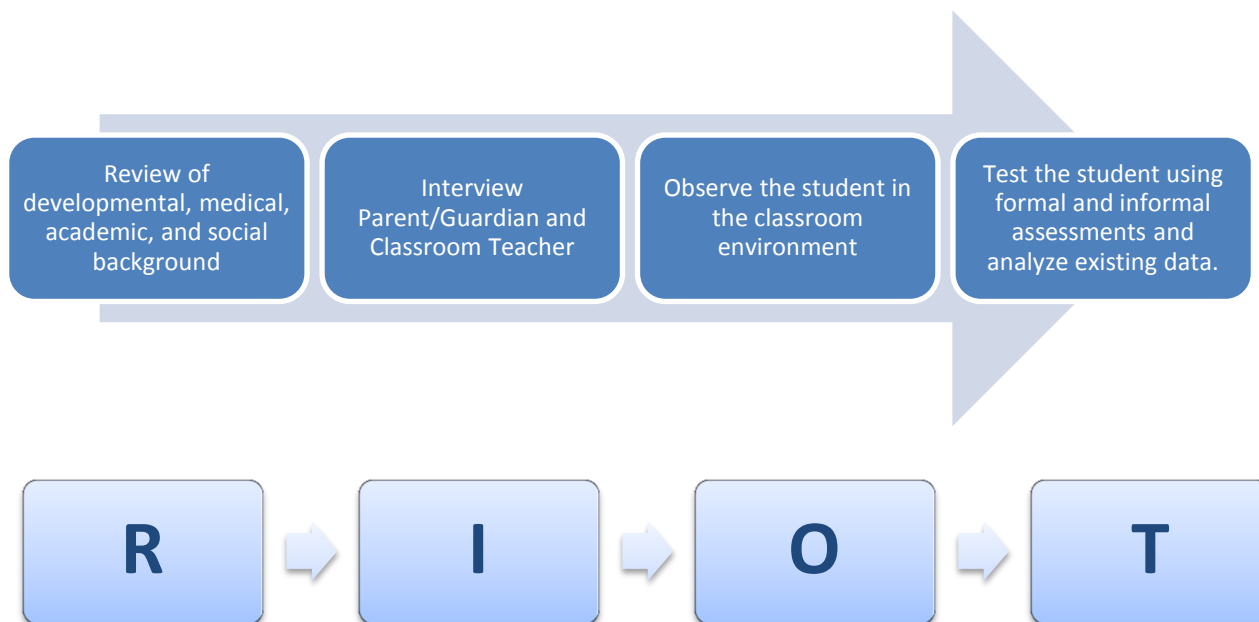
### *Bilingual Assessment*

Assessment includes measuring both social language and academic language abilities. Proficiency in social language may develop within the first 2-3 years of exposure to English, whereas it may take an additional 5-7 years for academic language proficiency to develop. Basic interpersonal communication skills (BICA) are the aspects of language associated with the basic communication fluency achieved by all normal native speakers of a language (social language). Cognitive academic linguistic proficiency (CALP), on the other hand, relates to aspects of

language proficiency strongly associated with literacy and academic achievement (Cummins, 1981). Proficiency in cognitively demanding tasks such as: understanding academic lectures, telling and writing imaginary stories, using language to predict, reason, analyze, synthesize and evaluate, reading and writing (literacy skills).

### *The Process for Evaluating Bilingual Student*

Four steps should be followed by the SLP to determine whether a student demonstrates a language disorder.



### STEP ONE - RIOT

Follow the suggested guidelines of Review, Interview, Observe, Test, or RIOT, as described in greater detail in Langdon & Cheng, 2002, pp.83-86):

- Review various pieces of information such as school and medical records while learning about the student's cultural, social and family background.
- Interview family members/significant others, peers and teachers regarding their perceptions and the student's experiences and exposure to language(s), school and literacy events
- Observe the individual in as many contexts as possible including the classroom environment if the individual is a student, and determine if adequate teaching techniques are implemented to maximize learning in English and acquire academic skills.
- Keep in mind the difference between everyday uses of language (Basic Interpersonal Communication Skills or BICS) vs. language that needs to be used in a learning environment (Cognitive Academic Language Proficiency of CALP) (Cummins, 1981).
- Are there signs of language loss that seem to transcend normal limits?

- Test while taking into account that multiple sources of information should be considered such as authentic and dynamic assessment, analyze portfolios and gather data on how the student has progressed over time. Take into account the legal and ethical considerations listed above during this process.

#### B. STEP TWO - Red flags

Look for the following **RED** flags:

- The student has made slow progress in learning English and academics despite accommodations and special classroom interventions.
- The individual has a significant medical history that may have impaired speech and language development.
- Family reports impairment in the primary/native language.
- Teachers and parents report student is learning very differently from other siblings and/or students who have had similar linguistic background and learning opportunities.
- When the above areas have been considered and the student should be assessed, it is important to determine if assessment should be done in one or two languages

#### C. STEP THREE – Primary language testing

Determine whether to test or not test in the primary/dominant language:

- Legally under IDEA, the SLP must test in the student's primary language. In other settings, ASHA's guidelines should be followed: "{student's} who are proficient in their native language but not in English, assessment and intervention of speech and language disorders of limited English proficient speakers should be conducted in the {student's} primary language.
- For students who possess limited communicative competence in both language--speech and language should be assessed in both languages to determine language dominance."
- If the SLP is not proficient in the student's primary language, a trained interpreter will be essential for a valid assessment and accurate diagnosis.

#### D. STEP FOUR - Assessment process

- Assess each language during separate segments to assess performance in each language.
- Select appropriate assessment instruments and procedures. Both informal and formal procedures should be utilized.
- Informal assessment may include examining previous assessment data, family (patient, parent/caregiver) interview, review of educational and health history, language sampling and dynamic assessment.
- Formal procedures may include the use of standardized tests normed on the target population. SLPs should not use standardized tests unless normed on the same linguistic background as the individual being tested. A variety of standardized tests are available in Spanish with few instruments available in other languages.
- Modifications of tests may be necessary to gain maximum information. All instruments must be examined for relevancy to the referred individual.
- It must be recognized that translations of English tests have many limitations. They do



not provide normative or developmental information and, if used at all, should be used cautiously, to gain general information about the individual's language and academic skills.

- In the event there are no language tests available in the individual's primary language, the SLP is encouraged to team with a speaker of the target language to help conduct a structured assessment and/or obtain a language sample.

### **Test Interpretation**

When administering tests not normed on bilingual or limited-English-proficient students, utilize a disclaimer statement or provide additional information on student's performance. When presenting results, SLPs should report the use of trained interpreters, any test adaptations or modifications, the language and language order of testing, and specific standardized and alternative measures used along with test findings. Scores should never be reported for standardized or translated tests, unless they have been normed for that specific cultural group. Strengths and weaknesses should be described instead.

### **Eligibility for Speech Therapy for Bilingual Students**

Eligibility for special education with speech-language impairment must be based on the presence of a speech-language impairment in L1, NOT the child's limited English proficiency. Care must be given to determine the cause of the communication skill deficits. At any point in the process of acquiring second language proficiency, a student may appear to have language delays or even language disorders as observed in the classroom. However, if the speech-language pathologist's analysis shows that English errors are due to interference caused by learning L2, a disorder would not be indicated, but rather a characteristic of second language acquisition.

A student is not eligible for Speech and Language Services if the answer is yes to either of the following:

- Cultural factors, economic or environmental disadvantage?
  - 34 CFR§300.30 (a)(3), DCMR 3006.4
- Limited English proficiency?
  - 34 CFR§300.306 (b)(1)(iii), DCMR 3006.6(a)

## COMPARISON OF CHILDREN WITH LIMITED ENGLISH PROFICIENCY WITH /WITHOUT DISABILITIES

Characteristics	Child with Limited English Proficiency	Child with Limited English Proficiency with a disability
Communication Skills	Normal language learning potential. Communicative use of English is reduced and easily noted by native English speakers. English phonological errors common to culture. No fluency or voice impairment. Can be communicatively proficient to function in society.	May exhibit speech and language disorders in the areas of articulation (atypical phonology or prosody), voice, fluency, or receptive and expressive language; may not always achieve communicative competence in either first or second language. May exhibit communication behaviors that call attention to himself/herself in L1.
Language Skills	Skills are appropriate for age level prior to exposure to L2. The nonverbal communication skills are culturally appropriate for age level (e.g., eye contact, response to speaker, clarification of response, turn taking). Vocabulary deficit and word-finding difficulties in L2 only. Student may go through a silent period. Code switching common.	May have deficits in vocabulary and word finding, following directions, sentence formulation, and pragmatics in either L1 or L2. Atypical syntactic and morphological errors. Persistent errors in L2. Low mean length of utterance (MLU) in both languages. Difficulties in first language and English cannot be attributed to length of time in English-speaking schools. Stronger performance on tests assessing single word vocabulary than on tests assessing understanding of sentences or paragraphs.
Academic Functioning	Normal language learning potential. Apparent problems due to culturally determined learning style, different perceptual strategies, or lack of schooling in home country.	May observe limited progress in second language acquisition, difficulty retaining academic information, difficulty in schoolwork of home country, or difficulty in acquiring the first language.
Progress	Progress in home language is contingent upon adequacy and continuation of first language instruction. Academic progress in English should be steady, but will depend on the quality and quantity of English instruction.	May show less than expected progress in English acquisition and development of academic skills. May show a marked or extreme discrepancy between different areas (e.g. oral skills and writing skills) that cannot be attributed to lack of sufficient time or appropriate interventions.
Social Abilities	No social problems in L1. May have some social problems due to lack of familiarity with American customs, language, expected behaviors, etc. Student may experience social isolation and may be likely to be a follower rather than a leader in a group of English speakers.	May exhibit persistent social and behavioral problems that are in L1 and his/her native culture and not attributable to adjustment and acculturation.

Adapted from the Fairfax County, CLiDES Handbook Team (2003).

The Speech Pathologist's responsibilities related to a bilingual assessment may include:

- serving as a member of the interdisciplinary pre-referral team when there is concern about a limited-English proficient student's classroom performance
- seeking collaborative assistance from bilingual speech-language pathologists, qualified interpreters, ESL staff, and families to augment the speech-language pathologist's knowledge base (ASHA, 1998f)
- teaming with a trained interpreter/translator to gather additional background information, conduct the assessment, and report the results of assessment to the family (Langdon et al., 1994)
- compiling a history including immigration background and relevant personal life history such as a separation from family, trauma or exposure to war, the length of time the student has been engaged in learning English, and the type of instruction and informal learning opportunities (Cheng, 1991; Fradd, 1995)
- gathering information regarding continued language development in the native language and current use of first and second language
- providing a nonbiased assessment of communication function in both the first (native/home language) and second language of the student (Note: IDEA Section 612(a)(6)(B) requires assessment in "the child's native language or mode of communication unless it clearly is not feasible to do so.")
- evaluating both social and academic language proficiency

If the MDT determines based on the results of the English Proficiency Test that they need to be assessed in their native language, the Special Education Coordinator will complete the Bilingual Assessment Justification Form. It is still necessary for all of the pre-referral steps, including intervention, to be completed prior to the submission of justification form. Please see the Bilingual Referral and Assessment Guide using the following Google documents link:

- <https://sites.google.com/a/dc.gov/office-of-special-education-reference-guide/bilingual-assessments>

In cases when a Bilingual Speech and Language Pathologist is not available in the requested language, the monolingual Speech and Language Pathologist is responsible for assessing the student with an interpreter.

### How to use an Interpreter

*Prepare the interpreter by using the BID process:*

#### Briefing

- Establish Seating Arrangement;
- Provide overview of assessment purpose, session and activities;
- Review student behaviors and characteristics that may impact; Discuss plans in case the child is not cooperative;
- Discuss issues of confidentiality and it's boundaries;
- Provide protocols, interviews, language sample materials in advance so that the interpreter can become familiar with them;
- Discuss technical terms and vocabulary ahead of time so that the interpreter may ask questions to verify concepts;
- Review how to translate precisely-especially student errors and differences in sentence structure, style, grammar or imprecise vocabulary.
- Discuss cross-cultural perspectives. The interpreter may provide the SLP with pragmatic rules consistent with the student's background
- Explain that the interpreter will need to limit non-verbal cues, such as hand gestures or vocal variations that may impact assessment results
- Remind the interpreter to take notes on the student's responses

- Develop an agenda for the assessment session and review it with the interpreter interaction
- Welcome student, introduce participants and establish rapport
- Inform the student of the role of the interpreter and the role of the SLP
- Speak directly to the student avoiding darting eyes between the interpreter and student
- Speak in short, concise sentences and allow time for the interpreter to translate everything precisely
- Pause frequently to allow the interpreter to translate information
- Avoid oversimplification of important explanations
- Avoid use of idioms and slang

### Debriefing

- Review student responses
- Discuss any difficulties in the testing and interpretation process
- Examine the language sample. Discuss excerpts with transcription as necessary to illustrate critical elements of student's language usage

### **OSI Related Services Interpreter Request Process**

The Office of Specialized Instruction (OSI) Related Services Interpreter Request process allows Related Services providers (RSPs) to formally request interpreter/translation services. Interpreter/translation services may be requested to support RSPs while conducting student evaluations, and assist parents participating in student meetings. All requests for interpreter/translation services require the RSP to submit an Interpreter Request Form. Requests can be made for the following services:

1. Interpretation/translation in the student's native language during evaluation
2. American Sign Language services
3. Translation of student assessments

All requests should be submitted within a minimum of four business days, prior to the date services are needed. Any incomplete request forms will not be processed.

The information below outlines the process to secure an interpreter for a bilingual assessment, pending the availability of a DCPS bilingual provider:

- LEA orders the assessment in SEDs and assigns the assessment to the school's assigned provider
- LEA/RSP completes the Interpreter Request form using the following link:  
[https://docs.google.com/a/dc.gov/forms/d/1zC\\_1BLdGezxcSgn8SUZ58X510VP5aFYsMVzuMpV0/viewform](https://docs.google.com/a/dc.gov/forms/d/1zC_1BLdGezxcSgn8SUZ58X510VP5aFYsMVzuMpV0/viewform)
- The Related Services point of contact will identify a vendor to complete the interpreter services and provide confirmation of interpreter/translation services at least two days prior to the date of services
- Upon completion of interpreter services, the provider sends a follow-up email to [DCPS.RelatedServices@dc.gov](mailto:DCPS.RelatedServices@dc.gov) confirming the services requested were rendered with attached evaluation form (interpreter should provide form for the provider to complete at the time of service). All evaluation forms should be sent within 2 days of completed interpreter services.

If there are any inquiries or questions regarding the Interpreter Request process, please contact the RS Operations Analyst, Channon Adams at (202) 285-7199, or [Channon.Adams@dc.gov](mailto:Channon.Adams@dc.gov). For more information regarding the bilingual assessment referral guidelines for SY 14-15, please access the Resources for Disciplines/Bilingual Assessment team section accessible through the EducatorPortal link as shown:

<https://www.educatorportalplus.com/group/edportal/relatedserviceproviders>

## Augmentative & Alternative Communication & Assistive Technology Assessment

Assistive Technology (AT) is an umbrella term for all services that directly help a student with a disability select, acquire, or use an AT device to access the curriculum. AT devices and services are used by students with IEPs or 504 plans to access the general or special education curriculum. There are three major categories of AT: AT for Access, AT for Communication, and AT for Learning. AT for communication, also known as Augmentative and Alternative Communication, provides supports for the communication needs of students with disabilities.

Requests for Assistive Technology or Augmentative & Alternative Assessments should be forwarded through your LEA representative who has access to the AT Portal in Quick Base. Consultation, training, and equipment requests are made via the AT Portal. Consultations are typically requested prior to an assessment. AT assessments are requested through the eligibility process in SEDS, which require parental consent.

The IEP team or 504 committee determines whether a student requires an AT device or AT services and then uses one or more of the five following pathways to access AT.

1. Device Trials (device trial forms are located in the AT Portal)
2. AT/AAC Consultation (LEA representative may request in AT Portal)
3. Formal AT/AAC Assessment (requested in SEDS)
4. Add an AT/AAC device to the student's IEP or 504 Plan (after AT Consideration Process, form located in AT Portal)
5. Request AT training (LEA representative may request in AT Portal)

In order for the LEA representative to make appropriate requests for information and equipment needs for a student, the SLP can provide information to the AT department and school team to assist with making determinations for AAC and AT devices:

1. Does the student currently receive AT services (If yes, WHAT?)
2. Has the student received AT services and/or devices in past? If so, WHAT?
3. AT for: Access, Communication, Learning & Studying, Hearing, Vision
4. Name of requested device
5. Description of requested device
6. Justification for requested device
7. IEP goals/objectives related to device
8. Current strategies/interventions used to address related IEP goals/objectives.

When formulating IEP goals for speech and language, providers should not indicate specific names of equipment or devices (i.e., Big Mack, iPad, GoTalk, etc...). However, they may indicate a description of the type of device the student requires to access the academic curriculum (i.e., 9 cell static communication device, dynamic communication device, switch communication device, etc...). Please refer to the Assistive Technology Guidebook for more information on the AT Process.

If you have any questions or concerns related to the AT and AAC process, you can contact Lisa Brodjieski, SLP, Assistive Technology Manager at (202) 360-1680 or [lisa.brodjieski@dc.gov](mailto:lisa.brodjieski@dc.gov).

## CHILD OUTCOME STUDY (COS)

### What does the COS measure?

- COS uses a 7-point rating scale based on a child's functioning compared with what is expected given the child's age.
- COS is a global view of a child's functioning across 3 different areas of functionality at 2 different points in time.
- COS measures the progress made for each child between these two data points (entry and exit).

### Who does the rating?

A team of people who know the child should assign the COS ratings:

- Teachers, professionals, family members, and others familiar with the child.
- Ratings should not be assigned by someone who does not know the child.

### Why do we Measure Child Outcomes?

- Demonstrate the effectiveness of special education programs and services for pre-school age children.
- Organizations interested in the level of effectiveness:
  - U.S Dept. of Ed. (OSEP)
  - OSSE
  - LEAs

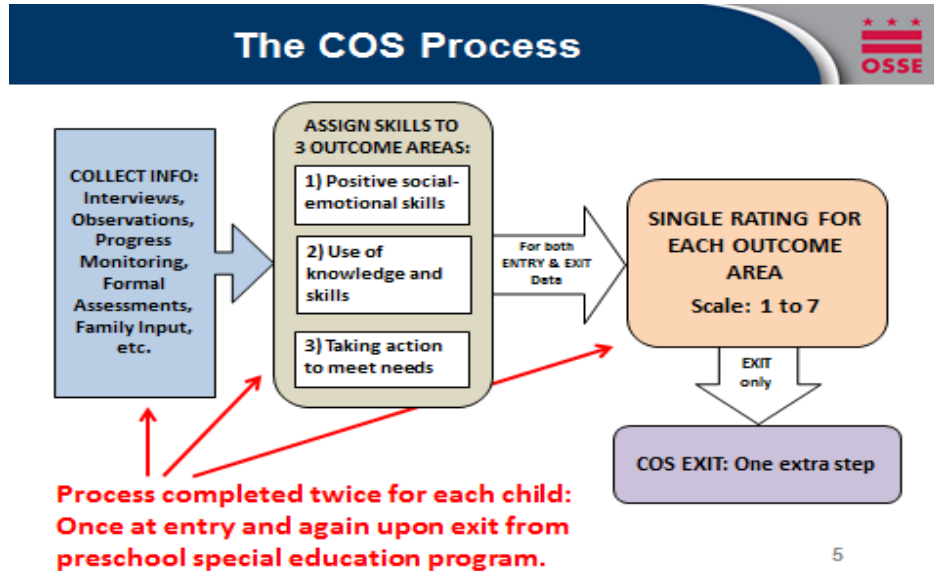
## 3 Outcome Areas of Functionality that are to be Measured

1) Positive social-emotional skills	2) Acquisition and use of knowledge and skills	3) Taking action to meet needs
<ul style="list-style-type: none"> <li>• Relating with adults</li> <li>• Relating with other children</li> <li>• Following group rules</li> <li>• Social interactions</li> <li>• Play</li> <li>• Attachment &amp; separation</li> <li>• Expressing emotions</li> <li>• Following directions</li> <li>• Accepting consequences</li> <li>• Waiting in turn</li> <li>• Having a best friend</li> </ul>	<ul style="list-style-type: none"> <li>• Early language and communication</li> <li>• Reasoning, remembering, and problem solving</li> <li>• Using symbols and language</li> <li>• Object permanence</li> <li>• Early literacy</li> <li>• Imitation</li> <li>• Recognizing numbers and letters</li> <li>• Writing name</li> <li>• Drawing a person with body parts</li> <li>• Attention span to stories</li> </ul>	<ul style="list-style-type: none"> <li>• Motor skills</li> <li>• Self-help skills</li> <li>• Acting on the world to get what one wants</li> <li>• Getting from place to place</li> <li>• Personal hygiene and toileting</li> <li>• Dressing and feeding oneself</li> <li>• Verbalizing needs</li> <li>• Holding pencil, tying shoes</li> <li>• Using scissors</li> <li>• Helping with chores</li> </ul>

### Multiple Data Sources Used to Determine Ratings

- Assessments (Battelle, AEPS, GOLD, etc.)
- Observations
- Interviews
- Teachers
- Parental input

- Service providers
- Norm-referenced scales
- Informed clinical opinions
- Work samples



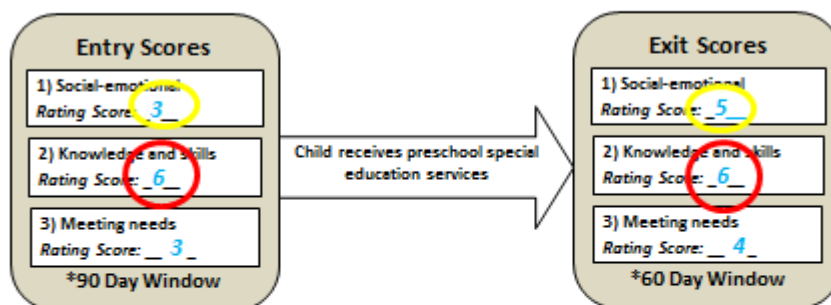
#### Rating for Each Outcome Area (1 to 7)

Rating	Interpretation of Rating
7	<ul style="list-style-type: none"> <li>• Child shows functioning expected for his or her age in all or almost all everyday situations that are part of the child's life.</li> <li>• Functioning is considered appropriate for his or her age.</li> <li>• No one has any concerns about the child's functioning in this outcome area.</li> </ul>
6	<ul style="list-style-type: none"> <li>• Child's functioning generally is considered appropriate for his or her age but there are some significant concerns about the child's functioning in this outcome area.</li> <li>• These concerns are substantial enough to suggest monitoring or possible additional support.</li> <li>• Although age-appropriate, the child's functioning may border on not keeping pace with age expectations.</li> </ul>
5	<ul style="list-style-type: none"> <li>• Child shows functioning expected for his or her age some of the time and/or in some settings and situations.</li> <li>• Child's functioning is a mix of age-appropriate and not age-appropriate behaviors and skills.</li> <li>• Child's functioning might be described as like that of a slightly younger child.</li> </ul>
4	<ul style="list-style-type: none"> <li>• Child shows occasional age-appropriate functioning across settings and situations</li> <li>• More functioning is not age-appropriate than age-appropriate.</li> </ul>
3	<ul style="list-style-type: none"> <li>• Child does not yet show functioning expected of a child of his or her age in any situation.</li> <li>• Child uses immediate foundational skills, most or all of the time across settings and situations.</li> <li>• Immediate foundational skills are the skills upon which to build age-appropriate functioning.</li> <li>• Functioning might be described as like that of a younger child.</li> </ul>
2	<ul style="list-style-type: none"> <li>• Child occasionally uses immediate foundational skills across settings and situations.</li> <li>• More functioning reflects skills that are not immediate foundational than are immediate foundational.</li> </ul>
1	<ul style="list-style-type: none"> <li>• Child does not yet show functioning expected of a child his or her age in any situation.</li> <li>• Child's functioning does not yet include immediate foundational skills upon which to build age-appropriate functioning.</li> <li>• Child functioning reflects skills that developmentally come before immediate foundational skills.</li> </ul>

- Child's functioning might be described as like that of a much younger child.

## Exit Data for COS

## The Importance of Exit Data

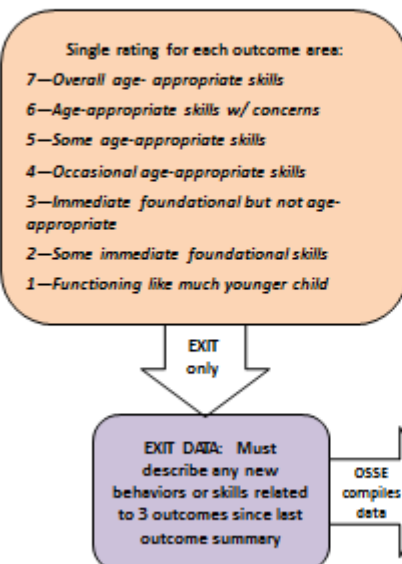


- WHY did child's rating move from 3 to 5?
- WHY did child's rating maintain at 6?
- Describe the progress made and any new skills or behaviors within each outcome area.

**EXIT DATA:** Must describe any new behaviors or skills related to 3 outcomes since last outcome summary.

1

## Purpose of Exit Data



- COS wants to know:
  - Did preschool close the gap?
  - If so, in what ways?

41



## GOLD ASSESSMENT: EDUCATIONAL RELEVANCE AND IMPACT FOR EARLY CHILDHOOD STUDENTS

Early childhood classrooms in DCPS utilize a curriculum and assessment tool called Teaching Strategies GOLD. Teaching Strategies GOLD is an authentic observational assessment system for children from birth through kindergarten. It is designed to help teachers get to know their students well, what they know and can do, and their strengths, needs and interests.

The Teaching Strategies GOLD assessment system blends ongoing, authentic observational assessment for all areas of development and learning with intentional, focused, performance – assessment tasks for selected predictors of school success in the areas of literacy and numeracy. This seamless system for children is designed for use as part of meaningful everyday experiences in the classroom or program setting. It is inclusive of children with disabilities, children who are English-language or dual-language learners, and children who demonstrate competencies beyond typical developmental expectations. The assessment system may be used with any developmentally appropriate curriculum.

The GOLD links key developmental milestones with instruction in order to track student progress. Individual objectives correspond to the dimensions which include: (a) Social - Emotional; (b) Physical (c) Language; (d) Cognitive; (e) Literacy; (f) Mathematics; (g) Science and Technology; (h) Social Studies; (i) The Arts; and (j) English Language Acquisition.

The Teaching Strategies GOLD Language goals are as followings:

- **Objective 8** - Listens to and understands increasing complex language
  - Comprehends language
  - Follow directions
- **Objective 9** - Uses language to express thoughts and needs
  - Uses an expanding expressive vocabulary
  - Speaks clearly
  - Uses conventional grammar
  - Tells about another time or place
- **Objective 10** - Uses appropriate conversational and other communication skills
  - Engages in conversations
  - Uses social rules of language

Early childhood SLPs can use the Teaching Strategies GOLD language goals to determine the educational relevance and impact of early childhood students with communication deficits. Here are the educational impact definitions for each Teaching Strategy Gold language goal.

**Objective 8:** Listens to and understand increasing complex language

A student with difficulty in this area will have deficits in vocabulary development, following directives in class, understanding the routine, interpreting what they hear and connecting it to curriculum task.

**Objective 9:** Uses language to express thoughts and needs

The student will have deficits using language to express feelings, thoughts, needs, making request, gaining information, sharing ideas and stories which impact on telling simple stories with details, sequence details, tell elaborate stories that refer to other times and places and use intelligible speech 75% of the time.

**Objective 10:** Uses appropriate conversational and other communication skills.

The student with deficits in this area will have difficulty following social rules of communicating. They may not use socially polite language, speak so the listener can understand, take turns in a conversation across two or more

exchanges and make appropriate comments. The student may not adhere to acceptable personal space, use appropriate eye contact, appropriately interact with peers, use appropriate gestures or fail to understand nonverbal social cues and respond appropriately. This skill must be addressed by the teacher, SLP, SW and / or Psychologist in the IEP goals.

Providers should utilize this data in conjunction with teacher input to determine if students are making academic progress based on their age and level of school exposure to specific skill to identify if an educational impact to warrant eligibility for special education services under the disability Developmental Delay or Speech or Language Impairment.

## THE ROLE OF RELATED SERVICE PROVIDERS IN GOLD REPORTING

### GOLD Collaboration Sessions

This document provides guidance on expectations for Related Service Providers (RSPs) in reporting for GOLD.

#### Scheduling of GOLD Collaboration Sessions:

GOLD collaboration sessions should be scheduled with SPED teachers during the first and fourth advisories. Thirty (30) minutes should be allotted for each student. In instances where students have multiple services on their IEPs, GOLD collaboration sessions should be scheduled at times when all providers can be in attendance.

RSPs will provide GOLD data to SPED teachers via GOLD Collaboration Sessions before the end of the following quarters:

Third Quarter  
Fourth Quarter

#### Preparation for GOLD Collaboration Sessions:

For each collaboration session, related service providers should come prepared with the following:

- Print-out of at least one (1) service tracker from the current reporting cycle
- Available work samples from the student
- Specific, anecdotal examples of student progress in stated domain areas
- Any appropriate communication log information/entries

#### During GOLD Collaboration Sessions:

Related Services Providers may have insight into each student's progress in various domains. RSPs should plan to provide input wherever they are able during the GOLD collaboration sessions with teachers. Discussions may cover any of the following GOLD areas found on the GOLD Objectives for Development & Learning document.

At the end of the collaboration session, related service providers and the teacher should be able to:

- Agree upon a GOLD level score
- Identify strategies to address areas of need to be incorporated in the classroom and during related service delivery

#### Documenting the GOLD Collaboration Sessions:

All Related Services Providers must document the collaboration with teachers regarding GOLD using the Service Logging Wizards in SEDS. The Service Log entry should include the following language:

##### **"GOLD Collaboration Session**

**Attendee(s):** \_\_\_\_\_  
**GOLD Area of Development Reviewed:** \_\_\_\_\_"

Other notes regarding the session may be included in the service log once the above information has been added.

*\*Please note: Your guidebook may state that logging consultation should only be completed if listed on the IEP. GOLD Collaboration sessions are a twice yearly exception to this rule.*

## J. Untimely Assessment Guidelines

Per DCPS guidelines, initial and reassessments must be completed within 45 days of parental consent. There may be times where assessments cannot be completed within the allotted time at no fault of the provider. In those cases, please adhere to the Untimely Assessment Guidelines developed in November 2009.

### Parent/Guardian Consent is Granted but the Student is Frequently Absent, Truant, and/or Refuses to Participate or Attend

When 2-3 attempts to assess are unsuccessful because the student is absent, truant and/or refuses to participate or attend:

- The Related Service Provider (RSP) assigned to complete the assessment must:
  - Contact the teacher, attendance monitor, and parent/guardian to determine the reason for the student's absence for each failed attempt;
  - Document contacts, attempted contacts, and outcomes in the SEDS communication log;
  - Call the parent/guardian to reschedule the assessment and document in the SEDS communication log; and
  - Inform the Local Education Agency (LEA) and Case Manager (CM) via email that the student was absent or refused to participate and that the information has been documented.
- The LEA/CM must:
  - Contact the parent/guardian at least three times using multiple modalities (e.g., written, phone, email, visit). One contact must be written correspondence sent by certified mail with a return receipt;
  - Notify the related service provider via email when the attempts to contact the parent are made; and
  - Document contacts with parent/guardian, attempted contacts, and outcomes in the SEDS communication log.
- The IEP Team must convene within 15 school days of the second failed attempt to assess. The Team will:
  - Review the student's attendance history since consent was obtained;
  - Consider the reason(s) for the student's absence, truancy, and/or refusal to participate or attend; and
  - Determine if an alternate assessment or schedule for the assessment may be warranted.

The parent/guardian and DCPS can agree in writing that the attendance of certain IEP Team members is not necessary for this meeting depending on the member's area of curriculum or related services; allowing a partial team to meet to address this particular situation. **However, the related service provider assigned to that assessment MUST be in attendance.** If the parent/guardian cannot physically attend the IEP meeting an alternative means of participation may be used (e.g., individual or conference telephone calls).

The LEA/CM will send a letter by certified mail with a return receipt to the parent/guardian within five business days of the IEP meeting if the parent/guardian does not want to attend the IEP meeting or fails to respond to the *IEP Meeting Invitation/Notice*.

### No Parent/Guardian Consent for Initial Evaluation

If the parent/guardian refuses to consent to an initial evaluation or fails to respond to the *Parent/Guardian Consent to Initial Evaluation/Reevaluation* within 15 school days the / must:

- Contact the parent/guardian at least three times using multiple modalities (e.g., written, phone, email, home visit). One contact must be written correspondence sent by certified mail with a return receipt;
- Document contacts, attempted contacts, and outcomes in the SEDS communication log;
- Send a Prior Written Notice (PWN) by certified mail with a return receipt to the parent/guardian indicating that the special education process has stopped. At this point, DCPS is no longer obligated to pursue consent or conduct assessments; and
- Contact the cluster supervisor via email if he/she feels it is necessary to pursue the consent to evaluate. DCPS may elect to proceed to mediation and/or a due process hearing in order to override the lack of consent for assessment.

### No Parent/Guardian Consent for Reevaluation

If the parent/guardian refuses to consent to a reevaluation or fails to respond to the *Parent/Guardian Consent to Initial Evaluation/Reevaluation* within 15 school days the LEA must:

- Contact the parent/guardian at least three times using multiple modalities (e.g., written, phone, email, home visit). One contact must be written correspondence sent by certified mail with a return receipt;
- Document contacts, attempted contacts, and outcomes in the SEDS communication log;
- Send a PWN by certified mail with a return receipt to the parent/guardian indicating that the special education process has stopped. At this point, DCPS is no longer obligated to pursue consent or conduct assessments; and
- Contact the cluster supervisor via email if he/she feels it is necessary to pursue the consent to reevaluate. DCPS may elect to proceed to mediation and/or a due process hearing in order to override the lack of consent for assessment.

### Parent/Guardian Consent Provided but Assessment Not Completed in Timely Manner (Exception: student absent, truant, and/or refuses to participate or attend)

If the parent/guardian has provided consent to evaluate/reevaluate but the assessment may not be completed within the required timeline the LEA must:

- Contact the program manager of the specific discipline via email immediately (e.g., if the LEA suspects the speech/language evaluation will not be completed within the required timeline); and
- Mail written correspondence to the parent/guardian identifying the incomplete assessment(s) and requesting agreement on a new timeline for completion. This correspondence should be sent by certified mail with a return receipt on the same day as the program manager is contacted.

### Parent/Guardian Withdraws Consent to Evaluate/Reevaluate

If the parent/guardian verbally withdraws consent to evaluate/reevaluate the case manager must:

- Document the conversation in the SEDS communication log; and

- Send a PWN by certified mail with a return receipt to the parent/guardian documenting that the consent to evaluate/reevaluate has been withdrawn.

For detailed information on DCPS' Special Education Guidelines, please refer to DCPS' Reference Guide:

For users connected to a dc.gov <<http://dc.gov>> connection go to: <http://osereferenceguide.dc.gov>  
<<http://osereferenceguide.dc.gov>>

For users not connected to a dc.gov <<http://dc.gov>> connection go to: <https://sites.google.com/a/dc.gov/office-of-special-education-reference-guide/>

## SECTION V

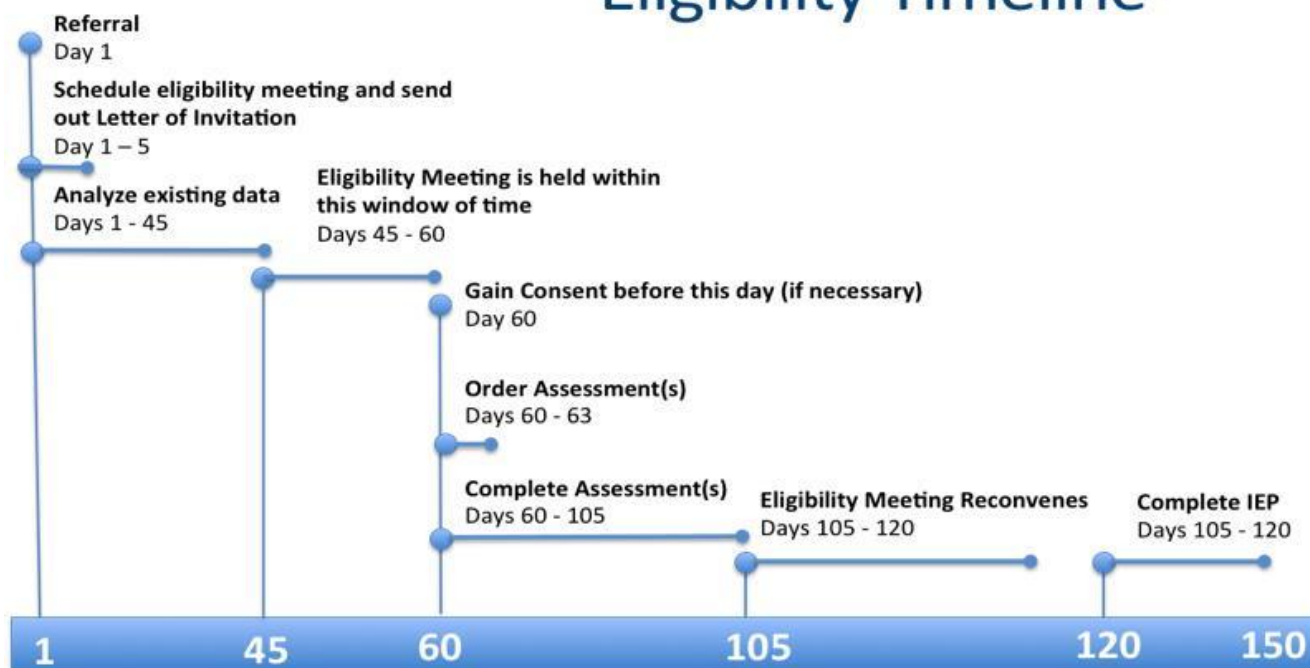
### Speech and Language Assessment Procedures

#### What is “Eligibility”?

Eligibility refers to the meeting of specific criteria for receiving special education and related services. A student may not receive special education and related services as defined in IDEA unless they have been determined to be eligible by the MDT. For a student to be considered eligible for special education and related services there must be documentation that the student meets the two-part test defined by IDEA.

#### What is the Eligibility Timeline?

### Eligibility Timeline



#### Why are we required to use such a strenuous process to determine the eligibility of a student?

There are two reasons for the process to determine if a student is eligible for special education. First and foremost, the process is designed to ensure that students who need special education actually get it! When a student is determined to be eligible for special education, the District basically guarantees that the student will have what they need to learn and benefit from education. Federal and state funds are set aside to guarantee the student receives appropriate services. Explicit instructions are provided for teachers and service providers to help them know how to facilitate student learning.

The second reason that a strenuous process exists is to prevent students from being labeled as disabled for arbitrary reasons such as poor teaching, cultural differences, racial bias, or socioeconomic disadvantage. This process ensures that general education teachers and other educators do not use special education as a dumping ground for students who might not be “perfect learners.”

In addition, the process for eligibility for Speech - Language services should be just as strenuous to avoid over-identification of students, and to ensure that appropriate services are delivered to the students who need them.

### **Who determines Speech - Language eligibility?**

A qualified speech language pathologist with input from the members of the MDT determines if a student is eligible for Speech - Language therapy. The speech language pathologist and the MDT decides if a student is eligible for Speech - Language services using information collected from a multidisciplinary evaluation. This decision is made only after the provisions for pre-referral interventions, referral, and a multidisciplinary evaluation have been completed. A speech language pathologist who can interpret educational implications of evaluations must be an MDT member when evaluations are discussed.

### **What is the process for determining eligibility for Speech - Language services under IDEA?**

Once the Speech - Language evaluation has been completed, the MDT convenes a meeting to review the data and determine if the student is eligible. The basic steps for determining if the student is eligible for Speech - Language services are as follows:

The speech language pathologist:

1. Carefully reviews and discusses each piece of data collected
2. Decides if there is sufficient data to determine if the student is eligible for an assessment
3. Applies the Speech - Language Eligibility Criteria Standards\*\*
  - Mandatory Comprehensive Speech - Language Evaluation and report
  - Speech - Language Eligibility Criteria Standards checklist

### **Should age-or-grade equivalent scores be used in making eligibility decisions?**

Age-or-grade equivalent scores should not be used in making eligibility decisions. Equivalent scores reflect the median score of children in the normative sample at a given age or grade. They do no account for normal variation around the test mean, as do standard scores. The normal range of variability of children of the same age or grade as the child being evaluated might include scores as low or high as the median scores of other ages or grades. Grade-level equivalents may be mistakenly understood to have a relationship to curriculum content at that level. Furthermore, since the age or grade equivalent scale is not an equal interval scale, the significance of a delay at different ages is not the same. While seemingly easy to understand, equivalent scores are highly subject to misinterpretation and should not be used to determine whether a child has a significant deficit.

### **What is an educational model of speech language pathology?**

The educational model of speech language pathology provides evaluation and remediation of oral communication to students within the context of an overall educational profile. Recommendations for frequency and duration of services should align with the District's eligibility criteria and the mandate to support the student's educational program within the *least restrictive environment*. When making recommendations for eligibility, frequency, and duration of Speech - Language services, speech language pathologists should take into consideration the whole range of resources that are available within the educational setting. Many programs (early childhood, autism, hearing impaired, etc.) provide instruction in a language-rich environment using personnel that are trained in being primary facilitators of oral language within their classrooms. Our ultimate goal should be that the student generalizes oral communication skills to their least restrictive educational environment. Often, this is the classroom setting. **When determining frequency and duration of**

services, the Speech – Language Pathologist must consider the nature and severity of the disability, prognosis for improvement, and other available educational resources.

### **What is a comprehensive speech language evaluation?**

A Comprehensive Speech-Language Evaluation is an assessment of communication functioning to determine if there is a speech-language disorder affecting a student academically, social/emotionally, and/or vocationally. The mandatory areas that must be assessed in an initial or re-evaluation comprehensive evaluation are receptive/expressive language and vocabulary. If the area of concern is other than language and/or vocabulary, then you must administer a standardized test (for example; articulation, pragmatics, etc). This also applies when determining the continued eligibility of speech and language services for a student (dismissal from services).

**\*A comprehensive Speech - Language Evaluation includes a standardized measure of language and a standardized measure of vocabulary, unless the student is unable to participate in standardized assessment. If the suspected area of disability is articulation, fluency or pragmatic language, a standardized measure for those areas must be a part of the standard battery of assessment.**

### **What is the purpose of Speech - Language therapy?**

The purpose of speech-language therapy is to remediate an identified communication disorder that has an adverse impact on the student's access to academic, social-emotional and vocational curriculum.

1

- Identification of children with speech or language impairments

2

- Diagnosis and appraisal of specific speech or language impairments

3

- Referral for medical or other professional attention necessary for the habilitation of speech or language impairments

4

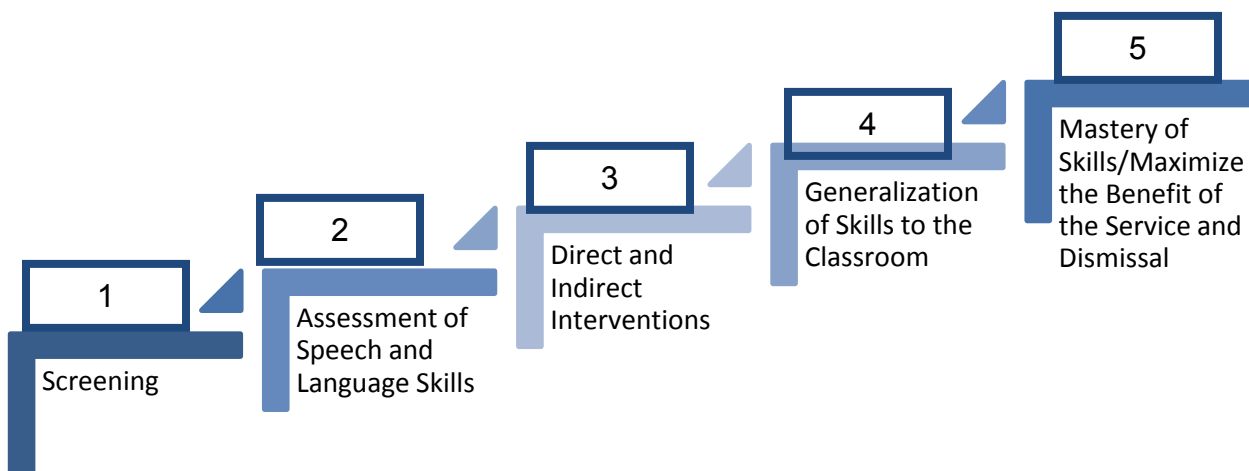
- Provision of speech and language services for the habilitation or prevention of communicative impairments

5

- Counseling and guidance of parents, children, and teachers regarding speech and language impairments.



What is the speech language service process?



## Mandatory Speech/Language Report Elements

1. OSI Letterhead, Page numbers
2. Title:
  - a. Initial S/L Evaluation; S/L Re-evaluation; S/L Classroom Observation; S/L Independent Assessment Review; S/L Data Review Evaluation
3. Identifying Information
  - a. Student Name
  - b. Date of Birth
  - c. Student Identification Number
  - d. Chronological Age
  - e. Grade
  - f. School (Home/Attending)
  - g. Date of Evaluation
  - h. Date of Report
  - i. Examiner/Reviewer
4. Reason for Referral
5. Assessment Protocol
6. History/Background/Record Review
7. Teacher and/or Parent Report
8. Behavioral Observations
9. Validity Statement
10. Hearing
11. Oral Peripheral
12. Articulation
13. Voice
14. Fluency
15. Receptive Vocabulary
16. Expressive Vocabulary
17. Language
18. Pragmatics
19. Summary/Impressions
20. Recommendations
21. Signature
22. Title/Credentials
23. Date

### Description of the Report Elements

Each section must include the mandatory elements with required information for each section. Each section must include a summary of the test results using quantitative and qualitative information/data. In addition, the report should describe the specific communication skills and the student's ability to access the curriculum /grade level material. As school based providers, the written report must discuss the student deficits and its educational impact based on the test results, observations, teacher reports, etc.

Here is a template sample of a speech language assessment report.



### TITLE OF REPORT

**Name:** Name of student

**DOB:** Date of Birth

**SID#:** student id number

**CA:** Chronological age

**Examiner:** Name and credentials

**DOE:** Date of Assessment

**DOR:** Date of Report/Review

**Grade:** The grade that the student is in

**School:** Name of Attending School

**Teacher:** Name of student's teacher

### Reason for Referral

This section must state that the assessment was ordered by the IEP team, as well as the type of assessment (i.e., initial, re-evaluation, etc...) and purpose (i.e. difficulty formulating sentences during classroom activities, etc...). In the case of an initial assessment, this section may also include the person who is making the referral.

### Assessment Protocol

List of formal and informal assessment procedures used in completing the assessment

### History/Background/Record Review

- Pertinent birth, medical, and academic history and information from student file
- Previous Speech & Language Assessment results
- Progress on interventions (RTI or speech therapy IEP goals)
- When conducting a re-evaluation, this section must include information regarding previous therapy goals and progress made/performance

\*\* When referring to previous assessments, state the date of report/assessment, name and credentials of the examiner, findings and level of severity

### Teacher and/or Parent Interview

Report information from the teacher and/or parent that are gathered from interviews, rating scales, or questionnaires to describe student's current level of functioning and support possible educational impact.

### Behavioral Observations

This section should include information regarding the student's behavior during the testing session. It may include statements regarding: activity level, distractibility, impulsivity, preservation, effort, cooperation, comprehension of test directions and separation from parent or classroom. This section may also include the number of testing sessions provided, participation level, and other pertinent information.

**Validity Statement** (can be placed after the Behavioral Observations section or before the Summary section of the assessment report)

Must answer the following three (3) questions:

- Was the assessment procedure valid for the intended purpose?
- Were the assessment procedures valid for the student to whom it was administered and the results are a valid report of the student's current functioning?
- Were procedural modifications made when assessing the student to increase the validity of the results?

### Hearing

Information about hearing function and results of the last hearing screening/audiological assessment (indicate date conducted and by whom)

### Oral Peripheral

Information about the oral mechanism, structures and its function as it may/may not impact speech production and swallowing

Student Name

Page 2

### **Articulation/Phonology**

Formal and/or informal Information about articulation function and performance. Use IPA where appropriate (i.e., /p/), for sounds that do not have an available IPA symbol, place the sound between quotation marks, such as “ch”

### **Voice**

Formal and/or informal Information about vocal function

### **Fluency**

Formal and/or informal information about stuttering/cluttering

### **Receptive Vocabulary**

Formal information about receptive vocabulary skills

### **Expressive Vocabulary**

Formal information about expressive vocabulary skills

### **Language**

Formal information about receptive and expressive language function and performance. Must report Core Language Scores.

### **Pragmatic Language**

Formal and informal information about social language skills. Provide information and examples of verbal and non-verbal communication interactions with peers and staff.

**\*\* All formal and informal assessments for articulation/speech production, fluency, language (receptive, expressive and pragmatic), and vocabulary must include the following: a description of what the test and subtests measured; the interpretation of the standard/scaled scores; strengths and weaknesses must be identified and described as it relates to how it would look/manifest within the classroom setting. All standardized tests must include standardized scores, unless the clinician is unable to establish a baseline/basal. In those instances the provider must indicate that the test/subtest was attempted and describe (i.e., behaviors, etc...) what precluded the student from being able to complete the test tasks Providers should not include raw scores in their reports.\*\***

### **Summary**

- Summary of formal and informal assessment information.
- Information on the educational impact of the student's communication abilities must be discussed.
- Impact statements must include a clear explanation including at least one specific example of how the disability impacts the student's achievement in the general education setting (If the results indicate that there are no S/L impairments, then the provider must indicate that there is no potential educational impact)
- Compare results with previous test results for re-evaluations

### **Recommendations**

- Statements regarding eligibility and placement should defer to the MDT or IEP after all relevant data / assessments have been reviewed and discussed. Do not use any references to whether the student qualifies/does not qualify OR make reference to the continuation/discontinuance of services OR service amount/frequency
- Strategies for teachers and parents to improve communication based on student needs (must align with indicate areas of weaknesses identified in the report)

---

Name, Credentials (highest degree obtained and Certificate of Clinical Competence)

Title (Speech-Language Pathologist, Speech Therapist)

ASHA #/DOH#

When caution needs to be taken when interpreting the results of an assessment, which may impact the validity of the test administration:

- Special accommodations are provided, which are not permitted per the administration manual of the assessment
- Medications were or were not taken that may/may not have impacted attention, focus, and/or behaviors
- Assessment was attempted, however based on the student's cognitive functioning and/or behaviors the assessment tool was not appropriate or did not accurately measure student's performance
- Child is bi-lingual and needed an interpreter when the assessment was administered
- Special seating needed
- The communication output of the student varied (i.e., the use of a AAC device or picture icons)

### Examples of Validity Statements

Example 1: The evaluation procedures included the use of (standardized measures, informal assessment, observation in a variety of settings, and interviews of student, teachers and/or parents). All tests were administered in the student's primary language or through an interpreter, and were administered by qualified personnel in accordance with the instructions provided by the test publishers. Tests were selected to provide results that accurately reflect the student's aptitude, achievement, and which are not influenced by impaired sensory, manual, or communication skills. Except where otherwise noted, the results of this assessment are believed to be valid.

Example 2: The findings of this assessment should be reviewed with caution due to the student demonstrating non-compliance and work-refusal behaviors, therefore it may not be an accurate reflection of his speech and language abilities. Student required multiple redirection to tasks and additional repetition beyond that indicated in the assessment manual.

Example 3: The assessment procedures used throughout the testing sessions were valid for its intended purpose to assess the students speech and language skills. Based on performance and observation, the procedures were valid and accurately reflected the students current speech and language functioning. However, a French interpreter was used to read and translate the student's responses to increase the student's ability to comprehend information and answer questions to increase the validity of the results.

**\*\* Providers are required to complete and submit the "Speech and Language Therapy Eligibility Criteria Checklist" into SEDS within two business days of the student's IEP meeting for ALL initial and re-evaluation assessment reports. The checklist must be submitted into SEDS using a miscellaneous coversheet entitled "S/L Eligibility Checklist". \*\***

### **Independent Assessments**

There are times when an outside assessment is submitted to the public schools for consideration for the eligibility of a student with a suspected disability for the purpose of seeking placement in education programs or accessing services. A multidisciplinary (MDT) assessment team is required to review all relevant documentation and decide if data is sufficient and/or additional information is needed.

A DCPS Speech Language Pathologist must review all independent speech and language assessments. The reviewer must complete the DCPS Review of Independent Assessment checklist form. In addition to the completion of the form, a typed review of the report must be attached to the report. The review of an independent speech and language report must include the following components:

- Place on DCPS letterhead
- Title: Independent Assessment Review

- Student's identifying information
- Background information including observations, teacher or parent interview
- Summary with educational impact statement
- DCPS' recommendations
- Signature, Title and Credentials (electronic signatures are not accepted)

Independent assessments must meet DCPS' criteria of a comprehensive speech and language assessment per the DCPS Speech & Language Eligibility / Dismissal Criteria. There may be occasions where the administrations of additional test batteries are required (i.e., vocabulary batteries, a complete language battery, etc.). In those instances where a provider needs to complete additional testing in order for the student to have a comprehensive speech and language assessment, the provider must use the IEE review and title it "Additional Testing Completed".

In addition to completing a review of an independent speech and language assessment, the provider must complete the "Independent Assessment Review", which is found on the Educator Portal (Resources → Related Services → Policies and Forms → Independent Assessment Review).

Refer to Appendix for a sample Independent Assessment.

### Triennial Assessments

Students placed in special education must have their individualized educational programs re-evaluated every three years. The purpose of the triennial assessment is to determine:

- If the student is still eligible for services under IDEA
- Determine the student's present levels of academic achievement and functional needs
- Whether any additions or modifications to the special education services in a student's IEP are needed, such as a change in disability category.

After a thorough review of the information available regarding a student's present level of performance, the IEP team (including the parent) is responsible for making a decision as to if new assessments are needed to address the above bulleted questions. The Analyzing Existing Data section of SEDS must be completed by the team members for all areas of concern as part of the re-evaluation process. Using this data, the team can determine if assessments are warranted.

Speech and language assessments are not always necessary for re-assessments. The need for a formal assessment should be reviewed and discussed by the IEP team. Examples when a formal speech-language assessment is not warranted for a triennial assessment, include:

- Standardized testing would not provide any additional relevant information.
- The student has demonstrated little change in functional skills.
- There is sufficient anecdotal and informal assessment information to provide an accurate assessment of a student's needs and current levels of performance as documented in the Analyzing Existing Data section and under the Information Reviewed fax cover sheet.
- There is no change in eligibility or location of services.

If the decision is not to conduct new assessments, the parents must be informed of school decision, reasons for it, and their right to request new assessment.

- Informed parental consent should be sought with due diligence by the school before any new assessments take place. The school division may proceed with new assessment if the school can show that it has taken

reasonable measures to obtain this consent and the parents have failed to respond. These attempts must be documented in SEDS.

- A triennial assessment must include new assessments if the parent requests it.
- A triennial assessment should include new assessments, if:
  1. Additional information is needed for continued placement and/or delivery of services.
  2. The IEP committee is considering a change of placement, disability, or eligibility.
  3. The evaluator determines that the previous assessment(s) is outdated, erroneous or inconsistent.

If the decision is to conduct new assessments, a comprehensive speech and language evaluation must be conducted using a language and vocabulary battery. If formal language and vocabulary batteries are not appropriate, informal measures, checklists, observational ratings, or inventories should be completed due to student's difficulties with completing formal batteries.

### Assessment Due Diligence

There are occasions when a student is frequently absent, truant and / or refuses to participate in an assessment session. In those cases, the May 2012 Untimely Assessments and Due Diligence Guidelines should apply. Those steps include:

When 2-3 attempts to assess are unsuccessful because the student is absent, truant and/or refuses to participate or attend:

- The Related Service Provider (RSP) assigned to complete the assessment must:
  - Contact the teacher, attendance monitor, and parent/guardian to determine the reason for the student's absence for each failed attempt;
  - Document contacts, attempted contacts, and outcomes in the SEDS communication log;
  - Call the parent/guardian to reschedule the assessment and document in the SEDS communication log; and
  - Inform the Local Education Agency (LEA) or Case Manager via email that the student was absent or refused to participate and that the information has been documented.
- The LEA/ Case Manager must:
  - Contact the parent/guardian at least three times using multiple modalities (e.g., written, phone, email, visit). One contact must be written correspondence sent by certified mail with a return receipt;
  - Notify the related service provider via email when the attempts to contact the parent are made; and
  - Document contacts with parent/guardian, attempted contacts, and outcomes in the SEDS communication log.
- The IEP Team must convene within 15 school days of the second failed attempt to assess. The Team will:
  - Review the student's attendance history since consent was obtained;
  - Consider the reason(s) for the student's absence, truancy, and/or refusal to participate or attend; and
  - Determine if an **alternative assessment** or schedule for the assessment may be warranted.

### Alternative Assessment Report

The process for an **alternative assessment** should only be followed if **all** of the following conditions have been fulfilled

- You have made at least 3 documented attempts to assess the student, and the student was uncooperative or absent each time.
- You have been in communication with the school staff (Case Manager, Special Education Coordinator, or Administrator) about the case, and they have not been able to assist in making the student available for testing.
- You have spoken to the parent/guardian about the case OR you have confirmed the phone number for the parent/guardian and name/contact information of this individual with school staff, and you have left at least three voice messages (one after 5pm) for the parent and they were not returned.

This process should not be followed if:

- You have not tested the student because you were unable to keep a scheduled appointment for any reason
- You have not successfully scheduled an appointment because you are waiting to hear back from school staff

An **alternative assessment report** should include the following:

- An explicit explanation of why a complete battery of testing measures was not conducted
- A chronological reference to each act of due diligence conducted by the provider. This includes information you sent or provided to the parent/guardian in any format, explaining the scope of the testing you intended to conduct and requesting parental assistance make the student available for testing and to be present on the day of the evaluation. Include dates of phone calls and/or letters sent to caregiver for this purpose.
- Explain your interaction with the LEA, case manager, and school staff. Include reference to any communication that the LEA or school staff has made to the parent regarding this matter.
- Title your report as **“Speech and Language Data Review Evaluation”**.

In the absence of new test data, your report should emphasize a robust summary of existing data based on records review and interviews with all school staff who interact with the student are available and parents/guardians. You should place emphasis on:

- Work samples or notes from the student’s classroom teacher
- Teacher’s concerns/observed difficulties as they pertain to academics affected by the areas of concern
- Accommodations and adaptations the classroom teacher has made to mitigate/remediate deficits, and results.
- Information on the student’s cooperation towards the implementation of those accommodations and adaptations.
- Previous assessment reports
- Progress reports by related service providers (where relevant)
- Classroom observation (if possible)

Your report must state that you or another DCPS provider may complete the full range of initially recommended testing if upon review of this report by the IEP team both of the following statements is true:

1. The team (or parent) still believes there is not enough data available to make an eligibility determination; AND
2. There is reason to think that the factors that previously inhibited you from completing the testing will be ameliorated.

### **Closing Out an Assessment in SEDS**

Upon completing an assessment, the report must be faxed and closed out in SEDS. The following steps should be completed to enter and submit assessment results.

#### Entering Assessments Results:

- To enter results for a completed assessment, click the “Results” button in the appropriate assessment type column.



- You will be taken to a separate details page for the assessment type you selected.
- Enter the date assessment completed.
- If applicable, you may indicate which tools you used as part of the assessment by selecting from the drop down menu and clicking the “Add Assessment Tool” button.
- In the areas addressed by this assessment section, select the appropriate areas being considered for the student (ex. Communication).
- For each area selected, complete a statement of strengths and concerns identified by the results of the completed assessments.
  - TIP: The list of areas that appears is based upon what was selected on the Analyzing Existing Data page as an area where more information was needed.

### Submitting Assessment Reports

- There are two options for submitting assessment reports: fax or copy and paste. Please select the fax option.
- For the fax option, you will be able to create an EasyFax cover sheet by clicking the “Create Speech and Language Assessment Report Cover Sheet” button.
- The cover sheet will appear in a separate document table. Fax your assessment report into the system with this cover sheet.
- When the system receives the fax, a data will appear in the Fax Received column along with a link to the faxed document in the EasyFax column.
- To submit assessment results, click the “Submit Assessment Results” button.
- After you submit the results, you will no longer be able to edit the information on the page.

### Emailing the Case Manager

- Click the “Email Case Manager” button to access the **Send Email** composition page.
- The *To* and *From* address fields are pre-populated based on the user information available in the system.
- The subject link will be “Assessment Completed”.
- In the body of the email, the text will indicate the type of assessment (SLP) that has been completed, along with the *Date of Request*, the *Date Due* and the *Date Completed*.
- Add additional comments in the text field if applicable.
- Click the “Save & Continue” button to send the email and return to the previous page.

It is expected that all providers upload via fax (only), their completed assessments into SEDS 45 days from the date of consent. Uploading into the summary section is no longer an acceptable format for submission. Timeliness will be determined from the initial fax date, which should correspond with the date entered. All reports that are late or are incomplete will be considered Untimely. Please be sure to document and contact your Program Manager if there are any barriers to completing assessments in a timely fashion.

### Canceling Assessments in SEDS

*Scenario One:* Staff orders assessments and the correct provider was not at the table to say assessment was warranted. If provider doesn't agree assessment is needed.

*Response:* The RSP should call LEA Rep or SEC to cancel the assessment. No need for deletion. *Follow Up*

*Scenario Two:* School refuses to cancel assessment.

*Response:* Contact your PM to reach out to the school's SES

### Deleting Assessment Reports Uploaded in SEDS

*Scenario One:* Assessment was uploaded for the wrong student by the provider.

*Response:* The provider should upload new assessment report with correct student's name and inform the upload. Provider should escalate to [spedoda.dcps@dc.gov](mailto:spedoda.dcps@dc.gov), to confirm correct student was uploaded and deletes the erroneous report.

*Scenario Two:* Team reviewed assessment at table, but parent wants to amend report – e.g. correct wrong information. Report is uploaded into SEDS.

*Response:* Help Desk will instruct the provider/user to upload new report and keeps the old one in there. The provider must title the report "Updated" and same name as other report.

*Scenario Three :* The provider states report was faxed into SEDS but all the pages are not showing.

*Response:* Won't delete original fax, but provider can upload the full completed report again.

*Scenario Four :* None of the above.

*Response:* Contact ODA SEDS Help Desk staff.

Please refer to your SEDS manual for additional information located at the following website:

<https://osse.pcgeducation.com/dcdcps>

## SECTION VI

### Speech & Language Eligibility and Dismissal Procedures

#### Speech - Language Disorder Definitions

**General Definition:** A speech-language disorder/ deficit is a communication disorder, such as a deficit in language, fluency, articulation, or voice, which adversely affects a student's educational performance.

**Oral Language Disorder** – Impaired ability in verbal learning evidenced by disability in the acquisition, production, and/or comprehension of oral language. Deficits may be reflected in semantics, syntax, morphology, auditory integration, verbal reasoning and pragmatics.

- Morphology: problems in structuring words from smaller units of words
- Syntax: problems putting words together in phrases & sentences
- Semantics: problems in selecting words to represent intended meaning and combining words and sentences to represent intended meaning
- Auditory Integration: deficits in processing, assigning significance to, and interpreting spoken language
- Verbal Reasoning: deficits in using language to problem solve
- Pragmatics: deficits in the semantic aspect of language (the meaning of what is being said) and the pragmatics of language (using language appropriately in social situations).

**Articulation/Phonological Disorder** – Defective production of speech sounds that interfere with intelligibility of speech and listener perception. Types of sound production errors include: substitutions, omissions, distortions, and additions.

**Fluency Disorder** – Markedly noticeable disruptions in the normal flow of speech that are not readily controllable by the student. These disruptions may include repetitions, hesitations, prolongations, interjections, and associated secondary characteristics.

**Voice Disorder** – Chronic or persistent abnormality in pitch, loudness, or quality resulting from pathological conditions or abnormal use of the vocal mechanism that interferes with communication. Medical information is necessary to determine vocal pathology.

**LITERACY - Role of SLP**

“Collaborative interventions featuring collective involvement of preschool and kindergarten teachers, SLPs, and parents to ensure timely development of key reading, precursors for all at-risk children is currently the gold standard for emergent literacy education and intervention.”

Snow, C., Burns, M.S., & Griffin, P. (Eds.). (1998). *Preventing reading difficulties in young children*. Washington, DC: National Academy Press.

DCPS’ position on the Speech-Language Pathologist’s role and responsibilities in literacy: Phonemic awareness, reading comprehension, decoding, spelling, fluency and written expression are areas that are addressed under the educational assessment and are considered specialized instruction. The SLP has only a role in the identification and treatment of oral language disorders and not reading and written language.

Spoken language provides the foundation for the development of reading and writing. Spoken and written languages share a reciprocal relationship, building on each other to result in general language and literacy competence. Students who have problems with spoken language frequently experience difficulties learning to read and write and students with reading and writing problems often experience difficulties using language to communicate, think and learn. Research shows that students with communication disorders may perform at a poor or insufficient academic level, struggle with reading, and have difficulty understanding and expressing language. It will be the DCPS’ Speech and Language Pathologist responsibility to address solely those concomitant oral language deficits, while the regular/special educator and/or reading specialist will address those academic deficits in reading and written expression.

**Targeted Emergent Literacy (Pre-Literacy) Skills**

- **Phonological Awareness (associating sounds with symbols and creating links to word recognition and decoding skills necessary for literacy).**
  - Discrimination (awareness of sounds in language)
  - Rhyming (awareness of words that sound alike)
  - Segmentation (awareness of that sentences can be broken down into words, syllables and sounds)
- **Narrative and Literate Language (the process of storytelling and comprehension of story elements)**
  - Answering wh-questions
  - Recalling information and story details
  - Understanding cause-and-effect relationships
  - Following directions
  - Sequencing steps and event

National Reading Panel. (April 2000). Teaching children to read: An evidence-based assessment of the scientific research literature on reading and its implications for reading instruction (NIH Publication No. 00-4769). Washington, DC: U.S. Government Printing Office.



### **Speech and Language Therapy Eligibility Criteria Checklist**

Page 1 of 2

<b>Student Name:</b>	<b>Student ID:</b>
<b>Date of Birth:</b>	<b>Date of IEP:</b>
<b>Attending School:</b>	<b>SLP:</b>

**For Eligibility, the IEP team must document a communication deficit in at least one of the following areas on page one and all areas on page 2. A standardized language and vocabulary battery must be administered.**

#### **I. LANGUAGE (one box must be checked)**

- ☐ The student scores at or below 1.50 standard deviations on a minimum of one standardized language assessment measure.

**-OR-**

- ☐ Formal testing is not appropriate. As an alternative method, a minimum of two informal measures were used to document the communication deficit. Describe the types of alternative assessment measures used and why formal testing is not appropriate:

#### **II. ARTICULATION (all boxes must be checked)**

- ☐ There is documentation of impaired articulation and/or phonology and a mild to severe rating on a standardized articulation/phonology measure that yields a severity rating.
- ☐ There is documentation that this deficit in articulation and/or phonology significantly affects the intelligibility of the student's oral communication.
- ☐ The student has consistent speech sound errors or disordered phonological processes that do not occur in typically developing students of similar ages or due to dialectal differences. These errors persist beyond the age at which maturation alone might be expected to correct the deviation.

#### **III. VOICE**

- ☐ The student demonstrates a vocal deficit resulting from pathological conditions of abnormal use of the vocal mechanism that interferes with communication. Medical information is necessary to rule out upper respiratory infection or allergies or to determine the contribution of vocal pathology to the voice symptoms.

#### **IV. FLUENCY**

- ☐ The student demonstrates speaking behaviors characteristic of a fluency deficit. There is documentation of impaired fluency and a mild to severe rating on a standardized fluency measure. Disruptions in the normal flow of verbal expression frequently occur and are markedly noticeable, and are not readily controlled by the student.

#### **V. PRAGMATICS (two boxes must be checked)**

- ☐ The student scores at or below 1.50 standard deviations on one pragmatic measure (TOPL2, CASL Pragmatic Judgment, PLSI, etc).
- ☐ The student did not meet criterion on the CELF4 Pragmatic Profile.
- ☐ Based on two informal measures, the student demonstrates deficits in communicating and understanding needs / interactions with others in various contexts.

**Speech and Language Therapy Eligibility Criteria Checklist**

Page 2 of 2

**ADVERSE EDUCATIONAL IMPACT****Must check both boxes below for eligibility for speech therapy services.**

- ☐ There is documentation that the communication deficits affects oral communication in the student's academic environment and that this delay has an adverse affect on the student's educational performance, social and/or vocational development.

**Method of Documentation**

- ☐ Communication Samples  
☐ Checklist  
☐ Interview  
☐ Observation  
☐ Curriculum based assessments (e.g. portfolios, class tests)  
☐ Other: \_\_\_\_\_

Explain the adverse affect:

- ☐ The student requires speech and language intervention to address oral language deficits that cannot reasonably be provided solely through his/her current educational setting. Accommodations and modifications that can be made in the student's regular education program do not, on their own, meet the communication needs of the student.

Explain why:

**EXCLUSIONS / RULING OUT OTHER FACTORS**

In order for the child to qualify under IDEA, ALL of the following factors must be ruled out:

- 1) Is the communication developmentally appropriate? Yes or No
- 2) Is the communication deficit related primarily to the normal process of acquiring English as a second language?  
Yes or No
- 3) Is the communication deficit related primarily to dialectal differences? Yes or No
- 4) Is the relative contribution of behavioral factors greater than communication factors? Yes or No

**SUMMARY OF FLOWCHART FOR IEP TEAM DISCUSSION:  
LANGUAGE DISORDERS**

Does the student present with a disorder assessed by the SLP on the IEP team in at least one of the following modalities: receptive language or expressive language?

**YES**

Does the language disorder affect the student in his / her ability to access the general education curriculum and a core standard score at or below 1.5 standard deviations below the mean on at least one standardized language battery? Does the student exhibit weaknesses in language tasks (i.e. following directions, understanding concepts, speaking in sentences) that adversely impacts academic progress?

**YES**

Do the deficits exist in the area of oral language (expressive and/or receptive)?

**YES**

Do the deficits exist in the areas of expressive and/or receptive vocabulary?

**YES**

Can the student's oral language deficits be reasonably provided solely through his/her current educational setting and accommodation/ modifications made to the student's educational program do meet the communication needs of the student?

**NO**

The student is eligible for speech and language services as a related service for language deficit. The student should have specialized instruction on their IEP in addition to speech-language related services.

**YES**

The student is not eligible for speech therapy services as a related service. The team may consider addressing the area of difficulty in the classroom setting by the teacher.

**YES**

## ENTRANCE FOR ARTICULATION DISORDERS

## Eligibility Areas:

- Sound Production – articulation or phonological processes
- Overall intelligibility

## Adverse Effect on Educational Performance:

- Oral participation
- Classroom listening
- Oral reading
- Content subjects
- Social emotional adjustment/ behavior
- Reaction of self, peers, teachers

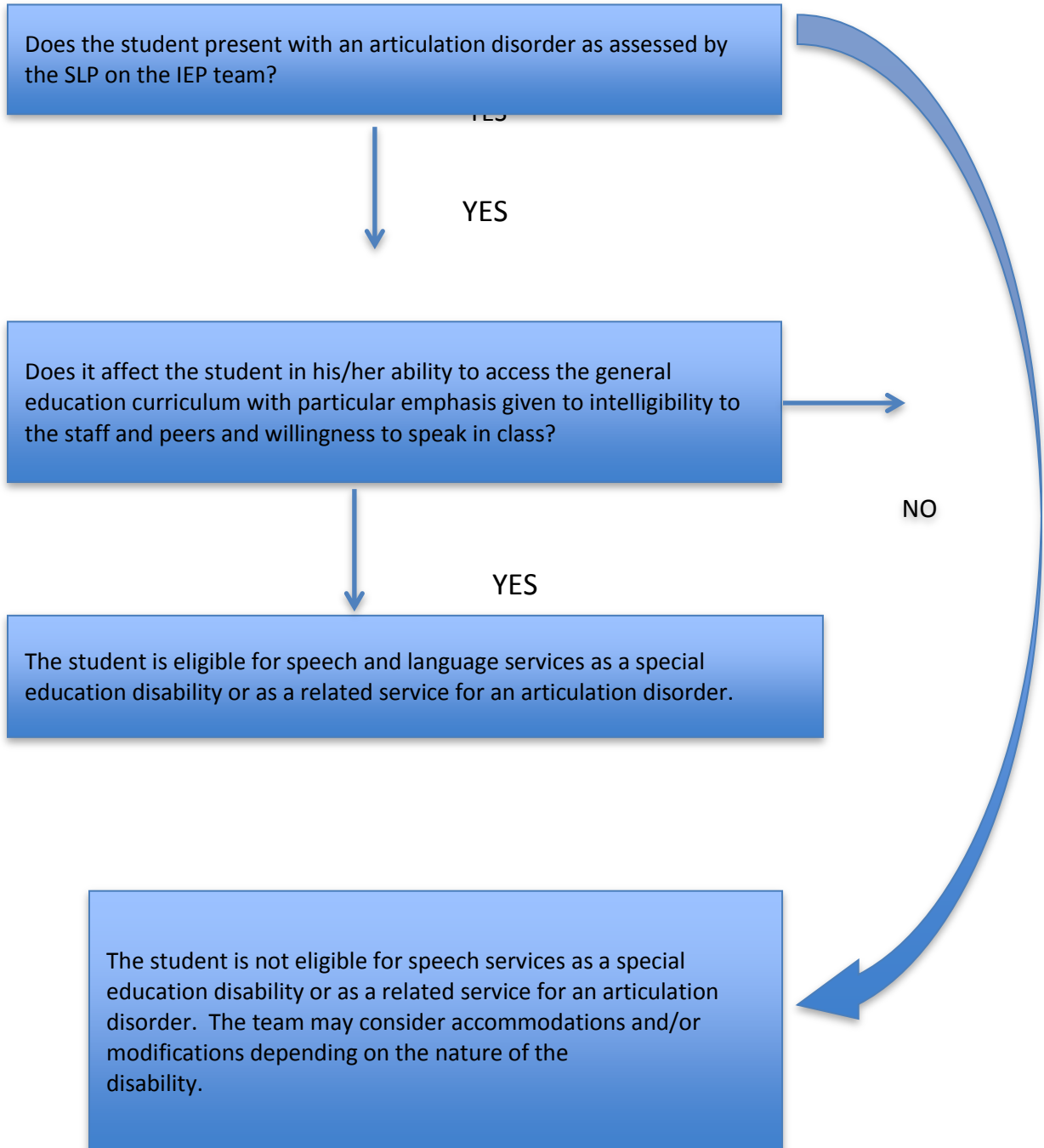
Assessment should reflect areas of concern and consider including the following when determining eligibility:

Phonology Area	Impairment (yes or no)	Evidence	Adverse Effect on Educational Performance
SOUND PRODUCTION			
Isolation			
Syllables			
Words			
Sentences			
Spontaneous Speech			
Oral Reading			
ARTICULATION PROCESSES			
Final consonant deletion			
Cluster Reduction			
Weak Syllable Deletion			
Glottal Replacement			
Labial Assimilation			
Alveolar Assimilation			
Velar Assimilation			
Prevocalic Voicing			
Final Consonant Devoicing			
Affrication			
Fronting			
Gliding of Fricatives			
Gliding of Liquids			
Vocalization			
Stopping			
Other			

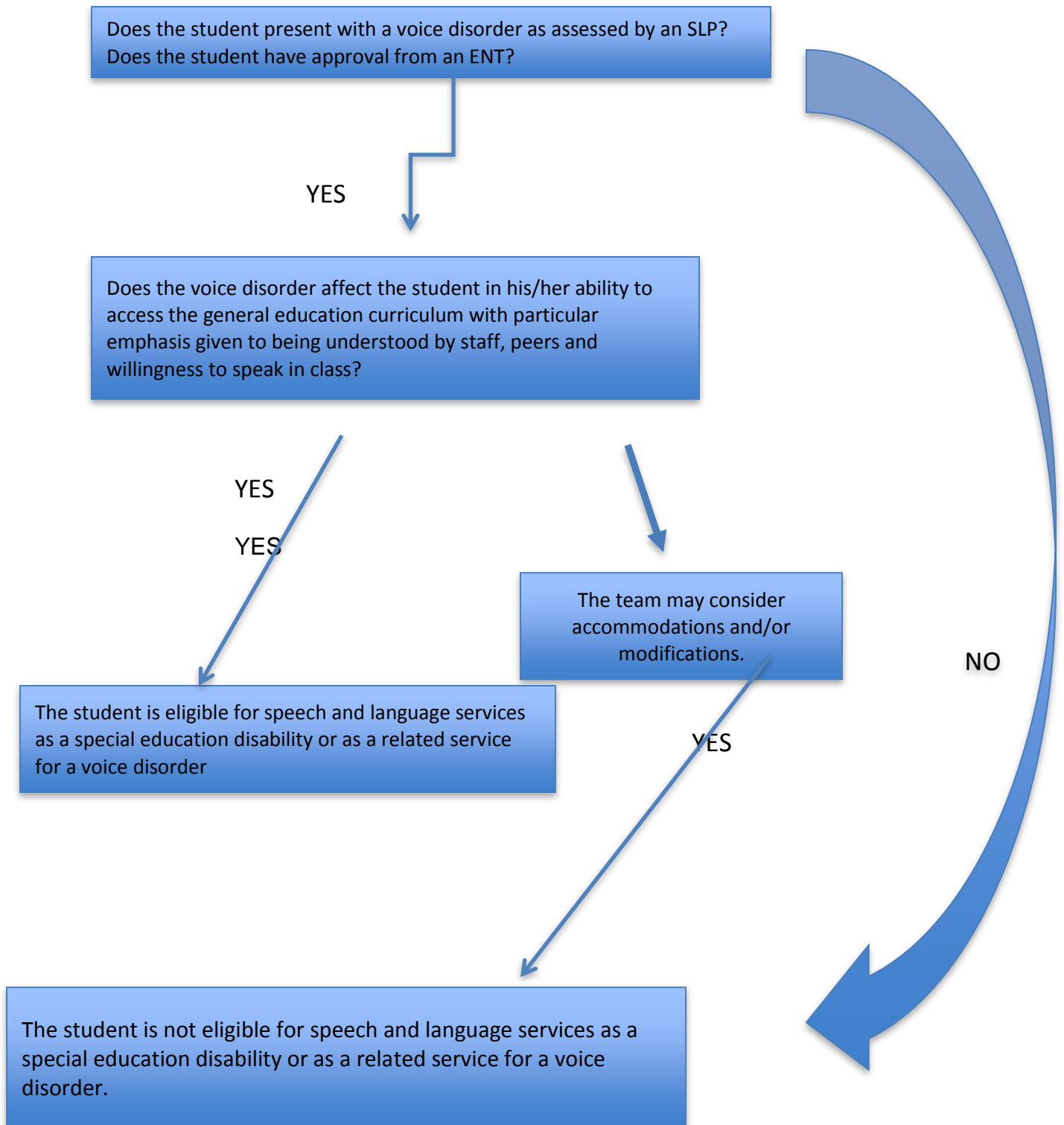


Phonology Area	Impairment (yes or no)	Evidence	Adverse Effect on Educational Performance
STIMULABILITY			
Isolation			
Syllables			
Words			
Phrases			
Sentences			
OVERALL INTELLIGIBILITY			
Understanding of messages by familiar listeners			
By unfamiliar listeners			
Messages Understood in Context			
Messages Understood Out of Context			
Manner of Production Distracts from Content			
ORAL MECHANISM			
Structure			
Function			

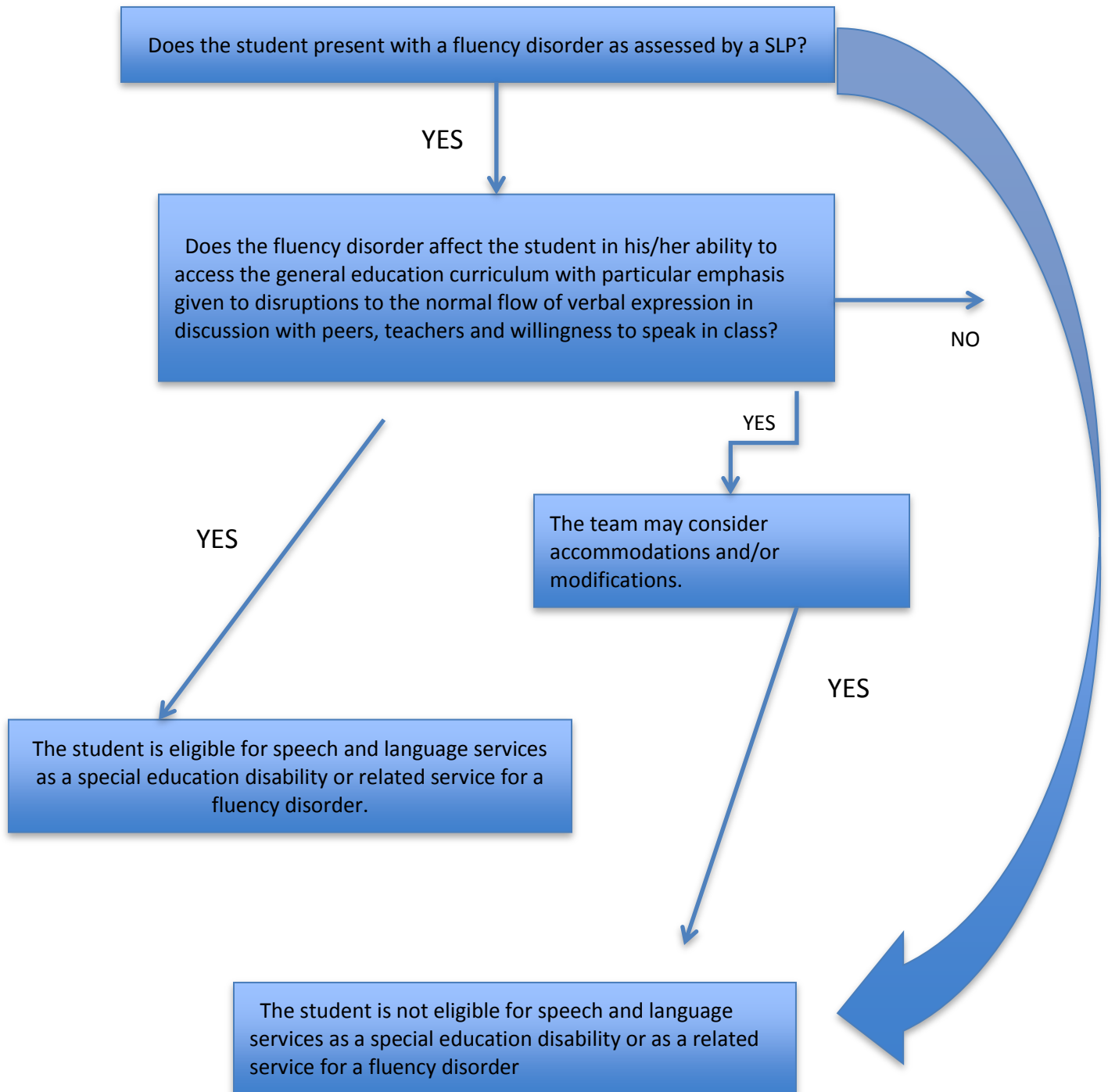
**SUMMARY FLOWCHART FOR IEP TEAM DISCUSSION:  
ARTICULATION DISORDERS**



SUMMARY FLOWCHART FOR IEP DISCUSSION: VOICE DISORDERS



SUMMARY OF FLOWCHART OF IEP TEAM DISCUSSION: FLUENCY DISORDERS



## PRAGMATIC LANGUAGE DISORDERS

Given the increasing in referrals of students on the autism spectrum within the district, this particular section focuses on pragmatic communication disorders. Pragmatic language difficulties frequently are a primary area of disability for children diagnosed with autism spectrum disorders and Asperger's syndrome. Pragmatic language skills are important for developing relationships with others, and for communicating with a range of interlocutors in a variety of contexts, including preschool and elementary school classrooms.

Pragmatics include:

1. The ability to use verbal labels to name objects, actions or attributes appropriately.
2. The ability to use language to request objects or information or to fulfill needs.
3. The ability to use language to relate previous incidents.
4. The ability to use language to relate original ideas.
5. The ability to use language to express emotions and moods.
6. Adherence to the basic rules of conversation, including imitating, turn taking, and staying on topic.
7. Adherence to the social rules of conversation such as maintenance of personal space, eye contact, posture and volume.
8. The ability to determine listener's reception and interpretations.
9. The ability to react to various speech settings appropriately.
10. The ability to understand and react appropriately to idioms, figures of speech, inferences and humor.

When one considers the complexity of the process listed above, it is understandable why a singular formal test would not accurately identify something as complex and context based as pragmatic problems. Pragmatics represents the whole act of communication and is not simply a sum of the parts.

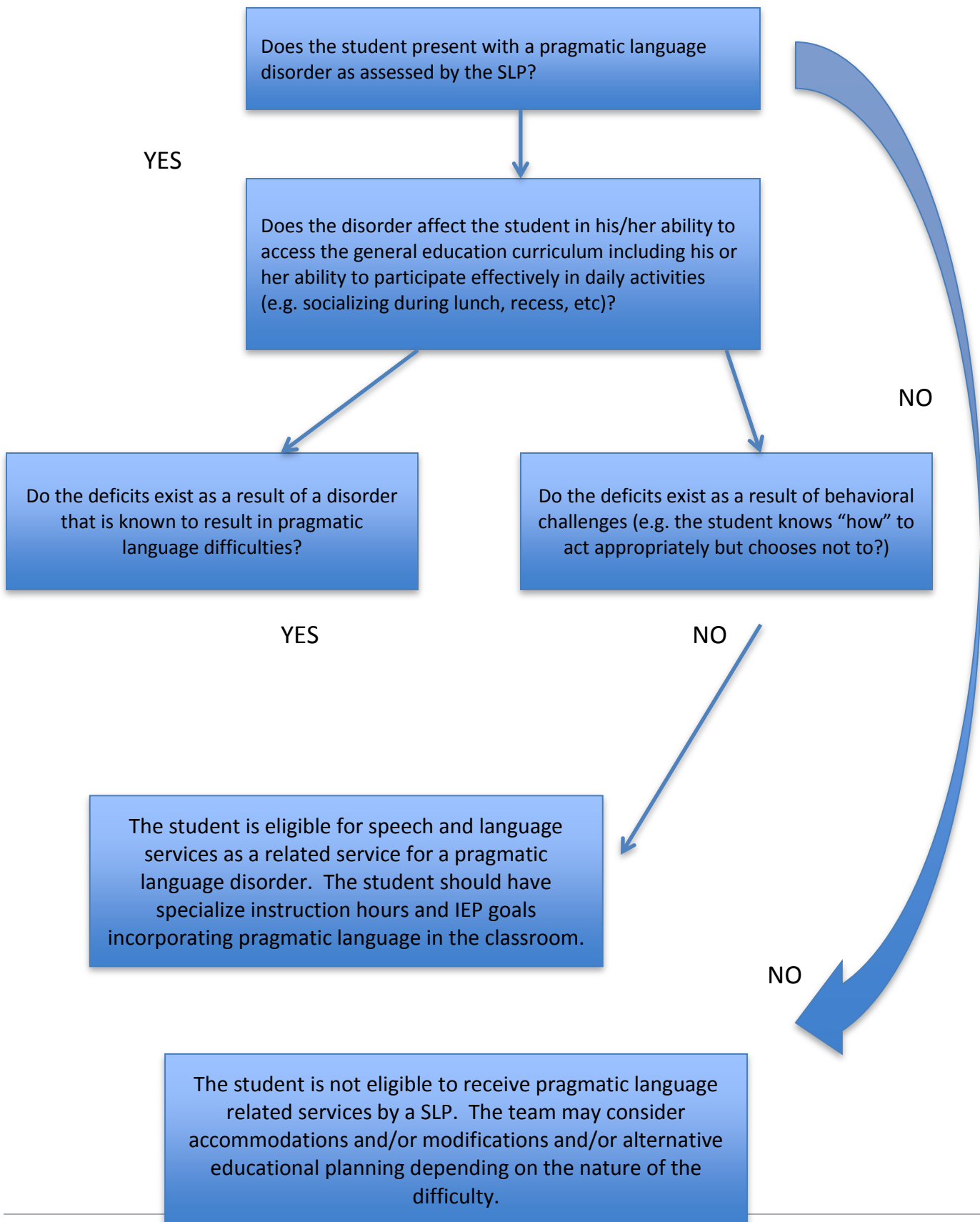
The following methods may be used to assess pragmatic language deficits:

- Observe the student in various situations at school
- Interview people who are familiar with the student about what communication situations are challenging and identification of particular difficulties.
- Complete inventories or checklists that provide a criterion score (i.e. CELF-V)
- Administer the CASL Pragmatic Judgment Subtest.
- Using informal situations to sample the person's ability to deal with specific communication challenges.

For an elementary school age student, this might translate into an observation in the classroom during group instruction and small group sessions, at recess, and in the lunchroom. Parents, teachers, aides and peers might contribute useful information during an interview or through a checklist. The student might be able to identify situations that represent a challenge by completing a checklist. Challenging situations could be embedded within the daily routine so that the student might demonstrate how he manages situations such as being overlooked as papers are passed out, someone teasing him, or needing to ask for assistance with a difficult task. This type of data is called qualitative data. This data collection method is used to analyze complex behaviors such as social interaction. Qualitative data can be as legitimate as quantitative data (test scores) for decision-making about programming needs if it has been collected in an appropriate manner.

**NOTE: Pragmatic skills should not be addressed only by the SLP. It must be addressed by the teacher, SLP, SW and / or Psychologist and reflected in the IEP goals. This is best practice, as pragmatic language skills cannot be addressed in isolation as it fails to generalize across the academic setting without support from educational and other related service staff. Since pragmatic language addresses the use of appropriate verbal and nonverbal communication across a multitude of social contexts and interactions, it cannot only be addressed by the SLP.**

SUMMARY FLOWCHART FOR IEP TEAM DISCUSSION: PRAGMATIC LANGUAGE DISORDERS



## SPEECH AND LANGUAGE DISMISSAL GUIDELINES

*The Speech-Language Pathologist **MUST** utilize the underlining criteria to terminate/discontinue Speech-Language Intervention Services*

**Re-evaluation of a student is required by IDEA Improvement Act of 2004 (C.F.R. 34 § 300.305)** to determine that a child no longer has a disability. Re-evaluation must include current performance data and IEP progress data. Dismissal decisions must be individualized, based on developmental norms, progress data collected, assessment information and the current best practices. **In order to dismiss from speech-language services, the provider must complete a comprehensive assessment.**

**Dismissal from Speech-Language Services may occur if:**

- the student no longer has a speech-language deficit; OR
- although the student has a speech-language deficit, it no longer affects his/her academic performance, and accommodations and/or modifications can be provided to address communication needs; OR
- the student demonstrates a documented lack of measurable progress, triennial to triennial, with consistent speech – language services; OR
- the student has a documented history of refusal of services; OR
- the student’s parent/guardian requests dismissal

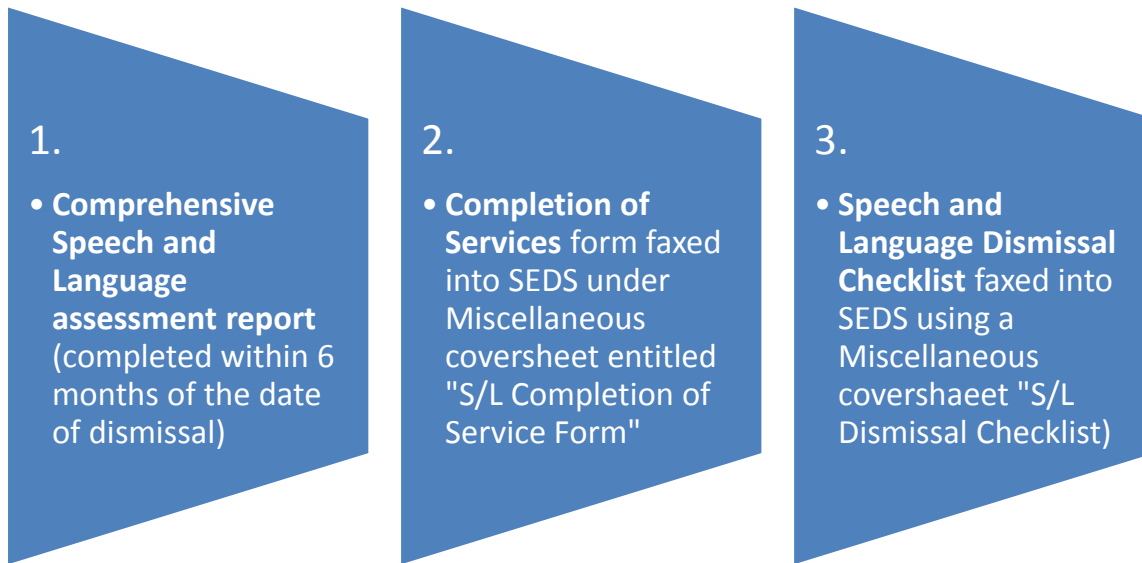
When students are not making progress as deemed by the Speech-Language Pathologist, the MDT/IEP team **must**, according to IDEA Improvement Act of 2004 (C.F.R. 34 § 300.324 (c)), review the child’s IEP to determine whether the annual goals for the child are appropriate. The IEP should be revised, as appropriate, to address any lack of progress toward the annual goal prior to consideration for dismissal. When a student demonstrates a documented lack of measurable progress, triennial to triennial, with consistent services, the provider must provide evidence to the MDT of supporting documentation (types of interventions provided, work samples, teacher interview, data collection, etc...) to support that the child has maximized the benefit of the services which may indicate dismissal.

Best practice for students who receive “Consult-Only” speech and language services, should be re-evaluated and dismissed after a full year of not receiving direct services. The rationale behind this practice assumes that during the consultation-only period of service the student’s speech and language skills were being generalized across the academic setting and did not require direct services to access his/her curriculum. Therefore, the student should transition from consultation-only services and dismissed through a comprehensive speech-language assessment.

Once a student has been dismissed from services, the provider must fax the “Completion of Service” form into SEDS and label a miscellaneous coversheet as “S/L Completion of Service Form”. The “Completion of Service” form requires the signature of the student’s parent or the student if age of majority has been reached and the transfer of rights has been officially documented. In addition, providers are to fax in the “Speech and Language Dismissal Checklist” using the miscellaneous coversheet entitled ‘S/L Dismissal Checklist’. This form is important as it clearly indicates the criteria used to determine dismissal for the student as explained to the educational team. Please note that all service trackers for services provided to the student prior to the meeting must be entered and finalized in SEDS prior to the service being removed from the student’s IEP.



Information that **Must** to be Faxed into SEDS upon Dismissal from Services



**Dismissal Through Eligibility/Triennial Evaluations**

- Complete “Analyzing Existing Data” section in SEDS by including comprehensive information about student’s performance and abilities
- Determine if formal assessments are warranted
  - If **YES** → complete assessments and make final determination based upon findings
  - If **NO** → include the supporting data used to determine dismissal recommendation in AED
- Confirm with LEA and Case Manager that Speech and Language is NOT clicked for the “Eligibility Determination” portion .
- “Completion of Service” form is completed, parent signature has been obtained and faxed into SEDS along with the signature page (from IEP meeting)



### **Speech and Language Therapy Dismissal Criteria Checklist**

**The IEP team must select at least one from below.**

The IEP team must include a speech - language pathologist and information from the most recent comprehensive assessment when discussing dismissal from speech - language services.

<b>Student Name:</b>	<b>Student ID:</b>
<b>Date of Birth:</b>	<b>Date of IEP:</b>
<b>Attending School:</b>	<b>SLP:</b>

#### **Section I: General Dismissal Criteria (Must Select One)**

- ☐ The student no longer requires speech and language therapy. Documentation does not support a continued deficit that adversely affects oral communication in the student's academic environment, social and/or vocational development.
- ☐ Although the student has a speech-language deficit, it no longer affects his/her academic performance, and accommodations and/or modifications can be provided to address communication needs.
- ☐ The student demonstrates a documented lack of measurable progress, triennial to triennial, with consistent speech – language services.
- ☐ The student has a documented history of refusal of services. Due diligence procedures and documentation have been completed and are noted in SEDS (service tracker notes and communication log).
- ☐ The student's parent/guardian requests dismissal.

#### **Section II: Specific Dismissal Criteria by language area.**

AREA	Description
<b>LANGUAGE (Must check one box)</b>	<input type="checkbox"/> The student scores at or above 1.50 standard deviations on a minimum of one standardized language assessment measure. <input type="checkbox"/> The student's score continues to fall at or below 1.5 standard deviations on the standardized measure but demonstrated improvements with IEP goals and improved performance on subtests addressed in intervention sessions.
<b>ARTICULATION</b>	<input type="checkbox"/> There is no documentation of impaired articulation and / or phonology as measured by a standardized assessment measure.
<b>VOICE</b>	<input type="checkbox"/> The student no longer demonstrates a vocal deficit.
<b>FLUENCY</b>	<input type="checkbox"/> The student no longer demonstrates speaking behaviors characteristic of a fluency deficit as measured by a standardized assessment. Disruptions in the normal flow of verbal expression do not frequently occur and are not markedly noticeable with respect to the student's connected speech pattern.
<b>PRAGMACTICS (Must check one box)</b>	<input type="checkbox"/> The student scores above 1.50 standard deviations on one pragmatic measure. <input type="checkbox"/> The student met the criterion score on the CELF-4 Pragmatic Profile. <input type="checkbox"/> The student continues to demonstrate deficits in communicating and understanding needs / interactions with others in various contexts but has demonstrated improvements with the IEP goals and implementing strategies.

## Prognostic Levels Description

In keeping with standards for increased accountability, it is necessary to provide for each student a prognosis for improvement. The words traditionally used to describe prognosis, along with a brief description, are as follows:

**Excellent** - This prognostic statement indicates that the student has a high likelihood of improving significantly. All indicators are positive for significant improvement. This classification can be used for students who may require only a short period of therapy.

**Good** - Choosing this option indicates that the student can be expected to make reasonable progress toward improving functional communication. This statement may be qualified to state that the prognosis for achieving a limited set of goals is good. The person may have positive and negative influences on their likelihood to improve but a majority of the indicators are positive.

**Fair** - This term may be used for the student that has a similar number of both positive and negative prognostic indicators. The person may still be considered a candidate for therapy if the clinician determines that improvement is possible.

**Poor** - This term is used for the student who is not likely to demonstrate functional improvement from therapeutic intervention. This student has more negative than positive indices for improvement. This designation is used for the person who is not going to be enrolled in therapy or should be discontinued from therapy because he/she is not expected to continue to demonstrate progress. The clinician should provide the reason(s) for the poor prognosis.

**Guarded** - This term is used if prognosis presently appears "poor" but may improve significantly after medical intervention, fitting of appropriate amplification, or introduction of augmentative/alternative communication device.

**Prognosis Withheld** - In the case of a student requiring medical evaluation or intervention, state that "the prognosis is being withheld, pending medical consultation."

## Severity Rating Scale

The purpose of this scale is to provide general guidelines for the severity ratings assigned to students. It is broadly divided into "[Normal](#)", "[Mild](#)", "[Moderate](#)", "[Severe](#)", and "[Profound](#)"; and within this range more specifically divided using a scale of 0 – 8. To determine severity, norm, criterion, and student-referenced measures should all be considered. For norm-referenced measures, consider age equivalency as well as standard scores and percentiles. The following should serve as a guide:

### Normal

#### 0 - No noticeable impairment in this area

##### 1 - This classification can be used for the following types of students:

- a) Proficiency in this area is technically within normal limits but is near the lower boundaries of what is considered normal. For a child, a recommendation may be to monitor and/or to follow-up with a consultation at some specified time in the future.
- b) Someone who subjectively reports some effort in performing the skill but this difficulty is not evident to the listener.
- c) Foreign dialect student whose dialect never or rarely interferes with intelligibility.

**Mild:** In general, a classification of either of the mild ratings indicates a disorder, which may be evident but does not significantly reduce the ability to be an effective communicator. In other words, there is a disorder but it does not interfere with everyday, functional communication. For a child, this classification would include those who are six to eight months below age expectancy in functional communication ability.

##### 2 - Examples of the use of this classification include the following:

- a) Disorder is noticeable to a trained listener, but may not be apparent to casual observer in a limited context.
- b) Persons who have difficulty only in a few specific demanding situations.

##### 3 - Examples of the use of this classification include the following:

- a) Persons who have no or little difficulty with everyday, functional communication but may experience minor difficulty in several demanding situations such as high level, contextual conversation or in the presence of competing stimuli.
- b) Persons who require some increased effort to communicate resulting in rarely noticed reduced facility of speech/language without significant decrease in ability to comprehend and/or express wants and thoughts.

**Moderate:** In general, this category represents the level in which a disorder of comprehension or expression becomes a definite impairment in communication. However, the skill level still enables the communicatively impaired person to effectively communicate in many structured and/or limited contexts. For a child, this level would be used to describe one who is eight to twelve months below age expectancy in functional communicative ability.

**4 - Examples of the use of this classification include the following:**

- a) A person whose disorder is readily apparent to even the casual conversational partner. The impairment makes it somewhat more effortful to communicate with the communicatively impaired person.
- b) A person who shares the burden of communication with the listener but the listener is still sometimes required to "fill in the blanks".

**5 - Examples of the use of this classification include the following:**

- a) A person whose disorder is readily apparent. This person's conversation partner finds that it is effortful to communicate with the person, especially when not dealing with everyday topics or with unknown referent.
- b) A child who is clearly below normal limits on a given communicative skill but retains enough functional ability in this area to get across basic wants and needs.
- c) A communicatively-impaired person who shares the burden of communication with others at least half of the time. The conversational partner is often required to fill in gaps.

**Severe:** In general, this classification should be used to describe the student who often does not equally share the burden of communication with his/her partner. The person has limited ability to express basic wants and needs and is not usually able to participate in an actual conversation. The student's prognosis for developing any of these skills may range from poor to good. For the child, this level would be used to describe the child who is 12 months or more below age expectancy level for functional communication.

**6 - Examples of this classification could include the following:**

- a) A person whose communication impairment interferes with all but the most elementary and routine conversational exchanges such as responding appropriately to "How are you?"
- b) A person who can only be understood in limited contexts with referent known.
- c) A child or adult with limited ability to express basic wants and needs. May be able to communicate some desires via simple verbal or non-verbal means.

**7 - Examples of this classification could include the following:**

- a) A person whose communication impairment makes it difficult to communicate even with routine exchanges.
- b) A person who has difficulty being understood even in limited contexts with referent known.
- c) A child or adult with limited ability to express even the most basic of needs by any means.

**Profound**

**8 - This category denotes no observable ability in functional communication.**

## SECTION VII

### Speech & Language Intervention

#### A. RELATED SERVICE PROVIDER WEEKLY BUILDING AND INTERVENTION SCHEDULE

By the first day of school, Local Education Agency (LEA) must identify all students who require related services as per their IEP. This identification process includes:

- Type of service, Related Service Provider (RSP) assigned to the student
- Beginning date of service
- Intensity of service (e.g. one 60-minute session per week)

During the first two weeks of school, Related Service Providers must:

- Check with the LEA at each of their assigned schools to ensure they have all of the students on their caseload assigned to them in SEDS.
- If RSPs have difficulty engaging their LEA in this process, they should contact the OSSE SEDS (EasyIEP) Call Center (202) 719-6500 Monday – Friday, 7:30am – 6:00pm) for assistance in appropriately assigning students to their caseload and immediately notify their Program Manager via email. You can add students to your caseload using your EasyIEP access.
- Identify any students the RSP does not have the capacity to serve.
- Supply this information to their Program Manager immediately to ensure the Program Manager is aware of the capacity issue at that school.

***Speech and Language intervention services start the week of August 25<sup>th</sup>, 2014. If services are not rendered that week, providers are required to make-up missed services for that week prior to the end of the 1<sup>st</sup> reporting period.*** Each service provider must complete and submit a copy of his or her schedule within one week after starting at the assigned school(s). For SY 2014-2015, the schedule signed by the school principal is due to your PM via fax or email on **Friday, August 29, 2014.**

If there are any changes to the schedule changes (i.e., addition of student, removal of students, changes in service times or locations) All submitted copies of the original schedule and updated schedules **must be signed by the principal at the assigned school(s).** A copy of the schedule must be submitted to the program manager, special educational coordinator and principal.

SLPs assigned to an assessment team must submit a weekly schedule to their assigned Program Manager.

If a related service provider varies their work location from what is recorded on the schedule, the principal and Program Manager must be notified.

#### I. Elements to Include When Creating Your Intervention Schedule

Your intervention schedule is the first line of defense in assisting you with workload and caseload management. The below elements are helpful in the event the provider has an unplanned leave of absence or if additional assistance is provided to help manage the caseload. Students are often grouped by age or area of deficit being addressed. If you ever need assistance with formulating your intervention schedule, please contact your

Program Manager. Intervention schedules must contain the following information:

- All students listed on our caseload must appear on your schedule, including indirect/consultation services
  - First and Last Name
  - Type of Speech-Language Deficit Being Addressed (if multiple can be separated by hashmarks “/”)
    - Articulation (A)
    - Language (L)
    - Consultation (C)
    - Fluency (F)
    - Voice (V)
  - Example: John Doe (A/L)
- Name of Clinician
- Name of School
- Contact telephone number for the School
- Make sure to include the following:
  - Time for IEP meetings
  - Time for assessments
  - Time for Case Management
  - Indirect/Consultative services
  - Time for collaboration and planning
  - Time for make-up sessions
  - Time for lunch
- Room # or location of where the service is provided (you may also indicate if you are providing classroom-based services by indicating teacher’s name and classroom number).
  - Example: James Doe (L)
  - Jane Blank (L)
  - Ms. Nelson’s Class (Rm. 202)

Refer to Appendix for a copy of the Related Service Provider Weekly Building Intervention/ Assessment Schedule.

## B. IEPs with Only Speech and Language Goals

Speech and language services can be provided either as a primary service or as a related service. A primary service consists of speech language services as the specialized instruction needed by a child with a disability of SLI to benefit special education. When speech is the primary service, the student’s disability classification must be SLI (Speech Language impaired). An IEP with the disability coding of SLI will fall into two categories: 1.) IEPs with only speech and language goals (to be case managed by providers); or 2.) IEPs with SLI classification that may also have specialized instruction and/or related services, which is not to be case managed by providers.

If a student is receiving special education services in the areas of reading, mathematics or written expression in the form of classroom instruction from a special education resource teacher or special education teacher, then speech and language services must be a related service. Special education instruction services would serve as the primary service. The disability classification can be SLI or any other IDEA disability classification. The primary disability should be the disability with the most significant impact on the student’s ability to access the curriculum.

Whether speech and language is a primary service or a related service does not depend on the severity of the disability. A comprehensive speech assessment must be completed in order for a student to be found eligible for speech language services, regardless of whether the speech therapy service is a primary service or a related service.

Per IDEA, you cannot have an IEP with speech consultation services only even for IEP's with a classification of SLI. An IEP with consultation must include either specialized instruction or a related service.

\* Refer to March 2009 memo from Dr. Richard Nyankori in the Appendix

Below is the process outlined regarding the appropriateness of psychological assessments for initial and reevaluations for students considered or already classified for eligibility under SLI/speech only IEP:

### Initial Evaluations

Psychologist will complete a psychological screener to determine whether a cognitive assessment is warranted. If warranted then a psychological evaluation assessing cognitive functioning will be conducted on students to ensure identification of any processing deficits or baseline functioning levels that may impact communication abilities, *when there are communication and/or language concerns identified. If the area of concern is only speech (i.e. articulation, stuttering, voice, apraxia, and dysarthria), then no Psychological evaluation is warranted. **Unless the speech issue is attributed to a traumatic life event that causes educational impact.***

### Reevaluations

1. Psychologists will not conduct an automatic Data Evaluation Review Report.
2. SLPs will compile all necessary data to complete Analyzing Existing Data review
3. If the IEP team believes the disability classification may be inappropriate and that cognitive testing is needed to make a determination, a psychological assessment will be completed.

A psychological assessment will only be completed under conditions outlined in #1; it will not be completed for the sole rationale that a cognitive was not completed during the initial evaluation.

Please note, that if a student may no longer meets the qualifications of SLI and/or there is a concern that another disability may exist, it is important that the speech and language provider and school psychologist collaborate prior to the student's upcoming annual/re-evaluation meeting. The SLP along with the general/special education teacher are responsible for informing their school psychologist regarding concerns that are believed to be greater than a speech and language impairment. This notification needs to occur no less than six (6) weeks prior to the scheduled meeting, to allow the school psychologist adequate time to complete RTI in order to have the appropriate documentation to present to the team regarding the student's response to research-based interventions, the need for additional testing and/or to determine changing the student's disability classification.

### C. Writing PLAAFP and Goals for IEP

#### **Present Levels of Academic Achievement and Functional Performance (PLAAFP) (also referred to as PLOP)**

The first main element of an IEP is a statement of the student's present levels of academic achievement and functional performance (PLAAFP). The purpose of the PLAAFP is to describe the problems that interfere with the student's progress in the general education classroom and with the general education curriculum. The PLAAFP is the foundation to



develop the student's IEP and measure the student's short-term and long-term success. From the PLAAFP, the IEP team develops an IEP that identifies the student's appropriate goals, related services, supplementary aids and supports, accommodations, and placement. The IEP team should include goals as well as any necessary accommodations and/or modifications, related service, or supplementary aides and supports to address any identified area of weakness. Other educational needs of the student, not directly related to the academic curriculum, must also be addressed by the team, the SLP may need to address characteristics such as dysphasia where feeding and swallowing disorders impact the student's ability to participate in lunch time activities (CEC, 2000).

Academic Achievement	Functional Performance
<ul style="list-style-type: none"> <li>• Reading</li> <li>• Written Language</li> <li>• Mathematics</li> </ul>	<ul style="list-style-type: none"> <li>• Physical, Health, Sensory Status</li> <li>• Emotional/Social/Behavioral</li> <li>• Communication difficulties</li> <li>• Vocational skills (ages 15 and older)</li> <li>• Daily life activities</li> </ul>

Anyone who reads a student's PLAAFP should have a comprehensive understanding of the student's strengths and weaknesses. The PLAAFP should contain information on both the student's academic achievement and functional performance:

#### Data Sources

In order to draft a student's PLAAFP, the IEP team should consider data from a variety of sources. Data sources for the PLAAFP include:

- Most recent special education evaluation
- Student performance on DC-CAS/DC-CAS Alt
- Teacher reports
- Classroom observations
- Parental input
- Cumulative records: grades, attendance, retentions
- Discipline records

#### Three Components in Writing a PLAAFP statement

<b>Component 1</b>	A description of the student's strengths and weaknesses.
<b>Component 2</b>	A statement of needs that prioritizes the student's relative weaknesses to highlight what should be the primary focus of instructional support. Information should be included as to why these needs should be prioritized and how addressing these particular needs will improve the student's ability to access grade level content.
<b>Component 3</b>	An explanation of how the disability impacts academic achievement and functional performance in the general education setting. A brief description of specific recommended modifications and/or accommodations that directly relate to the impact of the student's disability on academic achievement and functional performance should be included.

## Examples of Present Levels of Academic Achievement and Functional Performance

### Example 1:

Todd, a fourth-grader, when given a first semester, second-grade-level passage currently reads 85 words per minute with 5 errors when assessed using curriculum-based measurement. According to district norms, Todd is reading in the 45th percentile rank for second-graders in the fall. There is approximately a two year gap in reading fluency between Todd and his typical peers in fourth-grade. He is not able to answer correctly comprehension questions for texts that he has read or those presented auditorially. Todd's narrative storytelling skills are not sufficiently developed to support fluid reading and comprehension of fourth-grade text.

### Example 2:

Emily uses single words and a few two and three-word combinations to communicate her wants and needs at home and school. She initiates social interactions with her peers and labels objects in her environment. Children Emily's age typically use four to five word sentences to communicate. Emily's communication skills make it difficult for her to communicate with adults and peers and to share what she has learned. During a 20 minute play period with peers, Emily used 18 single word utterances (5 utterances also included a sign) and one two-word combination. When two-word combinations were modeled for Emily, she imitated only the last word of the phrase.

## PLAAFP linked to Goals

PLAAFP are inherently linked to the development of annual goals because they serve as baseline data that describe how the student is currently performing academically and functionally. Therefore, PLAAFP should be used as the starting point in developing goals. For each area of weakness identified in the student's PLAAFP, the IEP team must develop appropriate goals.

The present levels section provides insight into the relative strengths and needs of the student. Anyone who reads this section of the IEP should get a quick, yet comprehensive understanding of where the student is struggling and how to capitalize on the student's strengths. When writing the present levels section, teachers should have access to formal assessment results, and the classroom data – both quantitative and qualitative – that has been collected over the course of a year.

***Goals entered into the Speech and Language section of the IEP in SEDS should only address the following areas of communication: speech production, language (receptive, expressive, pragmatic), voice and/or fluency. Goals pertaining to the following should NOT be entered in the Speech and Language section of the IEP in SEDS: written expression, reading comprehension, math calculation, occupational therapy, physical therapy, social/emotional/behavioral development. This is because Speech-Language Pathologists are only trained and certified to implement services as it relates to the area of expertise in the domains of communication (speech and language) as previously indicated.***

### Example 1:

Todd will increase ability to understand and respond to literature from curriculum a semester level as measured by curriculum testing.

Example 2:

Emily will use 2 word utterances and increase by 1 word observed during a structured play activity with 8 out of 10 opportunities.

IDEA (the Individuals with Disabilities Education Act) 2004 wants to ensure that children with disabilities have "access to the general education curriculum in the regular classroom, to the maximum extent possible, in order to (20 U.S.C Sec. 1400 (c) (5) (a) (i)) meet developmental goals, and to the maximum extent possible, the challenging expectations that have been established for all children; and (ii) be prepared to lead productive and independent adult lives, to the maximum extent possible."

DCPS requires goals written in a S.M.A.R.T. format.

<b>S</b>	Specific
<b>M</b>	Measurable
<b>A</b>	Use Action Words
<b>R</b>	Realistic and relevant
<b>T</b>	Time-limited

Specific goals and objectives "target areas of academic achievement and functional performance. They include clear descriptions of the knowledge and skills that will be taught and how the child's progress will be measured".

- Non specific example: Joey will improve articulation skills.
- Specific example: Joey will correctly produce /s/ phoneme in initial position 40 out of 50 words.

Measurable means that the goal can be measured by counting occurrences or by observation. "Measurable goals allow parents and teachers to know how much progress the child has made since the performance was last measured. With measurable goals, you will know when the child reaches the goal".

- Non-measurable example: Jack will increase his fluency in class.
- Measurable Example: Jack will utilize easy onset with prompting in a structured classroom activity in increments of 10 minutes.

Action words - "IEP goals include three components that must be stated in measurable terms: direction of behavior (increase, decrease, maintain, etc.), area of need (i.e. reading, writing, social skills, transition, communication, etc.), and level of attainment (i.e. to age level, without assistance, etc.)"

- No use of action words example: Luke will give eye contact during conversational speech.
- Use of action words example: Luke will maintain eye contact with prompting during conversational speech in increments of 5 minutes

Realistic and Relevant goals and objectives "address the child's unique needs that result from the disability. SMART IEP goals are not based on district curricula, state or district tests, or other external standards".

- Unrealistic Realistic example: Evan will increase performance when following directions in class.

- Realistic and relevant example: Evan will follow three step unrelated directives in order without prompting, 8 out of 10 trials.

"Time-limited goals enable you to monitor progress at regular intervals"

- Not time-limited example: Rachel will improve her communication skills demonstrated by mastery of goals.
- Time-limited example: Rachel will increase her expressive vocabulary demonstrated by orally identifying 50 pictures from flashcards in 30 minutes then decreasing the time in 5 minutes intervals.

\*Annual goals and objectives are required for students that are taking an alternative assessment (portfolio).

**\*\* Goals are required for students receiving consultation services on their IEPs. This is necessary to indicate how the skills will be monitored and/or generalized across the academic setting to increase the student's overall independence .\*\***

### Examples of Consultation Goals

**Ex. 1 :** Based on observations and/or report from educational staff, George will apply targeted compensatory speech intelligibility strategies (i.e., slow speech rate, over-articulate, etc...) engage in academic tasks across educational and social settings, to be monitored at least 2 times per reporting period.

**Ex. 2:** In order to ensure safe feeding strategies are being maintained, implementation of Susie's feeding plan will be monitored by observing her self-feed or being fed by educational staff at least 2 times per reporting period.

**Ex. 3:** Fluency-enhancing and stuttering modification strategies/techniques will be shared and reviewed with Joe's education team to increase his fluency within the classroom setting at least one time per month.

**Ex. 4 :** Articulation strategies and techniques will be shared and/or reviewed with Debbie's parents and/or outside treating therapist via email/phone/handouts to increase her speech production skills in the home and community at least 1 time per month.

3236 Reference: Parenting Special Needs Magazine, July/August Issue, Copyright [2009] by Parenting Special Needs LLC. [www.parentingspecialneeds.org](http://www.parentingspecialneeds.org)

### **MONTHLY IEP SERVICES**

Per a student's IEP, speech therapy services can be provided weekly, monthly or quarterly. Those mandated services must be provided in / out of the general education setting based on the setting designated on the IEP.

During the 2010-2011 School Year, all IEP related services were written using a monthly frequency. While services are written in a monthly format, delivery throughout the month should reflect the student's need.

- **All IEPs for related services must include a frequency of monthly, NOT weekly or yearly service delivery prescriptions.**
- Make monthly selection in SEDS.

- Benefits of monthly services:
  - Flexibility in providing services
  - Accommodating student and classroom needs
  - Increased opportunities to integrate services in the classroom or during school events
  - Allows rescheduling of sessions to accommodate provider unavailability
  - Scheduling options that can change to meet the student's needs
  - Increased opportunities to make up missed sessions

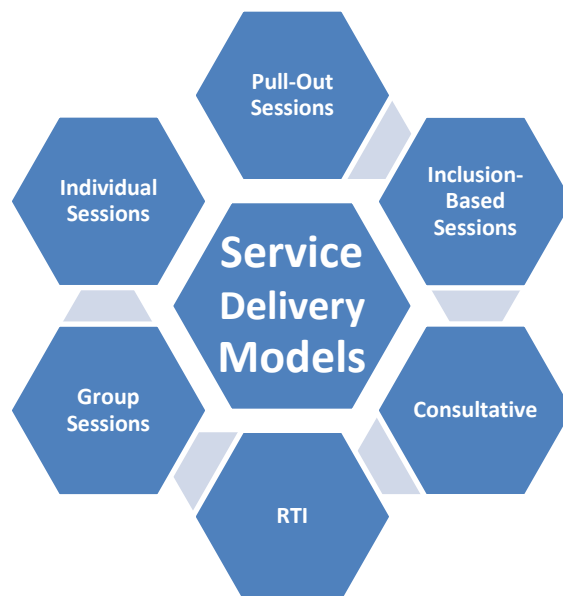
#### Possible Delivery Options for 240 minutes or 4 hours per month

Delivery Options	Example
10 minutes, 6 times/week or 15 minutes, 4 times/week or 20 minutes, 3 times/week	Students with articulation, fluency or voice goals, who are generalizing skills, or Students who benefit from short, intense therapy sessions on a frequent basis (e.g., students with apraxia), or Students needing frequent review of specific strategies or devices (e.g., alternative/augmentative communication) out of the classroom setting.
30 minutes, 2 times/week	Students who are learning skills such as articulator placement and fluency strategies in a therapy room.
60 minutes, once a week or 45 minutes + 15 minutes once a week	Students with language or pragmatic needs who receive therapy in a classroom setting (Note: some students will benefit from an additional 15 minutes for pull-out sessions to reinforce a particular skill)
60 minutes, 2 times per week for week 1 and 3	Students with language needs who receive therapy in a classroom setting for 2 hours per month and out of general education setting for 2 hours per month.
60 minutes, once a week for 2 quarters and 30 minutes, 2 times / week for 2 quarters	This is another option for the provision of intense services early in the year, with the amount reduced later in the year. This approach is used to teach a new skill and give the child time to practice it or to accommodate particular classroom demands. Follow the instructions reviewed during SEDS refresher training. Select the start and end dates for the two different service delivery options during the IEP meeting.

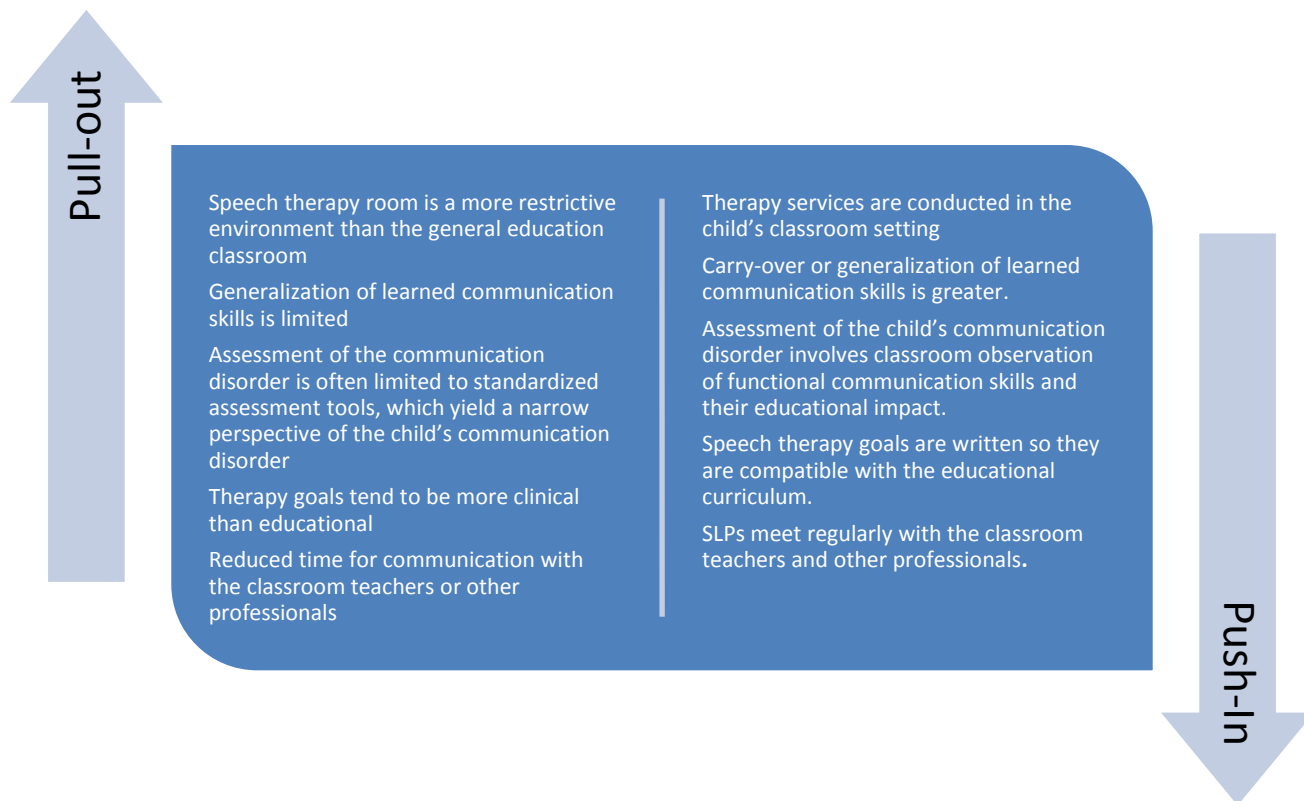
**\*\* Service delivery implemented must match the frequency, duration and setting (inside general education setting or outside the general education setting) on the current IEP \*\***

### Service Delivery Models

Speech and Language Services are provided to students using a variety of service delivery models to address communication skills across a wide context of the academic setting based on individualized needs. The type of service delivery model selected must reflect the student's individual level of severity and prognosis. Services should be provided on a continuum from most to least restrictive depending of the student's level of dependence. Providers should be mindful that the purpose of services is to assist the student with generalizing his/her skills to the classroom setting. These service delivery models can be implemented separately and/or in combination.



**Traditional (“Pull-out” or “Outside of General Education Setting”) vs. Inclusion (“Push-in” or “Inside General Education Setting”) Models of Service Delivery**



**What is Inclusion?**

- The American Speech-Language-Hearing Association (ASHA) defines inclusive practices as a type of intervention in which the unique needs of children with communication disorders are met in the least restrictive environment that involves utilizing the student's natural environment as an intervention context, framing

services in a manner that integrates classroom context and curriculum activities, and collaborating with families, educators, and other personnel (ASHA, 1996).

### **Advantages to Inclusion-based Interventions**

- Increased communication between the disciplines
- Improved knowledge about the relationship between language and academics
- Learning new techniques that support academic achievement
- Access to specialists and resources to help all children in the classroom
- Implementation of Educationally-relevant therapy
- Generalization of therapy & therapy materials (Textbooks, Class assignments, Workbooks)
- Staff members are able to determine where the student is struggling and collaborate to appropriately modify class assignments and tests.
- Provides strategies/techniques for better access/understanding of the curriculum
- Additional support within the classroom for the teacher and the students
- Exposes strategies and techniques regarding memory and organization for other students not on the speech/language caseload
- Clinician can provide feedback and/or suggestions regarding the classroom environment to increase engagement/participation

### **Inclusion (“Inside General Education Setting”) of Services using the Co-Teaching Model**

#### What Co-Teaching Is?

- Involves at least two credentialed professionals – indicating that co-teachers are peers having equivalent credentials
- Both professionals coordinate and deliver substantive instruction and have active roles
- Responding effectively to diverse needs students
- Instruction occurs in the same physical space

#### What Co-Teaching Is NOT?

- Doesn’t involve a teacher and a classroom volunteer or paraprofessional
- Doesn’t mean that two adults are merely present in a classroom at the same time
- Doesn’t include separating or grouping students with special needs in one part of the classroom
- Doesn’t include teaching teams that plan together and then group and instruct students in separate classrooms

### **Models of Inclusion – Service Delivery Options**

#### **1. Parallel Teaching**

- This collaborative model divides the classroom in half and the SLP and the classroom teacher subsequently each instructs one half of the class on the same instructional material.
- The classroom teacher may use a standard format for instruction while the SLP may modify the lesson for the group so that the students will be able to master the material. The groups of students may change to accommodate individual strengths and weaknesses (Capilouto & Elksnin, 1994).

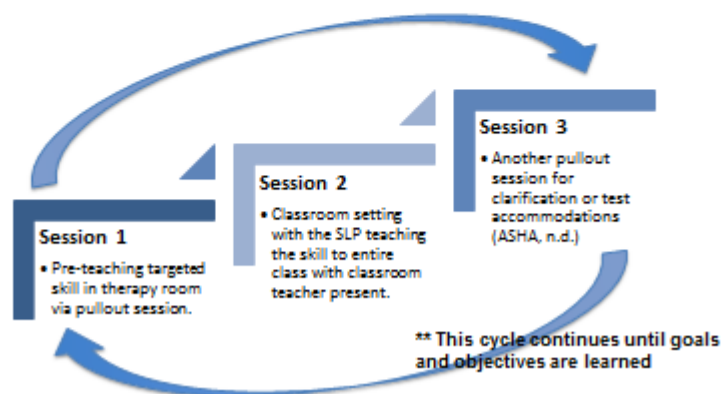
#### **2. Complementary Teaching**

- Role of the SLP in this model is a tutor, with the classroom teacher as primary instructor
- Classroom teacher presents the majority of the curriculum content & the SLP assists students with their work. The SLP floats around the room and intervenes when children encounter difficulty.

- The focus of the lesson may be on a related skill such as sequencing or paraphrasing the main idea of an assignment (ASHA, n.d.).

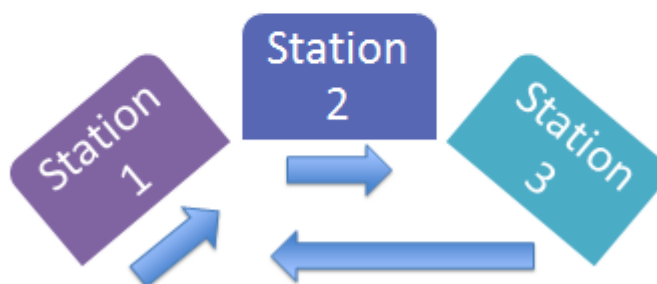
### 3. Supportive Teaching

- Combination of pullout services and direct teaching in the classroom setting.
- SLP teaches information related to the curriculum while also addressing IEP goals.



### 4. Station Teaching

- In this model the SLP and the classroom teacher divide the instructional content into two parts with each professional teaching one group of students.
- Once the instruction is completed, the two groups switch adults so that each group receives instruction from the classroom teacher and the SLP (Capilouto & Elksnin, 1994).





5. Consultation

- The SLP works outside the classroom to analyze, adapt, modify, or create appropriate instructional materials.
- Regular, ongoing classroom observations and meetings with teachers take place so as to assist the teacher with planning and monitoring student progress (ASHA, n.d.).

6. Team Teaching

- The classroom teacher and the SLP, occupational therapist, physical therapist, or other professional teach a class or lesson together with each professional addressing his or her area of expertise.
- The classroom teacher may present the curriculum content while the SLP assists with a communication system. Similarly, the occupational therapist may work on handwriting while the physical therapist assists with positioning (ASHA, n.d.).

**Interactions During Co-Teaching Using the Inclusion of Service Delivery Model**

Lead Role

- Lecturing
- Giving instructions orally
- Checking for understanding with large heterogeneous group of students
- Circulating providing one-on-one support as needed
- Prepping half of the class for one side of a debate
- Facilitating a silent activity
- Re-teaching or pre-teaching with a small group
- Facilitating sustained silent reading
- Reading a test aloud to a group of students
- Creating basic lesson plans for standards, objectives, and content curriculum
- Facilitating stations or groups
- Explaining new concepts
- Considering modification needs

Support Role

- Modeling note-taking on the board/overhead.
- Writing down instructions on board.
- Checking for understanding with small heterogeneous group of students.
- Providing direct instruction to whole class.
- Prepping the other half of the class for the opposing side of the debate
- Circulating, checking for comprehension
- Monitoring large group as they work on practice materials
- Reading aloud quietly with a small group.
- Proctoring a test silently with a group of students
- Providing suggestions for notifications, accommodations, and activities for diverse learners
- Also facilitating stations or groups
- Conducting role playing or modeling concept.
- Considering enrichment opportunities.

## What does the Lead and Support Roles Look Like in Various Inclusion-based Models?

	Complementary Teaching	Station Teaching	Parallel Teaching
<b>Design</b>	<p><b>Lead Role</b></p> <ul style="list-style-type: none"> <li>Models organization of content</li> <li>Identifies skills and strategies needed for groups and individual students to complete tasks</li> </ul> <p><b>Support Role Assists.</b></p>	<p><b>Lead and Support Roles</b></p> <ul style="list-style-type: none"> <li>Segments the lesson content</li> <li>Divide the number of stations that they are responsible for</li> <li>Plan and organize their station activities with attention to possible group differences</li> </ul>	<p><b>Lead and Support Roles</b></p> <ul style="list-style-type: none"> <li>Collaboratively organize the lesson content</li> <li>Identify strategies needed for groups and individual students</li> <li>Divide the students into two groups</li> </ul>
<b>Communication</b>	<p><b>Lead Role</b> conducts formal teaching</p> <p><b>Support Role</b></p> <ul style="list-style-type: none"> <li>Teaches components of lessons with small groups of individuals</li> <li>Provides content to support lead teacher's lessons</li> </ul>	<p><b>Lead and Support Roles</b> segment learning to small groups or individual at the stations they design</p>	<p><b>Lead and Support Roles</b></p> <ul style="list-style-type: none"> <li>Independently deliver the lesson plan to each of these groups.</li> <li>Facilitate learning in their group.</li> </ul>
<b>Benefits</b>	Having two trained professionals to help individuals students after the lesson is presented	Facilitates small group learning and is responsive to individual needs	Helps to increase the likelihood of participation, publication, and sharing. Also, it allows more intensive work with small group

## Consultative (Indirect) Services

Consultation is a service provided indirectly to the student consisting of regular review of student progress, student observation, accommodations and modifications or core material, developing and modeling of instructional practices through communication between the general education teacher, the special education teacher, parent and/or related service provider. Consultation is not the provision of direct speech and language services to a student. The focus of consultation is to ensure the generalization of the addressed speech and language goals are generalized across the academic setting and to assist the student with being independent of the skill outside of the therapy setting.

When documenting indirect services in SEDS, consultations should never be listed as a direct service in the service tracker notes, nor should the activity indicated in the note reflect that a direct service was delivered to the student. Students to be found eligible for speech and language services in an initial speech and language evaluation, should never receive "Consultation-Only" services on their IEPs.

**Goals are required for students receiving consultation services on their IEPs. This is necessary to indicate how the skills will be monitored and/or generalized across the academic setting to increase the student's overall independence**

### Examples of Consultation Goals

**Ex. 1 :** Based on observations and/or report from educational staff, George will apply targeted compensatory speech intelligibility strategies (i.e., slow speech rate, over-articulate, etc...) engage in academic tasks across educational and social settings, to be monitored at least 2 times per reporting period.

**Ex. 2:** In order to ensure safe feeding strategies are being maintained, implementation of Susie's feeding plan will be monitored by observing her self-feed or being fed by educational staff at least 2 times per reporting period.

**Ex. 3:** Fluency-enhancing and stuttering modification strategies/techniques will be shared and reviewed with Joe's education team to increase his fluency within the classroom setting at least one time per month.

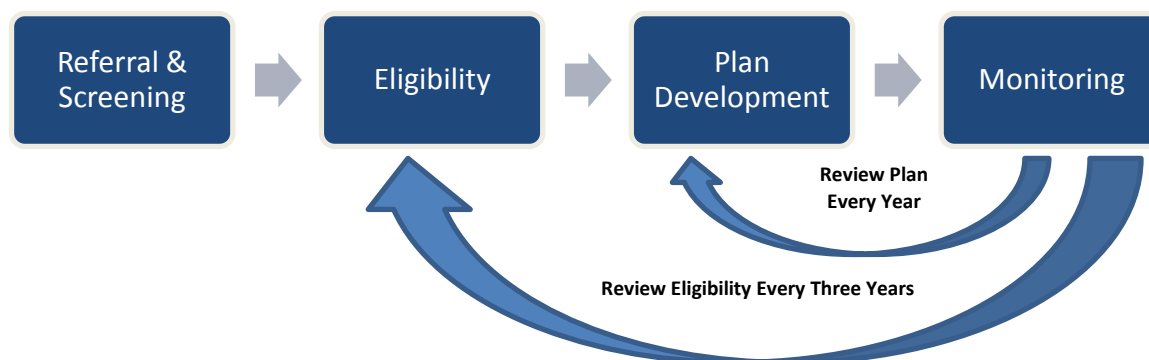
**Ex. 4 :** Articulation strategies and techniques will be shared and/or reviewed with Debbie's parents and/or outside treating therapist via email/phone/handouts to increase her speech production skills in the home and community at least 1 time per month.

Best practice for students who receive "Consult-Only" speech and language services, should be re-evaluated and dismissed after a full year of not receiving direct services. The rationale behind this practice assumes that during the consultation-only period of service the student's speech and language skills were being generalized across the academic setting and did not require direct services to access his/her curriculum. Therefore, the student should transition from consultation-only services and dismissed through a comprehensive speech-language assessment.

### **504 Plan Services**

It is the intent of the district to ensure that students who are disabled within the definition of Section 504 of the Rehabilitation Act of 1973 are identified, assessed, and provided with appropriate educational services. Under this policy, a student with a disability is one who (a) has a physical or mental impairment that substantially limits one or more major life activities, (b) has a record of such impairment, or (c) is regarded as having such an impairment. Students may be disabled under Section 504 even though they do not require services pursuant to the Individuals with Disabilities Education Act (IDEA). Due process rights of students with disabilities and their parents under Section 504 will be enforced.

### **The Section 504 Process in DCPS**



### What are the eligibility requirements for Section 504 accommodations?

For a student to be eligible for accommodations under Section 504, s/he must have a physical or mental impairment that “substantially limits one or more major life activities,” as determined by the “504 team.” Important terms are defined as follows:

- Physical or mental impairment can be any physiological condition that affects a body system, such as the respiratory, musculoskeletal, or neurological systems; any mental or psychological disorders, such as emotional or mental illness and intellectual disabilities; or specific learning disabilities. The definition does not limit the impairments that can qualify a student for Section 504 services.
- Major life activities means functions such as caring for one's self, performing manual tasks, walking, seeing, hearing, speaking, breathing, learning, and working. Again, this list does not limit what kind of activities can qualify a student as having a disability.
- Substantially limits means that the impairment results in considerable impairment with a permanent or long-term impact. A substantial impairment prevents or severely restricts a person from performing major life activities. Determining whether a child has a substantial impairment is based on a child's disability without any assistive measures other than ordinary eyeglasses or contact lenses. Eligibility will be reviewed at least annually.

Students who meet the eligibility guidelines will have a 504 Plan developed for use in school. The Plan specifies the nature of the impairment, the major life activity affected by the impairment, accommodations necessary to provide access based on the student's needs, and the person(s) responsible for implementing the accommodations. Parents are encouraged to participate in development of the plan. A case manager will be assigned to notify teachers about the accommodations and monitor implementation.

- Accommodations should be specific to the individual student and should not include accommodations typically provided to general education students.
- Accommodations should be specific to the individual student's physical or mental impairment in terms of the substantial limitation to the major life activity.
- Accommodations must be documented in writing

### Role of the SLP within the 504 Process

The Speech-Language Pathologist will participate as a member of the 504 Team, if there are expressed concerns in the initial referral related to one or more of the following domains of speech-language pathology: receptive language, expressive language, fluency, speech productions (i.e., articulation, phonological processing disorder, apraxia, dysarthria, etc...), voice, swallowing, and/or pragmatic language. The Speech-Language Pathologist plays an integral role as it relates to determining the educational impact of one of the aforementioned domains within the classroom setting, social interaction with peers and staff and future access to developing vocational skills. The attendance of the Speech-Language Pathologist is important to discuss and interpret assessment finding conducted within or outside of DCPS. If the student is found eligible, then the Speech-Language Pathologist will assist with developing 504 plan accommodations as it relates to the student's communication skills.

### Methodologies Used to Determine if a Student Qualifies for a 504 Plan

- Review of existing data and referral concerns
- Complete Screening
- Conducting classroom observation(s)
- Parent/Teacher Interview
- Formal Assessment of Speech-Language Skills (if testing is ordered)
- Gathering other supporting data to support/dismiss the need for a 504 Plan
  - Report Cards

- Performance on classroom-based and/or state-wide testing
- Work Samples
- Data Collection (if student has been receiving RTI)

If a student qualifies for services under the 504 Plan the SLP will do the following:

- Provide accommodations/modifications to the classroom and/or special education teacher
- Provide direct, indirect and/or consultative services
- Conduct ongoing periodic monitoring of progress and/or concerns with the educational team to ensure accommodations/modifications are being implemented
- Collect data regarding performance given strategies
- Document communication with educational team and outside resources
- Participate in the 504 meetings to provide relevant information and updates
- Students with speech therapy services on a 504 plan will receive intervention services from the OSI Speech Language Pathologist.

If you have any questions regarding the 504 Process, you may contact the identified 504 Coordinator at your school or Colin Bishop, 504 Specialist at 202-904-8862 (cell)/ 202- 442-5485 or [Colin.Bishop@dc.gov](mailto:Colin.Bishop@dc.gov). For further information pertaining to 504, please refer to:

<https://www.educatorportalplus.com/>

Click on Resources > Resources for other School-Based Staff > 504 Coordinators

**OR**

<https://www.educatorportalplus.com/group/edportal/504coordinators>

### Missed Related Services Guidelines

The RSP is not required to make up missed service sessions under the following circumstances:

- Student absent from school (documented excused or unexcused);
- Student attendance at School-Related Activities
- Student refusal to participate or attend;
- School closed for holiday or emergency.

However, DCPS has an obligation to ensure appropriate services are delivered. Therefore, the IEP team may consider alternative service delivery options or a change in services based on the student's availability for the particular service type and delivery modality. Examples of this include: service delivery in the classroom, a consultation delivery model, transition out of the current service type and replacement with different services (e.g., exit from speech/language services and increase research-based reading intervention).

Refer to the Appendix for the Missed Related Service Sessions, Truancy and Due Diligence Guidelines dated August 2014 for additional information.

## Make Up Missed Related Services

The related service provider must make up any and all therapy sessions missed due to provider unavailability. The RSP is required to attempt to make up a service session three times.

When a service session(s) is missed due to the provider being unavailable to deliver the service, DCPS has the following two options:

1. The Related Service Provider (RSP) must schedule a make-up service session for the missed service session(s) during the quarter in which the missed session occurs. If the missed session(s) occurs during the last week of the quarter it must be made up within the first week of the following quarter. This is to ensure that all relevant information will be provided in the quarterly progress report. In most cases, this is the option that should be utilized. If the RSP cannot make up the session(s), he/she must notify the program manager via email within 24 hours;
2. When the RSP absolutely cannot make up the session(s), and notifies the program manager, the program manager must then assign a substitute provider to make up the missed service session(s) during the quarter in which it occurs or develop an alternative option with the RSP and LEA. If the missed session(s) occurs during the last week of the quarter it must be made up within the first week of the following quarter. If the RSP cannot make up the session(s), he/she MUST notify the program manager via email within 24 hours.

Any failed attempt prior to the third scheduled make-up session should be logged in the SEDS communication log.

RSPs must make up missed sessions within the reporting period that the missed session occurred. At the end of each reporting cycle, the RSP is responsible for completing and submitting the Missed Session Form to their PM. This is imperative to ensure that services are made-up to prevent an interruption to services/missed services and that make-up sessions are provided to our students when the provider is absent or unavailable. The form should be faxed or emailed to their PM on the following dates (see Appendix for form):

**October 31, 2014**  
**January 23<sup>rd</sup>, 2015**  
**April 3<sup>rd</sup>, 2015**  
**June 16<sup>th</sup>, 2015**

Refer to the Appendix for Missed Related Service Sessions, Truancy and Due Diligence Guidelines dated August 2014 for detailed information.

## Missed Services versus Compensatory Education

On occasions, related service providers are unavailable due to absences, MDT meetings, etc. When the missed sessions are a significant disruption of speech therapy and not attributable to the student or student's parents, it must be made up. Missed services are made up in school during the student's school day by the speech pathologist.

If there are too many missed service hours to be made up during the school day, compensatory education hours may be provided. Compensatory Education hours are provided after the student's school day at a mutually agreed upon location and time between the service provider and parent.

When it has been determined that missed services have occurred, the following steps should occur:

- LEA schedules IEP meeting
- Meeting is convened for the speech pathologists to discuss how the missed services will be made up (either missed services format or compensatory education)
- Missed services are made up until completed.
- Make up sessions are documented in EasyIEP per the Due Diligence Guidelines.

When documenting missed services it is required that the date of the missed session and/or amount of time is indicated in the service tracker note.

- 30 minute Make-up session for January 9, 2014
- 60 minute Make-up for missed services from the 2013-2014 SY
- If you are adding time on to a current therapy session (not a separate make-up session) indicate the number of minutes that are being added to the session that are towards the missed time/session
- 

Refer to Missed Related Service Sessions, Truancy and Due Diligence Guidelines dated August 2014 in the Appendix.

### Private Religious Services (PRO)

As previously noted, DCPS provides assessment services to students attending private and parochial schools. If it is determined that the student is eligible for special education services, an Individual Service Plan (ISP) is developed. The parent has the option of remaining in the private/religious school or enrolling their child full time into a DCPS school.

In the event the parent elects to remain with the private school option, parents have the option of selecting the DCPS school in which they would like to receive services. DCPS offers to provide the related services from the ISP, which will be no more than 4 hours per month during the school day upon the private religious agreement. Days and times are arranged between the SLP and the parent. It's the parent's responsibility to make arrangements to transport the child to the DCPS school site for services.

For additional information on PRO, go to

<http://dcps.dc.gov/DCPS/Files/downloads/SPECIAL%20EDUCATION/PRO-FAQ-2011.pdf>

### Early Childhood Non-Attending Students

After Early Stages develops an IEP for an early childhood student, the IEP team will recommend a placement based on the needs of the student. If the parent elects not to accept the placement and decides to remain with day care or non-DCPS preschool site, DCPS offers to provide the related services.

The student is **entitled to receive the full complement** of related services as indicated on the IEP. There is no service maximum for non-attending Early Childhood students. Services for Early Childhood non-attending students are provided at the DCPS school closest to their home or day care center. Speech therapy services are provided during the DCPS school day. Days and times are arranged between the SLP and the parent. It's the parent's responsibility to make arrangements to transport the child to the DCPS school site for services.

### Project Search

The High School Transition Program, Project Search, is a one-year internship program for students with disabilities, in their last year of high school. It is targeted for students whose goal is competitive employment. The program takes place in a business setting where total immersion in the workplace facilitates the teaching



and learning process through continuous feedback and acquisition of employability and competitive work skills. Students participate in three internships to explore a variety of career paths. The students work with their team and Rehabilitation Services Administration throughout the school year. The goal of the program is competitive employment for each DCPS student intern.

Today, Project SEARCH has become an international program and model of success that provides people with disabilities with the training necessary to gain marketable skills that will enable them to secure competitive employment. There are two Project SEARCH programs, which are located at the following federal agencies: U.S. Department of Education and U. S. Department of Labor.

### Home-Hospital Instruction Program (HIP)

Students who are unable to attend school secondary to medical issues, continue to receive instruction and related services through the home-hospital instruction program. An assigned SLP goes into the home or hospital setting to deliver speech-language services per the student's IEP.

### Documentation

***\*\*OSI's goal for all related service providers is to achieve a 100% monthly documentation and 75% service delivery rates each of their student's on their caseload each month. \*\****

#### 1. Progress Notes / Medicaid

Each intervention or consultation service listed on the IEP that is provided to a student must be documented in the Special Education Data System (SEDS) EasyIEP. This includes services to students with in the local schools, services private religious students, missed services, and home-hospital instruction program (HHIP).

Per OSSE guidelines, RSPs should not document services that are not included on the IEP. This includes consultation with parent or teacher, teacher or parent training, or information reported during an IEP meeting. To capture consultations, the RSP should enter the information (date, with whom, and type of contact) in the Communication section in SEDS. **Assessments and consultations should never be listed as a direct service in the service tracker notes.**

Each service tracker note must include the following information:

- Identification of the intervention activity / activities
- Description of the student's response to the intervention (quantitative and qualitative information)
  - Quantitative includes – accuracy percentage, number of trials/opportunities, etc...
  - Qualitative includes – level of prompting/dependence (i.e., moderate verbal prompts, tactile cues, hand-over-hand etc..), behaviors impacting/contributing to progress, etc....
- Explanation of the relevance of the activity to the IEP goal

DCPS, the Centers for Medicare and Medicaid (CMS), and the Office of the State Superintendent for Education (OSSE) have established a best practice service delivery documentation system. Related Service Providers should document the services they provide or attempt to provide pursuant to the IEP within the same school day those services were scheduled to occur.

Email your program manager if barriers exist for daily documentation of services. We recognize there may be challenges (e.g. incorporating time to collaborate with teachers and parents) that could prevent you from providing daily documentation 100% of the time. Therefore, DCPS has established a definitive due date for documenting services provided during a school week.



**Definitive Due Date for Documenting Services:** All services provided in a school week **must** be documented by **noon on the Monday of the following school week**. If school is closed on Monday then documentation is due by noon of the next school day. For example, 60 minutes of speech/language services provided on Friday from 2 to 3 p.m. should be documented by noon that upcoming Monday.

Refer to the Documenting Services Guidance memorandum dated November 2009 in the Appendix.

The website for EasyIEP is:

<https://osse.pcgeducation.com/dcdcps>

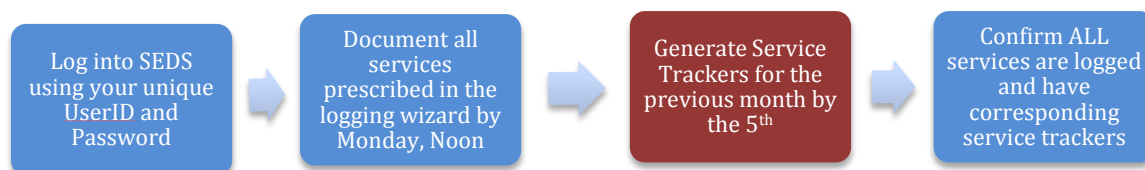
If you have questions about EasyIEP, please contact the call center at (202) 719-6500 or review the Related Service Provider Guide on the EasyIEP website. The SEDS Help Desk is moving to ODA effective August 1, 2013. Callers will hear three options when they dial the help desk (DC stars, SEDS, Blackman Jones). The new SEDS Help Desk email address is [spedoda.dcps@dc.gov](mailto:spedoda.dcps@dc.gov)

Newly hired providers/contractors **must** register and complete SEDS

All documentation is completed using electronic signature. Service tracker notes must be generated or **finalized no later than the fifth (5<sup>th</sup>) of the following month**. For example, September notes must be generated or finalized by October 5<sup>th</sup>. If the fifth of the month falls on a weekend or holiday, the deadline moves to the next workday.

DCPS obtains Medicaid reimbursement for direct related services provided to students. The finalized service trackers are submitted monthly for reimbursement. A physical signature on the finalized service trackers is not required. By logging into SEDS, the provider understands and accepts that his electronic signature will be created with a unique combination of his/her network login username and secure password. The unique combination is necessary to ensure that only the provider has completed all documentation submitted into SEDS under this unique combination.

To document services per OSI guidelines, please adhere to the following steps:



### 1. Documenting PRO services

Documentation for students receiving PRO services is entered into the PMA.

### 2. Documenting 504 Plans

Documentation for students receiving direct or indirect services via a 504 Plan is entered into the PMA

### 3. Documenting Missed Services

The RSP must log all missed service sessions in the *SEDS Service Logging Wizard* indicating:

- Date of missed service session;
- Service type (e.g., student absent, student unavailable, provider unavailable, school closure);
- Duration of service scheduled (Service duration must be documented even if a student is absent; if the student receives only partial service, document the altered duration.) The minutes should never reflect “zero” minutes of services.;
- Group size;
- “Progress Report” (e.g., just introduced, mastered, no progress, not introduced, progressing, regressing); and
- “Comments” box
  - Document why the service session was missed (e.g., student unavailable, student absent, provider unavailable, school closure); and
  - List action taken to ensure service delivery (e.g., contacted the parent/guardian, talked with the teacher, contacted the student).

Refer to the Missed Related Service Sessions, Truancy and Due Diligence Guidelines dated August 2014 for additional information.

### 4. Documenting Make Up Services

The RSP must log all make-up service sessions in the *SEDS Service Logging Wizard* indicating:

- Date and time of service provided;
- Service type (e.g., student absent, student unavailable, provider unavailable, school closure);
- Duration of the service provided;
- Group size;
- “Progress Report” (e.g., just introduced, mastered, no progress, not introduced, progressing, regressing); and
- “Comments” box
  - “MAKE UP SERVICE SESSION for Missed Session on XX/XX/XXXX.” In addition, record progress note standards for service sessions delivered; and
  - List action taken to ensure service delivery (e.g., notified the parent/guardian of the make-up service session dates(s)).

### 5. Documentation for Winter and Spring Breaks

The RSP must document “Schools Closed” in SEDS for the dates that correspond with Winter and Spring Breaks. Please note that for this school year, Winter Break is from December 22<sup>nd</sup> through January 2, 2015 and Spring Break is from April 13, 2015 through April 17, 2015.

**Make-Up Service Session Attempts**

The RSP is required to attempt to make up a service session three times. Any failed attempt prior to the third scheduled make-up session should be logged in the SEDS communication log. The log should include:

- Attempted date and time of service session; and
- Which attempt it was (e.g., first or second).

Upon the third failed attempt the scheduled missed make-up service session should be logged in the SEDS *Service Logging Wizard* indicating:

- Attempted date and time of service session;
- Service type (e.g., student absent, student unavailable, provider unavailable, school closure);
- Which attempt it was (e.g., third);
- Duration of service attempted (number of minutes or zero minutes);
- Group size;
- “Progress Report” (e.g., just introduced, mastered, no progress, not introduced, progressing, regressing); and
- “Comments” box
  - Add notation “MAKE UP SERVICE SESSION for Missed Session on MM/DD/YYYY.”
  - List action taken to ensure service delivery (e.g., contacted parent/guardian, talked with the teacher, contacted the student).

After three attempts have been made and documented in an effort to make up the missed service session(s), and the District has exercised due diligence, attempts to implement a make-up session for the missed session(s) are discontinued.

**PLEASE NOTE:** When all three attempts to make up a missed service session do not occur only the third make-up attempt should be logged in the SEDS *Service Logging Wizard*. The date of the missed session indicated in the “comments” box (e.g., MM/DD/YYYY) must reflect the date of the regularly scheduled missed service session for which the make-up service was covering. The previous failed attempts must be documented in the communication log (e.g., spoke with the teacher when the student was absent to schedule the make-up session, called parent/guardian) as outlined above.

**YSC Service-Provider Documentation and Monitoring Process****I. Background and Overview:**

The documentation of all related services provided to students attending Youth Services Center (YSC) is required by District of Columbia Public Schools, (DCPS), the Office of the State Superintendent for Education (OSSE), the *Blackman-Jones* Consent Decree, and the *Jerry M.* Consent Decree. In accordance with applicable federal and state requirements, documentation of related services is analyzed and monitored by the Office of Specialized Instruction (OSI) to ensure services are delivered as set forth in the students’ individualized education programs (IEPs). The lack of service documentation confirms services have not been provided and/or a potential interruption of services has occurred. Accordingly, related Service Providers must document all services delivered, or attempts to deliver services, pursuant to the student’s IEP.

## II. Process/Procedure:

<b>Step</b>	<b>Action</b>	<b>Description</b>
1	<b>Dedicated Service Delivery Time</b>	YSC must provide all related service providers with 3-4 hours of dedicated time for service delivery daily. YSC must provide a minimum of 1 hour daily to document services. Full-time providers will create an intervention and testing schedule and provider to administration and teachers.
2	<b>Notification of students with related services</b>	- DYRS notifies YSC registrars immediately of new students.
3	<b>Recording of Service</b>	<p>All related service providers will document their services, or attempt to render services, on the YSC service logs. The related service provider will note the type, of service, the duration of service, and whether the service is a make-up session. The related service provider will also note if the student is unavailable or refuses services and the reason therefore. Documentation of services rendered or attempted service delivery will be contemporaneous with the delivery or attempted delivery of those services.</p> <p>Providers must document school closure on the YSC service logs including extended breaks such as winter and spring break.</p>
4	<b>District-Wide SEDS Access</b>	All related service providers assigned to YSC will be granted district-wide SEDS access. If a provider cannot log for a student, the provider should email <a href="mailto:dcps.relatedservices@dc.gov">dcps.relatedservices@dc.gov</a> to request SEDS access to the student.
5	<b>Service Trackers are Placed in Student Files</b>	All related service providers will provide the YSC service logs to YSC administrative staff upon completion of the service. YSC staff will place each service log in the student's folder within 24 hours of service delivery or attempted delivery.
6	<b>All Files are in SEDS</b>	Related service providers will services provided during the week into the SEDS caseload logging wizard copy by Monday at 12:00 of the following week.
7	<b>SEDS-Generated Service Trackers</b>	Related service providers will finalize SEDS-generated service logs by the last day of each month. If the student transfers from YSC before the end of the month, the provider will finalize any outstanding SEDS service logs once notified of the transfer.
8	<b>File Auditing</b>	DCPS and DYRS will conduct regular audits of students' paper and SEDS files to ensure that all related services were appropriately provided and documents. These audits will take place on the 2 <sup>nd</sup> and 4 <sup>th</sup> Mondays, with that audit data submitted to the Related Services Supervisors. The audit will include: review of sample of YSC files for each discipline and SEDS analysis of documentation, delivery and missed services for the current month. YSC file audit and SEDS audit will be completed by RS Analyst. Results will be given to the RS supervisors to follow up with the providers. Related Service

		Providers will have until Wednesday of that week to complete outstanding documentation.
9	<b>Exit from YSC</b>	LEA will print student history page from SEDS to add to the file.

## 5. Random Moment in Time Study (RMTS)

The Random Moment in Time Study is a mandatory study required by the federal Centers for Medicare & Medicaid Services (CMS) to evaluate how school-based staff spends their time providing special education services. These snapshots are required to support claims for Medicaid reimbursement of school-based health services, which ultimately generates revenue for DCPS for products and services for special education programs. As a related services provider your participation in this study is crucial to securing these funds; if the response rate drops below an average of 85% for all providers, DCPS is subject to financial penalties with regard to Medicaid reimbursement.

As a service provider you will be randomly assigned a “moment” five days in advance via email from [dcps@pcgus.com](mailto:dcps@pcgus.com). You will also receive four additional reminder emails (1 day before, 1 hour before, 1 day after and 2 days after) that your RMTS Coordinator will receive as well. It is essential that you regularly check your dc.gov email to ensure that you are aware that your moment is coming up. After your moment has arrived, log on to the website (<https://easyrmts.pcgus.com/rmtsv2/>) and candidly answer six simple questions. It should take no longer than five minutes to complete and you have a total of three business days to respond. If you have any questions about the Random Moment in Time Study you can contact OSI’s Medicaid Analyst, at (202) 384-7896 (cell)/ (202) 442-4487 (office)

## Communication to Parents / Guardians

### a. Introduction Letter

Each Speech Pathologist is required to send an introductory letter to each parent / guardian of the students on their caseload no later than Friday, September 5, 2014. The correspondence should contain the following information:

- Your name
- Days assigned to School
- Day student is scheduled for speech therapy
- Your contact information (ex. Email or school phone number & extension)

See the Appendix for a sample introduction letter.

### b. Quarterly IEP Report Cards

Quarterly IEP report cards must be completed in EasyIEP for each student on the SLP’s caseload. This IEP report must be printed and provided to the parent at the end of each advisory period. Please refer to the school calendar to obtain DCPS’ report card due dates.

IEP report cards must include the following information:

- Current performance on all IEP goals. Indicate the student’s specific progress on the goal. Do not use a general statement.

- Information on each goal must be noted on the IEP report card. Since goals are written to be measurable, the update of progress toward the goal should also be reflected in the current level of performance of what was being measured (i.e., %ages, number of trials)
- Information regarding what might be impacting the student from making progress/mastering his/her goal (i.e., behavior, attendance, etc....)
- If an IEP goal was not addressed during the quarter, state that the goal was not addressed during the reporting period.

### **Crisis Intervention Procedures**

Crisis intervention is offered through a partnership between the District of Columbia Public Schools and the Department of Mental Health to respond in times of emergency at local schools. Responding to crises requires an “all hands on deck” approach by utilizing local school counseling staff as first responders, and provides additional support through Office of Specialized Instruction’s social workers and school psychologists.

At times, speech language pathologists assigned to the building may be requested to assist the school crisis team, school administration, staff and / or students during a crisis. Please refer to the DCPS Crisis Management Materials.

### **Responding to School Crisis**

The focus of crisis response is to address distress in students and in the school community.

The three (3) categories of crises are:

#### **1. Safety**

- The student has been victimized by abuse or neglect (self report, injury, abandonment at school)
- A student absconds from the school

#### **2. Behavioral Health**

- The student exhibits symptoms of emotional disturbance relative to his/her mental health status (suicidal ideation, homicidal ideation, psychosis)
- Death of a current or former student or staff member
- Critical threat or event

#### **3. Criminal Acts**

- The student exhibits behavior that is not mental health related such as assault, theft or willful destruction of property.

### **Crisis Protocols**

All crisis response protocols are under the direction of the School Principal.

#### **Safety**

- CFSa (202-671-7233) must be contacted. All school personnel are mandated reporters.
- Abscondence requires that the school contact the parent(s), Office of School Security and MPD.

#### **Behavioral Health**

- School based mental health providers assess, de-escalate and develop a crisis plan.
- For school-wide crises, the Principal should consult with the School Crisis Team in addition to the Central Crisis Team Coordinator and the Central Office Security Coordinator.
- If the initial interventions are insufficient due to the severity of the symptoms a call is placed to:
  - ChAMPS (202-481-1450) for students ages 3 to 18

- DBH Access Helpline (1-888-793-4397) for students ages 19 and older

### Criminal Acts

When schools determine that actions meet criteria for criminal behavior, the school administration contacts the Office of School Security and MPD.

Please refer to the Emergency Response Plan and Management Guide located in each school's administrative office, for comprehensive instruction. Contact the Central Crisis Team at [crisis.cct@dc.gov](mailto:crisis.cct@dc.gov)

### **Student Service Alignment Plan**

The student service alignment plan is a process that ensures students are receiving appropriate related services to meet their needs in order to function in the academic setting. The purpose is to align the services appropriately to the students' needs.

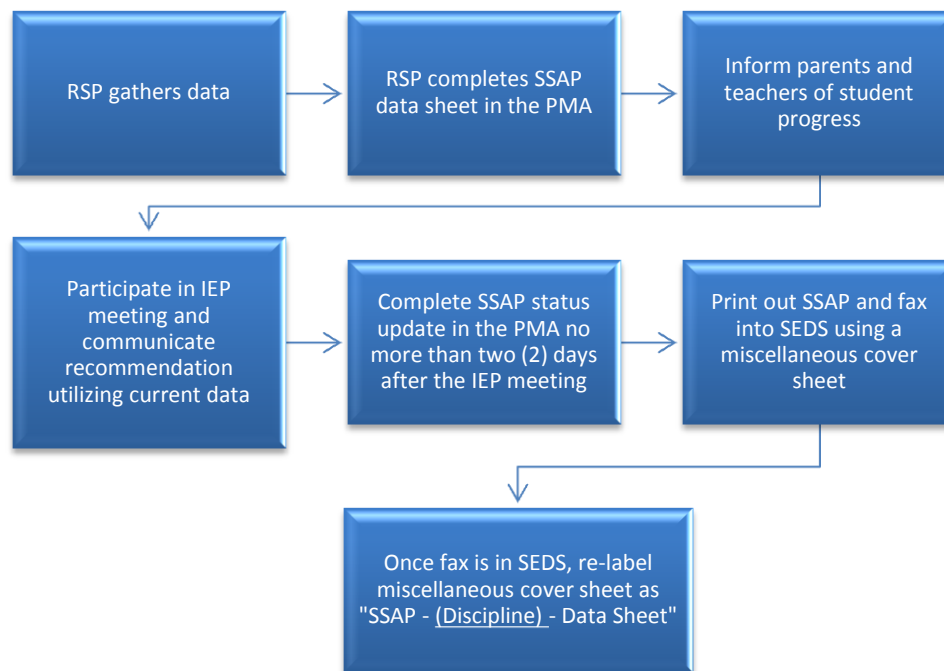
- Related Service Providers (RSP) will make the appropriate recommendation after collecting data, collaborating with staff, parents, and/or other stakeholders.
- They RSP may recommend a change in service model (direct, consultative); therapy setting (in or out of general education), and/or time (decreasing or increasing time).
- The related service provider may determine that there is no educational impact or no longer a benefit of services; therefore, services are no longer warranted and recommend to dismiss the student from services.
- Must Follow Disciplines Exit Criteria located in your RSP Guidebook

The Student Service Alignment Plan does not circumvent the eligibility process and providers should continue to adhere to those guidelines. An eligibility meeting and/or an Individualized Education Plan Review will be warranted.

The SSAP is completed for all students in the following grades and ages:

- 3rd grade ( 8 - 9 years old)
- 5<sup>th</sup> grade (10-11 years old)
- 8<sup>th</sup> grade (13-14 years old)
- 10<sup>th</sup> (15-16 years old).

### The SSAP Process



### Related Service Provider Gathers Data to make Recommendation from the following possible sources:

- Service Trackers Documentation
- Assessment Reports
- Parent/Teacher/Student and/or other stake holders Interview
- Observations
- Quarterly IEP Progress Reports
- Bimonthly Progress Reports (BPRs) for OT and PT
- Individualized Education Plan
- Attendance
- Suspension Records
- Other Supporting documents (Functional Communication Measures, Ohio Scales, Handwriting, Behavior Trackers, etc.)

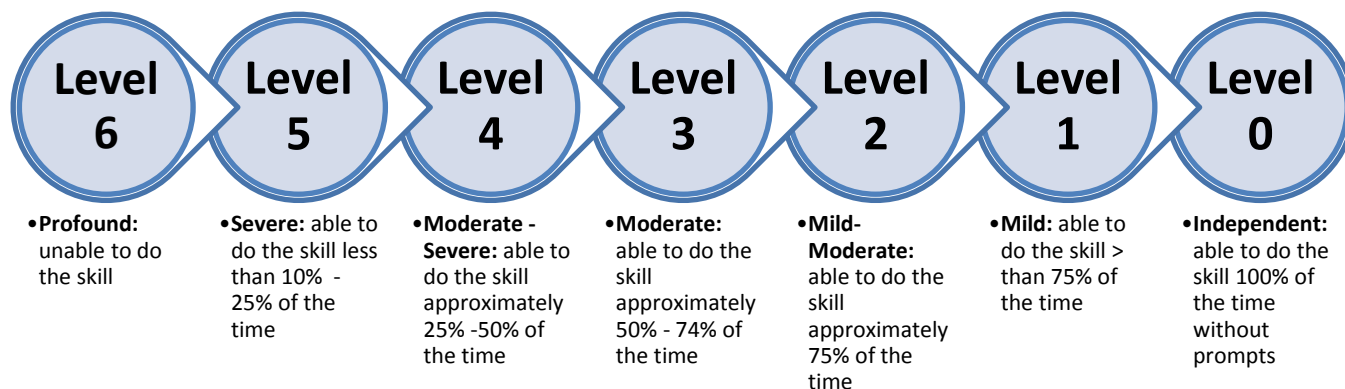
To ensure that the service recommendations for students are appropriate based on the student's progress and performance, the RSP will engage all stakeholders regarding recommendations.

- Related Service Provider will provide on-going communication informing teachers, parents, and other stake holders of the student's progress
- The team will be informed prior to the meeting of the student's communication skills as it relates to their educational impact.
- Participate in IEP Meeting and give recommendation based on the supporting documentation listed above
- Related Service Provider will formally propose recommendation at the IEP Meeting



### Functional Communication Measures

The SLP utilizes the Functional Communication Measures to assess the student's level of dependence and severity from levels zero (0) to six (6) in the following speech-language domains (<http://www.edu.gov.mb.ca/k12/specedu/slp/pdf/2.pdf>):



- Articulation/Phonology - Any verbal child presenting with delayed or atypical phonological development, oral motor apraxia, or dysarthric speech secondary to congenital or acquired disorder. Speech sound production, phonological development, syllable structures, and overall intelligibility should be considered. All aspects of motor speech production (including articulation, respiration, resonance, prosody/rate, as well as timing, sequencing, and coordination of oral volitional movements) should be considered.
- Pragmatics - Any individual whose treatment plan specifically addresses pragmatic goals.
- Fluency/Rate/Rhythm - Any individual who presents with an atypical pattern of speech dysfluencies that interfere with communication. Rate, rhythm, and repetitions should be considered, as well as any secondary mannerisms or behaviors
- Language Comprehension - Any individual presenting with a receptive language delay/disorder and whose intervention plan recommends specific goals in the area of auditory language comprehension. Length and complexity, including syntactical, morphological, and semantic structures, phonological awareness, pragmatics, and metalinguistic skills presented for comprehension should be considered.
- Language Production - Any individual presenting with an expressive language delay/disorder and whose intervention plan recommends specific goals in the area of verbal language production. Length and complexity, including syntactical, morphological, pragmatic, and semantic structures of the communication should be considered, as well as any assistance needed for functional communication. Phonological awareness and metalinguistic skills should be considered.
- Voice Production - Any individual who presents with a functional or organic vocal deviation, which impacts on communication. Any individual exhibiting hypernasality secondary to velopharyngeal incompetence, or cleft palate should also be included. All aspects of vocal production including resonance, nasality, laryngeal quality, pitch, and loudness should be considered.
- Deaf and Hard of Hearing: Communication Strategies - Any individual who is being seen for aural habilitation/rehabilitation.

### Benefits and Outcomes of Using Functional Communication Measure Levels

- Allows the SLP to provide consistent descriptions of the populations they serve.
- Demonstrates changes in communication status at the time of eligibility, throughout therapeutical interventions and dismissal.
- Provides the ability to benchmark and identifies progress towards goals.

- Assist with clinical decision-making process as it relates to determining most appropriate service delivery based on student's level of dependence and educational impact
- Provides consistency when describing student's performance, adverse effect of the speech-language disability and determination of the amount and type of service delivery

## SECTION VIII

### Training And Support

#### A. Related Service Provider Training Goal

- The RSD will implement trainings that promote high standards and “best practices” according to processes and procedures that support continuous quality improvement efforts and ensure compliance with court mandates, federal, local and discipline specific national organizations. As illustrated in IMPACT and the discipline specific procedural reference guides, which is allied to enhanced performance, increased collaboration and improved educational outcomes for students.
- The RSD will develop training programs that are evidenced-based, empirically driven and results-focused. These initiatives will be implemented through strategic planning aimed to identify effective strategies for improving the performance of related service provider in ways that enhance the quality of service delivery, mastery of student’s goals for exiting services, quality assessments, appropriate educational planning, academic achievement, secondary transition outcomes as well as functional skills that improve educational outcomes of students with disabilities.

#### B. SLP Training Goals

- To utilize best practice in assessment and intervention for low incidence population to improve student performance and carryover into the classroom and home setting.
- To increase collaboration with teachers, parents and other related service providers to improve student performance in the school and home settings.
- To increase the utilization of various service delivery models to meet the needs of the student for academic success.

#### C. Mandatory Professional Development and Meetings

**\*\*\*Reserve the following dates on calendar, as attendance is MANDATORY . Program Managers reserve the right to request a doctor’s note when calling out and able to document as unexcused\*\*\***

##### Meeting Location:

Payne Elementary School  
Auditorium  
1445 C Street, SE  
Washington, DC 20003

Monthly Meeting Dates are scheduled from **8:00 AM to 3:30 PM**, unless otherwise indicated. If the meeting location is different from Payne Elementary, you will be notified via email or the SLP Weekly:

Wednesday, August 20<sup>th</sup>, 2014  
Thursday, August 21<sup>st</sup>, 2014  
Friday, August 22<sup>nd</sup>, 2014  
Friday, December 19<sup>th</sup>, 2014 (1:00 – 3:30 PM)  
Friday, October 10<sup>th</sup>, 2014  
Friday, December 5<sup>th</sup>, 2014  
Friday, February 13<sup>th</sup>, 2015  
Friday, March 20<sup>th</sup>, 2015 (1:00 – 3:30 PM)  
Friday, May 1<sup>st</sup>, 2015

### **D. Optional Trainings**

DCPS and the SLP Department offer several free Professional Learning Unit (PLU) trainings after the workday. These trainings include cohort meetings, workshops, webinars, case conferences, peer reviews, and lecture sessions. The SLP department offerings will be sent via email in the SLP weekly. All interested employees and contractors must register using SchoolNet.

The training course calendar and registration are available by accessing DCPS' SchoolNet website at <https://dcps.schoolnet.com>. If you have difficulties accessing the SchoolNet website, please contact the help desk at 866-MY-SN-HELP (866-697-6435) or [helpdesk@schoolnet.com](mailto:helpdesk@schoolnet.com).

### **E. University Partnerships**

The SLP Department has established clinical externships with several universities in the DC Metropolitan Area and beyond. The department is continuously seeking ASHA Certified Speech Pathologists to serve as extern clinical supervisors for fall, spring and fall semesters for SLP graduate students. If you are interested in serving as a clinical supervisor for a semester, please inform your assigned Program Manager.

In addition, undergraduate SLP students in the area are looking for observation hours in the school based setting. The observation hours are required for their undergraduate coursework and towards ASHA certification. The department is seeking volunteers to allow undergraduate SLP students to observe assessment and intervention sessions. If you are willing to allow a student to observe your sessions, please inform your assigned Program Manager.

### **F. Mentoring**

The mentoring program is established to assist those persons new to the District of Columbia Public School System, the Speech and Language Pathology (SLP) profession, and/or those who are new to the school setting. The purpose of the program is to pair new SLP professionals with experienced SLP professionals to provide support. The experienced SLP will serve as a resource and reference for the new employee and will provide helpful hints and pertinent information about their assigned school and the SLP department. The mentoring pairs will be established no later than the first 2 weeks of school. The mentoring pair will then schedule meeting dates to cover specific agenda items that meet the needs of the new employee.

## SECTION IX

### Glossary Of Terms

#### A. Abbreviations

APE	Adapted Physical Education
AUD	Audiologists
BIP	Behavioral Intervention Plan
DCMR	District of Columbia Municipal Regulations
DCPS	District of Columbia Public Schools
DHS	Department of Human Services
DOB	Date of Birth
ED	Emotionally Disturbed
ESL	English as a Second Language
ESY	Extended School Year
FAPE	Free Appropriate Public Education
FBA	Functional Behavioral Assessment
HI	Hearing Impairment
HOD	Hearing Office Determination
ID	Intellectual Disability (Also known as Mental Retardation MR)
IDEA	Individuals with Disabilities Education Act
IEP	Individualized Education Program
IFSP	Individualized Family Service Plan
ISP	Individualized Service Plan
LD	Learning Disability
LEA	Local Education Agency

LEP	Limited English Proficiency
LRE	Least Restrictive Environment
MD	Multiple Disabilities
MDT	Multidisciplinary Team
OHI	Other Health Impairment
OSI	Office of Specialized Instruction
OSSE	Office of the State Superintendent of Education
OT	Occupational Therapy
PT	Physical Therapy
SA	Settlement Agreement
SEA	State Education Agency
SLD	Specific Learning Disability
SLI	Speech Language Impairment
SLP	Speech Language Pathologist
SSI	Supplemental Security Income
SW	Social Worker
TBI	Traumatic Brain Injury
VI	Visual Impairment
VIS	Visiting Instruction Services

## B. Key Terms

The key terms outlined below have specific meanings assigned by IDEA (34 C.F.R §300.34, and/or DCMR 5-3001. This is not an exhaustive list of the developmental, corrective and supportive services that an individual child with disabilities may require. However, all related services must be required to assist a child with disabilities to benefit from special education. To provide clarity on the various types of related services, the individual definitions are provided below.

- **Audiology.** Audiology services include (i) the identification of children with hearing loss, (ii) determination of the range, nature, and degree of hearing loss, including referral for medical or other professional attention for the habilitation of hearing, (iii) provision of habilitative activities, such as language habilitation, auditory training,

speech reading (lip-reading), hearing assessment, and speech conservation, (iv) creation and administration of programs for prevention of hearing loss, (v) counseling and guidance of children, parents, and teachers regarding hearing loss; and (vi) determination of children's needs for group and individual amplification, selecting and fitting an appropriate aid, and evaluating the effectiveness of amplification.

- Counseling. Counseling services means services provided by qualified social worker, psychologist, guidance counselors, or other qualified personnel.
- Early identification and assessment of disabilities in children. Early identification and assessment means the implementation of a formal plan for identifying a disability as early as possible in a child's life.
- Interpreting services. When used with respect to children who are deaf or hard of hearing, this includes (i) oral transliteration services, cued language transliteration services, sign language transliteration and interpreting services, and transcription services, such as communication access real-time translation (CART), C-Print, and TypeWell and (ii) special interpreting services for children who are deaf-blind.
- Medical services. This service is for diagnostic or assessment purposes provided by a licensed physician to determine a child's medically related disability that results in the child's need for special
- Occupational therapy. Occupational therapy means services provided by a qualified occupational therapist and (ii) include (a) improving, developing, or restoring functions impaired or lost through illness, injury, or deprivation, (b) improving ability to perform tasks for independent functioning if functions are impaired or lost, and (c) preventing, through early intervention, initial or further impairment or loss of function.
- Orientation and mobility. Orientation and mobility services means services: (i) provided to blind or visually impaired children by qualified personnel to enable those students to attain systematic orientation to and safe movement within their environments in school, home, and community, and (ii) includes teaching children the following, as appropriate: (a) spatial and environmental concepts and use of information received by the senses (such as sound, temperature and vibrations) to establish, maintain, or regain orientation and line of travel (e.g., using sound at a traffic light to cross the street), (b) to use the long cane or a service animal to supplement visual travel skills or as a tool for safely negotiating the environment for children with no available travel vision, (c) to understand and use remaining vision and distance low vision aids, and (d) other concepts, techniques, and tools.
- Parent counseling and training. Includes (i) assisting parents in understanding the special needs of their child, (ii) providing parents with information about child development, and (iii) helping parents to acquire the necessary skills that will allow them to support the implementation of their child's IEP or IFSP.
- Physical therapy. Physical therapy means services provided by a qualified physical therapist.
- Psychological. Psychological services includes (i) administering psychological and educational tests, and other assessment procedures, (ii) interpreting assessment results, (iii) obtaining, integrating, and interpreting information about child behavior and conditions relating to learning, (iv) consulting with other staff members in planning school programs to meet the special educational needs of children as indicated by psychological tests, interviews, direct observation, and behavioral assessments, (v) planning and managing a program of psychological services, including psychological counseling for children and parents, and (vi) assisting in developing positive behavioral intervention strategies.

- Recreation. This service includes (i) assessment of leisure function, (ii) therapeutic recreation services, (iii) recreation programs in schools and community agencies, and (iv) leisure education.
- Rehabilitation counseling. Rehabilitation services means services provided by qualified personnel in individual or group sessions that focus specifically on career development, employment preparation, achieving independence, and integration in the workplace and community of a student with a disability.<sup>1</sup>
- School health and school nurse. These health services that are designed to enable a child with a disability to receive FAPE as described in the child's IEP. School nurse services are services provided by a qualified school nurse. School health services are services that may be provided by either a qualified school nurse or other qualified person.
- Social work. Social work in schools including (i) preparing a social or developmental history on a child with a disability, (ii) group and individual counseling with the child and family, (iii) working in partnership with parents and others on those problems in a child's living situation (home, school, and community) that affect the child's adjustment in school, (iv) mobilizing school and community resources to enable the child to learn as effectively as possible in his or her educational program, and (v) assisting in developing positive behavioral intervention strategies.
- Speech-language pathology Services. Speech-language services include (i) identification of children with speech or language impairments, (ii) diagnosis and appraisal of specific speech or language impairments, (iii) referral for medical or other professional attention necessary for the habilitation of speech or language impairments, (iv) provision of speech and language services for the habilitation or prevention of communicative impairments, and (v) counseling and guidance of parents, children, and teachers regarding speech and language impairments.
- Transportation. Transportation includes (i) travel to and from school and between schools, (ii) travel in and around school buildings, and (iii) specialized equipment (such as special or adapted buses, lifts, and ramps), if required to provide special transportation for a child with a disability.



## **SECTION X**

### **APPENDIX**

Student Name: \_\_\_\_\_ Student DOB: \_\_\_\_\_  
Student ID#: \_\_\_\_\_ Student's Home School: \_\_\_\_\_  
Related Service: \_\_\_\_\_

[illegible]

Name of Provider: \_\_\_\_\_  
Signature of Provider: \_\_\_\_\_  
Date: \_\_\_\_\_



DISTRICT OF COLUMBIA  
PUBLIC SCHOOLS

Office of Specialized Instruction

## COMPLETION OF SERVICES FORM

**STUDENT:** \_\_\_\_\_

**ADDRESS:** \_\_\_\_\_

Street# Street Name Apartment #

City State Zip Code

**TELEPHONE:** \_\_\_\_\_

**ID#:** \_\_\_\_\_

**DOB:** \_\_\_\_\_

**GRADE:** \_\_\_\_\_

A multidisciplinary team meeting is required in order to determine whether a student has completed special education and related services identified on the IEP, including the consideration of information from the evaluation (for which you provided consent) in the area(s) to be considered. Complete the sections below identifying the services.

**COMPLETION OF SERVICES(S)** (Check all service that are being considered)

SERVICE	Goals/ Obj. Completed	Results of Evaluation	Date
<input type="checkbox"/> Speech-Language Therapy	<input type="checkbox"/> Y <input type="checkbox"/> N		
<input type="checkbox"/> Orientation & Mobility	<input type="checkbox"/> Y <input type="checkbox"/> N		
<input type="checkbox"/> Occupational Therapy	<input type="checkbox"/> Y <input type="checkbox"/> N		
<input type="checkbox"/> Physical Therapy	<input type="checkbox"/> Y <input type="checkbox"/> N		
<input type="checkbox"/> Counseling	<input type="checkbox"/> Y <input type="checkbox"/> N		
<input type="checkbox"/> Adaptive PE	<input type="checkbox"/> Y <input type="checkbox"/> N		
<input type="checkbox"/> Audiology	<input type="checkbox"/> Y <input type="checkbox"/> N		
<input type="checkbox"/> Transportation	<input type="checkbox"/> Y <input type="checkbox"/> N		
<input type="checkbox"/> Other (specify)	<input type="checkbox"/> Y <input type="checkbox"/> N		
<input type="checkbox"/> Specialized Instruction	<input type="checkbox"/> Y <input type="checkbox"/> N		
	<input type="checkbox"/> Y <input type="checkbox"/> N		
	<input type="checkbox"/> Y <input type="checkbox"/> N		
	<input type="checkbox"/> Y <input type="checkbox"/> N		

### REASON FOR COMPLETION OF SERVICES:

☐ Graduated
 ☐ Completed Services
 ☐ Aged Out
 ☐ Transferred Out of District
 ☐ Dropped Out
 ☐ Other: \_\_\_\_\_

☐ I agree with the proposed termination of the special education and related service(s) identified above.

☐ I have been provided with my procedural safeguards and questions answered. I understand that my consent is voluntary, and that I have the right to appeal the decision of the multidisciplinary team (MDT).

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Parent/Eligible Student

(Student if age of majority has been reached and the transfer of rights has been officially documented)

## 2014- 2015 Cluster Listing

<b>Cluster I Harry Hughes</b>	<b>Cluster II Angela Chapman</b>	<b>Cluster III LaKimbre Brown</b>
Cooke ES Garfield ES Hendley ES Ludlow-Taylor ES ML King ES Murch ES Plummer ES Savoy ES School Within A School Shepherd ES Thomas ES Thomson ES Turner ES	Beers ES Capitol Hill Montessori Drew ES Houston ES Key ES Leckie ES Malcolm X ES Nalles ES Payne ES Peabody ES Randle Highlands ES Stanton ES Watkins ES	Amidon ES Bancroft ES Bruce-Monroe ES Cleveland ES Garrison ES J.O. Wilson ES Ketcham ES Kimball ES Oyster Adams Bilingual Patterson ES Powell ES Reed ES Tyler ES
<b>Cluster IV Melissa Ellis</b>	<b>Cluster V Janice Harris</b>	<b>Cluster VI Shawn Stover</b>
Aiton ES Brent ES Burrville ES Harris, C.W. ES Hearst ES Lafayette ES Langley ES Moten ES Ross ES Seaton ES Simon ES Tubman ES	Barnard ES Eaton ES Hyde-Addison ES Janney ES Mann ES Maury ES Miner ES Orr ES Smothers ES Stoddert ES Walker-Jones EC Wheatley EC	Brightwood EC Brookland EC Bunker Hill Browne EC Burroughs EC Langdon EC LaSalle-Backus EC Mamie D. Lee Noyes EC Raymond EC Sharpe Health Takoma EC Truesdell EC West EC Whittier EC
<b>Cluster VII Gene Pinkard</b>	<b>Cluster VIII Dan Shea</b>	<b>Cluster IX David Pinder</b>
Deal MS Eliot-Hine MS Hardy MS Hart MS Jefferson MS Johnson MS Kelly Miller MS Kramer MS Stuart-Hobson MS Sousa MS	Anacostia SHS Ballou SHS Ballou STAY Cardozo SHS Ellington School of the Arts Incarcerated Youth Program McKinley Technology SHS Phelps SHS Washington Metropolitan Wilson SHS Youth Services Center	Benjamin Banneker SHS Columbia Heights EC Coolidge SHS Dunbar SHS Eastern SHS Luke C. Moore Academy Roosevelt SHS Roosevelt STAY School Without Walls (PreK- 12) H.D. Woodson SHS



## Related Service Provider Weekly Building Intervention/Assessment Schedule School Year 2014-2015

Discipline:

Employee:

	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY
	School: Contact#:	School: Contact#:	School: Contact#:	School: Contact#:	School: Contact#:
<b>A.M.</b>					
8:00					
8:30					
9:00					
9:30					
10:00					
10:30					
11:00					
11:30					
<b>P.M.</b>					
12:30					
1:00					
1:30					
2:00					
2:30					
3:00					
3:30					
4:00 (ET 11)					

Principal Signature \_\_\_\_\_



## SAMPLE INTRODUCTION PARENT LETTER

Dear Parent,

Welcome to School Year 2014-2015! I am excited about the opportunity to work with your child as their Speech Language Pathologist.

My goal in speech and language therapy is to improve your child's communication skills so he / she can be successful in the classroom. Therapy is provided using a combination of direct therapy with the child and collaboration with the teacher.

As the parent, you also serve as a crucial partner in the success of the child. At times, I will send home strategies or speech homework activities through your child. Please implement the strategies at home and complete the speech homework. If you should have any questions about any of the activities sent home, please don't hesitate to contact me.

I am assigned to \_\_\_\_\_ school on \_\_\_\_\_, \_\_\_\_\_, and \_\_\_\_\_. You can reach me by phone at the school on my assigned days or via email at \_\_\_\_\_.

Once again, welcome to a new School Year. Let's work together to make this a productive school year for your child.

Sincerely,

Name, Credentials  
DCPS  
Speech-Language Pathologist

### Observation Form

Name: \_\_\_\_\_ School: \_\_\_\_\_  
 Student ID: \_\_\_\_\_ D.O.B. \_\_\_\_\_ Age: \_\_\_\_\_ Grade: \_\_\_\_\_

The purpose of this observation is to provide information regarding this student's performance in the school setting and behaviors in the area(s) of concern. Observe the student, complete this form and email to the Early Stages requestor. Attach additional sheet if necessary.

Date of Observation:	Start Time of Observation:	End time of Observation:
Setting of Observation:		
Describe the lesson/activities occurring during the observation session (e.g., lesson, discussion, independent seatwork, small group work) and the observed student level of participation and engagement. Include any special supports or conditions during this observation (e.g., student seated away from group, uses interpreter, etc.):		
Identify any instructional strategies and/or behavior supports used during the activity/instruction: <input type="checkbox"/> wait time <input type="checkbox"/> repetition <input type="checkbox"/> visual supports <input type="checkbox"/> graphic organizers <input type="checkbox"/> rephrasing <input type="checkbox"/> manipulatives <input type="checkbox"/> positive reinforcement <input type="checkbox"/> re-direction <input type="checkbox"/> teacher proximity <input type="checkbox"/> other _____		
Describe the student's reaction to instructional strategy(ies) and/or the behavior supports provided:		
Describe the student's behavior during the observation session:		
Describe the student's academic, social, emotional and/or behavioral functioning during the observation session:		
Summary of additional comments or concerns:		

\_\_\_\_\_  
 Print Name and Signature of Person Completing  
 Observation

\_\_\_\_\_  
 Job Title



DISTRICT OF COLUMBIA  
PUBLIC SCHOOLS  
Office of Specialized Instruction

### AUDIOLOGY DEPARTMENT 202-698-8011

## Justification for Consideration of Auditory Processing Disorder (APD) Assessment Evaluation Page 1 of 2

Send this completed form to the Audiologist assigned to your school (See list of “Schools by Audiologist” and “Audiologist Contact Information Sheet”) Please submit all of the following information by typing the information in via computer. Do NOT hand-write.

### Student information

Student's name	
DOB	
ID#	
Teacher	
Teacher contact information (phone/e-mail)	
Parent/Guardian	
Parent/Guardian contact information (phone/e-mail)	
Parent/Guardian Address	
Name of person making referral	
Referral contact information (phone/e-mail)	
Submission date	

**Please submit the following information. A full statement of guidelines is found on page 3 of this document:**

Please type an X in the box via computer. Do NOT hand-write.

<input type="checkbox"/>	Student is 8 years of age or older
<input type="checkbox"/>	Verification that the student is a proficient English Speaker
<input type="checkbox"/>	Verification that the student has normal hearing. Requires audiological evaluation within the past year. This may be done by an outside audiologist or may be requested of a DCPS audiologist.
<input type="checkbox"/>	Submission of Psycho-educational Evaluation within the last year documenting full scale IQ of 80 or better Submit review of report by DCPS Educational Psychologist if the evaluation was done by an outside source.
<input type="checkbox"/>	Submission of Speech Language evaluation within the last year documenting intelligible speech and Language Processing status Submit review of report by DCPS Speech-Language Pathologist if the evaluation was done by an outside source.
<input type="checkbox"/>	Include front page of IEP, hours of service and accommodations if applicable
<input type="checkbox"/>	Attach a brief statement of reason for referral
<input type="checkbox"/>	Attach a list of any additional diagnoses including ADD

	Date received by DCPS Audiology Department
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**Justification for Consideration of Auditory Processing Disorder (APD) Assessment Evaluation**  
**Page 2 of 2**

Student's name	
DOB	
ID#	

**Typical behaviors of children at risk for Auditory Processing Disorder**

Reference: Scale of Auditory Behaviors (SAB) (Conlin, 2003; Schow et al., 2006; Shiffman, 1999; Simpson, 1981; Summers, 2003).

Please rate the following behaviors by placing the appropriate number in the box in via computer. Do NOT hand-write.

- 1- Frequent
- 2- Often
- 3-Sometimes
- 4-Seldom
- 5-Never

	Difficulty hearing or understanding in background noise
	Misunderstands, especially with rapid or muffled speech
	Difficulty following oral instructions
	Difficulty in discriminating and identifying speech sounds
	Inconsistent responses to auditory information
	Poor listening skills
	Asks for things to be repeated
	Easily distracted
	Learning or academic difficulties
	Short attention span
	Daydreams, inattentive
	Disorganized

### Statement of APD evaluation Guidelines

When referring for an APD Evaluation, the following guidelines must be met:

1. Be at least 8 years of age or older. An age criterion is important because it reflects the developmental component of the higher auditory pathways and resulting developmental abilities of the child. It is also important to meet the age requirement due to the need to match the child to appropriately age-normed tests.
2. List any diagnoses including ADD/ADHD, LD, and Autism Spectrum Disorder (ASD). Indicate whether or not the student is taking medication for ADD. A student who is taking medication for ADD but has not taken it the morning of APD testing will be re-scheduled.
3. Indicate which special classes the student attends and for how much of the day. Indicate what modifications are currently being made for the student.
4. Have normal peripheral hearing acuity (Note: Normal hearing must be documented by an audiologist prior to considering APD testing. Testing in the presence of a hearing loss is generally inappropriate when attempting to diagnose an Auditory Processing Disorder. In the case of a hearing loss, APD testing will need to be considered on an individual basis.)
5. Be able to cooperate with the APD test protocol. Testing requires extended period of attention.
6. The student is English proficient. APD assessments are normed on native English speakers.
7. Have a recent psycho-educational evaluation (within a year). Performance is affected by cognitive ability; therefore any child assessed must have normal cognitive function. The student's full-scale IQ must be 80 or higher (Note: Individual subtest scores are not an adequate criterion). Exceptions will be considered on an individual basis.
8. Have a recent speech and language assessment (within a year), specifically looking at processing skills. (CELF or equivalent evaluation of language; CTOPP or equivalent evaluation of phonological processing). In addition, the student must have intelligible speech.

### Other Considerations

For all students in a special education program, re-evaluation is required every three years. If the student has a diagnosed APD, a re-evaluation may be a part of that formal process. The re-evaluation process will be identical to the procedure used in the initial evaluation. If the student is using a FM system, the re-evaluation will include assessing the benefit of the equipment.



## Communication Abilities Rating Scale

Student: \_\_\_\_\_

Date: \_\_\_\_\_

Teacher: \_\_\_\_\_

Grade: \_\_\_\_\_

SLP: \_\_\_\_\_

Return By: \_\_\_\_\_

Please complete the Communication Abilities Rating Scale by identifying how often the following behaviors are observed.

*1-Always*
*2- Sometimes*
*3-Never*

### Articulation

- |   |   |   |   |
|---|---|---|---|
| 1. Imitates sounds correctly in words.                                | 1 | 2 | 3 |
| 2. Enjoys speaking in front of the class.                             | 1 | 2 | 3 |
| 3. Is understood when the topic is known.                             | 1 | 2 | 3 |
| 4. Is understood when the topic is unknown.                           |   |   |   |
| 5. Is able to sound-out unfamiliar words when reading aloud in class. | 1 | 2 | 3 |
| 6. Is able to spell words correctly.                                  | 1 | 2 | 3 |

### Fluency

- |   |   |   |   |
|---|---|---|---|
| 1. Willingly speaks in class.                     | 1 | 2 | 3 |
| 2. Speaks with little or no frustration.          | 1 | 2 | 3 |
| 3. Uses more speech than gestures to communicate. | 1 | 2 | 3 |
| 4. Speaks without noticeable tension/effort.      | 1 | 2 | 3 |

### Understanding Language

- |  |   |   |   |
|--|---|---|---|
| 1. Follows spoken or written directions.             | 1 | 2 | 3 |
| 2. Remembers things people say.                      | 1 | 2 | 3 |
| 3. Attends to oral class discussions.                | 1 | 2 | 3 |
| 4. Understands content vocabulary and word meanings. | 1 | 2 | 3 |

- |   |   |   |   |
|---|---|---|---|
| 5. Retains/recalls content information. | 1 | 2 | 3 |
| 6. Understands new ideas.               | 1 | 2 | 3 |

**Using Language**

- |  |   |   |   |
|--|---|---|---|
| 1. Explains ideas and thoughts clearly in logical order. | 1 | 2 | 3 |
| 2. Uses compound/complex sentences.                      | 1 | 2 | 3 |
| 3. Retells stories or events in the right order.         | 1 | 2 | 3 |
| 4. Answers questions appropriately.                      | 1 | 2 | 3 |
| 5. Responds to questions in a timely manner.             | 1 | 2 | 3 |
| 6. Asks questions appropriately.                         | 1 | 2 | 3 |
| 7. Asks for assistance when needed.                      | 1 | 2 | 3 |
| 8. Uses vocabulary or concept terms correctly.           | 1 | 2 | 3 |

**Voice**

- |  |   |   |   |
|--|---|---|---|
| 1. Speaks loud enough to be heard in class.                                | 1 | 2 | 3 |
| 2. Does not avoid speaking in class.                                       | 1 | 2 | 3 |
| 3. Does not clear his/her voice or cough excessively.                      | 1 | 2 | 3 |
| 4. Does not lose his/her voice during the day.                             | 1 | 2 | 3 |
| 5. Does not use a voice quality that distracts from what he/she is saying. | 1 | 2 | 3 |

**Pragmatics**

- |   |   |   |   |
|---|---|---|---|
| 1. Makes or responds to greetings to or from others.                      | 1 | 2 | 3 |
| 1. Begins /ends conversations appropriately.                              | 1 | 2 | 3 |
| 2. Observes turn-taking rules in the classroom or in social interactions. | 1 | 2 | 3 |
| 3. Maintains eye contact during conversation.                             | 1 | 2 | 3 |
| 4. Asks for/responds to requests for clarification during conversation.   | 1 | 2 | 3 |

**Academic Performance**

Is the student below grade level in any subject? Y/N

If yes, in what area(s)? \_\_\_\_\_

Comments:

**Infant/Toddler Communication Screening Careprovider Report: Birth to Three**

Student's Name: \_\_\_\_\_ Date Completed: \_\_\_\_\_  
 Completed by: \_\_\_\_\_ Relationship to student: \_\_\_\_\_

Please answer the following questions about how your child communicate. Feel free to give examples.

1. How does your child usually communicate? (Check as many as applicable)

<input type="checkbox"/>	Understands words of others	<input type="checkbox"/>	Makes sounds (e.g., /e/ as in eat, /a/ as in way)
<input type="checkbox"/>	Follows simple instructions	<input type="checkbox"/>	Speaks single words
<input type="checkbox"/>	Looks at people, object of interest	<input type="checkbox"/>	Gestures combined with sounds
<input type="checkbox"/>	Cries or whines	<input type="checkbox"/>	Uses his/her own language/jargon
<input type="checkbox"/>	Babbles during play	<input type="checkbox"/>	Putting 2-3 words together
<input type="checkbox"/>	Gestures (pointing to objects, tugging for attention)	<input type="checkbox"/>	Uses short phrases
<input type="checkbox"/>	Speaks in complete sentences	<input type="checkbox"/>	

2. How has your child's communication changed over the past few months? Provide examples.

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	<b>How Does Your Child ...</b>	<b>Give Example</b>
3	Attract your attention when you are busy?	
4	Let you know that he/she does not want something that you are offering?	
5	Let you know he/she want something out of reach?	
6	Let you know he/she needs help (i.e., opening a container or getting a toy)	
7	Greet someone entering or leaving the room?	
8	Play social games with you or ask you to play games (i.e., "peek-a-boo")	
9	Get you to look at something that he/she wants you to notice?	
10	Let you know that he/she is mad or frustrated, happy or sad?	
11	Comment on or describe an object or activity?	
12	Tell you something he/she has done or seen?	

13. What happens if you can't figure out what your child is asking for? What does your child do? What do you do?

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## Page 2 – Infant/Toddler Communication Screening Careprovider Report

## 14. How often does your child try to get your attention? (Check One)

	Seldom – 1 time a day
	Sometimes – 3-4 times a day
	Frequently – 10 or more times a day
	Very Frequently – During every interaction

15 (a) What words and directions does your child understand? Please list the names of common objects, toys, people or pets your child knows. If you are not sure your child understands the names of objects, toys, ask him/her to “show” or “touch” the item.


15(b) Please list directions your child can complete. If you are not sure, take objects familiar to the child and tell him/her to follow certain directions. Be sure the instructions involve actions you know he/she can do (For example, “Get diaper”, “Give me the car”, or “Put the doll in her bed”)

Your Direction	What did your child do?

## 16. How does your child ask questions? Examples:

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## 17. Please check the gestures your child uses

	Reaches up (to request to be picked up)		Waves (to greet)
	Extends arm (to show an action)		Points (to objects to indicate interest)
	Lead adult/you to desired object		Nods or shakes head (to agree or protest)
	Extends object (to give)		Open hand, palm up (to request)

## 18. Does your child combine two or more words in phrases? (e.g., more cookie, car bye-bye, etc...)

Examples

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## 19. Please list below THREE of your child's longest and best sentences or phrases:

- a. \_\_\_\_\_
- b. \_\_\_\_\_
- c. \_\_\_\_\_

## 20. List words that your child uses SPONTANEOUSLY, without being prompted or cued

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Birth to Three Program – UAP – 2601 Gabriel Parsons, KS 67357 9316) 421-6550 Ex. 1859

Items have been compiled from the following resources:

Rescotla, L. (1989). The language development survey: A screening tool for delayed language in toddlers. Journal of Speech and Hearing Disorders, 54 587-599.

Wetherby, A. & Prizant, B. (1990). CSBS Caregiver Questionnaire. San Antonio, TX: Special Press, Inc

MacDonald, J & Hoesmeier, D. (1978). Environmental Language Intervention Program. Columbus, OH: Charles Merrill

### MAKE-UP MISSED SERVICES PLAN

Student		Student ID Number	
Date of Birth		School	
Discipline		Provider Name	
Date		Signature	

**Instructions:**

- (1) Follow DCPS guidelines regarding Due Diligence Missed Related Service Guidelines
- (2) Notify the student's parent and teacher of missed sessions and make-up plan and document in Communications Log in SEDS
- (3) Work with teachers to determine best times for providing make-up services
- (4) Submit a copy of this form into SEDS and to assigned Program Manager or Clinical Specialist by the end of the quarter

Reason for Missed Service				Options for Making-Up Services			
<b>Select:</b> T1 – Provider unavailable due to student/district/building meetings T2 – Provider – illness; personal; professional development T3 – Not provide to cover school				<b>Select:</b> 1. Add time before or after the student's scheduled session 2. Add a session another day 3. Incorporate the student into other students' sessions 4. Integrate service into classroom activities 5. Schedule before/after school if permissible by the district			
Dates of missed sessions	Amount of time missed (in minutes)	Reason	Option selected for make-up services	Dates services will be made up	Estimated completion date	Make up plan confirmed with teacher and parent	Date make-up was completed and documented

## RESPONDING TO CAPACITY GAPS

### SPEECH-LANGUAGE PATHOLOGY & AUDIOLOGY SERVICES

Action Item	Due Date	Responsible Person
Notification of resignation or extended leave is provided to the manager.	Immediately	RSP for vendor
Review schedules, caseloads, and outstanding assessments of all SLPs and designates 1 or more providers to cover the gap	Within one (1) week of RSP notification	Manager
If there is no additional capacity, solicit the help of the vendor to recruit a provider	Within one (1) week of RSP notification	Contract Administrator (COTR)
Notify the principal and school (LEA) of the coverage gap and request that the information is shared with parents of affected students.	Within one (1) week of RSP notification	Manager
If services are delayed for more than 3 weeks, notify affected families in writing, along with the expected positioning of a new provider and how make-up services will occur.	During week 3 of staffing gap	Manager - modify sample letter
Once replacement (temporary or permanent) is identified, notify school principal, school LEA and affected families in writing of the replacement's start date.	Before the start of the replacement	Manager - modify sample letter
Replacement provider sends an introduction letter to the parents with a copy of the make up services plan that was faxed into SEDS.	At the start of second week of work	RSP



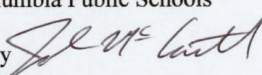
**GOVERNMENT OF THE DISTRICT OF COLUMBIA**  
**Department of Health Care Finance**



Office of the Deputy Director

MEMORANDUM

TO: Richard Nyankori  
Deputy Chancellor, Office of Special Education  
District of Columbia Public Schools

FROM: John McCarthy   
Deputy Director  
Department of Health Care Finance

DATE: August 9, 2010

SUBJECT: Electronic Signature for Medicaid-Reimbursable Related Services

This memorandum is in response to DCPS' request regarding the allowable use of e-signatures for documenting Medicaid services. DHCF understands that DCPS is undergoing a system-wide initiative to capture and maintain all special education related information electronically. This includes service encounter tracker forms, which contain the related services provided to students, progress notes, the provider's "wet signature", and other required Medicaid documentation.

In 2008, the District implemented the Special Education Database System (SEDS), which is a web-based system designed to manage all special education services to include Medicaid reimbursable related services. SEDS also contains the service encounter tracker form to track and document Medicaid reimbursable services. DHCF participated in a walk-through of the SEDS system in June 2010. DHCF understands that the SEDS system includes the following components:

- Mandatory SEDS training for all authorized special education providers to gain access to system;
- Unique user name and encrypted password to identify user;
- System entry with unique user name and password for authentication; and
- Date and time stamp entries and attestation to being the approved provider.

DHCF reviewed the above components and SEDS has the necessary safeguards for secure access to and documentation of Medicaid reimbursable services by authorized and authenticated users. Based on the information above, DHCF will accept electronic signatures by providers in lieu of physical signatures for Medicaid-reimbursable related services. This is contingent on the

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825 North Capitol Street, N.E., Washington, D.C. 20002 (202) 442-5988 Fax (202) 442-4790



**TO:** Related Service Providers and Special Education Coordinators

**FROM:** Dr. Richard Nyankori  
Deputy Chancellor for the Office of Special Education

**DATE:** March 4, 2009

**SUBJECT:** Speech-Language Services New Guidelines

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There has been some confusion regarding the proper procedures for handling IEPs that contain only speech and language services. Official guidance from OSSE will be forthcoming, in the interim DCPS has established the following guidelines.

- An IEP with only speech and language services is acceptable and will remain in place. If the IEP is current, continue serving the student. No changes need be made until the next review meeting.
- At the next review meeting discuss the student's progress and any educational impact. Determine if there are current assessments that can be used to evaluate educational impact. If not, MDT members including the speech and language pathologist, psychologist, and teacher must decide which assessments are needed to determine educational impact and continued eligibility. If there is no educational impact, the team must recommend dismissal from special education.
- For initial referrals, proceed with the usual intake process to identify the appropriate assessments to determine the student's eligibility for services.
- If it is determined that there is an educational impact and speech language services are the only services needed to address that impact, an IEP with only speech and language can be drafted. There is no need to include specialized instruction in these instances as speech and language will be viewed as a form of specialized instruction for students with an IDEA classification of SLI. However, when entering in Easy IEP, specialized instruction should be entered as zero hours and the speech-language service documentation should be completed under related services.

Please carefully follow these steps to ensure that all our students are properly served. Thank you for your continued support and cooperation. If you have questions or need further clarification, please contact your supervisor or the SEDS help desk at (202) 719-6500.

Thank you,

## **SAMPLE INDEPENDENT ASSESSMENT REVIEW**

**Name:** Demetrius Downs

**DOB:** 10/10/2002

**SID#:** 9999999

**CA:** 10:7

**Examiner:** Name and credentials

**DOE:** 8/3/2010

**DOR:** 8/16/2010

**Grade:** 2nd

**School:** Smith ES

**Teacher:** Ms. Taylor

### **BACKGROUND INFORMATION AND CLINICAL OBSERVATIONS**

Demetrius is a seven year and ten month old male from a home in which English is spoken. He was referred for a Speech and Language re-evaluation to determine his current level of functioning. Therapeutic services are currently being received for sixty minutes per week in small group sessions. Demetrius uses and responds to greetings and farewells from others. He begins and ends conversations appropriately, and observes turn-taking rules in the therapy room, classroom and in social interactions. He maintains eye contact and appropriate body position during conversations, maintains topics using appropriate strategies (e.g., nods, responds with "hmmm..."), and makes relevant contributions to a topic during conversation/discussion.

Ms. Taylor, Resource Teacher, indicated on the Observational Rating Scale that Demetrius always has trouble writing complete sentences, expanding an answer or providing details when writing, and putting words in the right order when writing sentences. She also noted that he often has trouble paying attention, and he has trouble understanding the meaning of words. Ms. Taylor further indicated that he sometimes has trouble following spoken directions, remembering things people say, understanding new ideas, answering questions people ask, and answering questions as quickly as other students. However, he never has trouble understanding what people are saying, understanding facial expressions, gestures, or body language, asking for help when needed, and using complete sentences when talking. Although, she did note that he talks in choppy sentences. She also stated that on the Dibels he performed 'at risk' in all areas.

### **SUMMARY**

Jason Doe, MS CCC-SLP, evaluated Demetrius on October 7, 2008 at Scottish Rite Center for Childhood Language Disorder – Children's Hearing and Speech Center of Children's Hospital. To assess overall expressive and receptive language skills Demetrius was administered the CELF-4. His performance yielded receptive and expressive language (RLI: 61: ELI: 55), indexes in the low range for his age. That is, his core language score of 50 indicates that his overall language processing and production skills are not within normal limits, further indicating the presence of a severe delay in his overall language skills. Demetrius had difficulty processing, recalling and executing commands, as well as verbally expressing himself by using appropriate sentence length, content and structure. He also exhibited difficulty recognizing and comprehending knowledge of the meaning of words (semantic skills); and using words as well as accessing and retrieving words from memory. His Receptive Vocabulary score (69) and Expressive Vocabulary score (67) indicate Demetrius' one-word hearing vocabulary learned in school and at home is inadequate for his chronological age and word-picture associations are not commensurate

with that of his peers. His articulation skills, fluency patterns, hearing ability, and voice quality were found to be adequate for his age and gender.

The examiner recommended Demetrius receive speech and language therapy to alleviate his language difficulties that may have a significant impact on his academic and social progress. Mr. Doe also recommended modified classroom instruction (e.g., use of shorter and simpler directions, receiving additional time for testing, using preferential seating, and collaboration between the SLP and teachers to discuss strategies and provide ideas on how to help improve his overall language skills). He also recommended Demetrius have his hearing formally assessed to rule out any possible audiological difficulties.

Demetrius' receptive and expressive vocabulary (R: 69: E: 67) and language skills (RLI: 61: ELI: 55) are not commensurate with his age, linguistic environment and cognitive functioning and are negatively impacting on academic achievement with the general education curriculum. He is not able to interpret spoken sentences of increasing length and complexity; understand and express relationships between words that are related by semantic class relationships, he has poor knowledge of basic concepts, and is unable to repeat sentences of varying length and complexity without changing any word meanings or structure, Demetrius is not aware of knowledge of grammatical rules in a sentence-completion task, and he has difficulty interpreting, recalling, and executing oral commands of increasing length and complexity, and in social language acquisition.

## RECOMMENDATIONS

Final decisions regarding eligibility, frequency and duration of services will be determined by the multidisciplinary team, after all psychological, educational, socio-emotional, and other relevant data have been reviewed.

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Type Your Name, Credentials (OSSE / ASHA)  
Speech Language Pathologist  
District of Columbia Public Schools

---

Date

## DOCUMENTING SERVICES GUIDANCE MEMO

**TO:** All DCPS Employees and Contracted Related Service Providers

**FROM:** Dr. Richard Nyankori, Deputy Chancellor of Special Education  
Dr. Erica Fener, Program Director of Related Services

**DATE:** November 13, 2009

**SUBJECT:** Establishment of Caseload Management and IEP Service Documentation Guidelines

### Caseload Management for Related Service Providers

By the first day of school, Local Education Agency (LEA) must identify all students who require related services as per their IEP. This identification process includes:

- Type of service, Related Service Provider (RSP) assigned to the student
- Beginning date of service
- Intensity of service (e.g. one 60-minute session per week)

During the first two weeks of school, Related Service Providers must:

- Check with the LEA at each of their assigned schools to ensure they have all of the students on their caseload assigned to them in SEDS. If RSPs have difficulty engaging their SEC in this process, they should contact the OSSE SEDS (EasyIEP) Call Center (202-719-6500 Monday – Friday, 7:30am – 6:00pm) for assistance in appropriately assigning students to their caseload and immediately notify their Program Manager via email.
- Identify any students the RSP does not have the capacity to serve.
- Supply this information to their Program Manager immediately to ensure the Program Manager is aware of the capacity issue at that school.

### IEP Service Documentation for Related Service Providers

DCPS, the Centers for Medicare and Medicaid (CMS), and the Office of the State Superintendent for Education (OSSE) have established a best practice service delivery documentation system. Related Service Providers should document the services they provide or attempt to provide pursuant to the IEP within the same school day those services were scheduled to occur. Email your program manager if barriers exist for daily documentation of services. We recognize there may be challenges (e.g. incorporating time to collaborate with teachers and parents) that could prevent you from providing daily documentation 100% of the time. Therefore, DCPS has established a definitive due date for documenting services provided during a school week.

*Definitive Due Date for Documenting Services:* All services provided in a school week **must** be documented by **noon on the Monday of the following school week**. If school is closed on Monday then documentation is due by noon of the next school day. For example, 60 minutes of speech/language services provided on Friday from 2 to 3 p.m. should be documented by noon that upcoming Monday.

## MEMORANDUM

To: Related Services Providers  
Program Managers and Clinical Specialists  
From: Dr. Nathaniel Beers, Chief of the Office of Specialized Instruction  
Cc.: Phuong Van, Medicaid Analyst, Office of Specialized Instruction  
Re.: National Provider Identification Number  
Date: February 19, 2014

Welcome to the District of Columbia Public Schools' (DCPS) Office of Specialized Instruction Inclusive Programming Division. Your commitment and dedication to helping our students reach their maximum potential is much appreciated.

The purpose of this memo is to inform you of an important step in ensuring your good standing as a Related Services Provider (RSP). A mandated service provider regulation passed on April 12, 2012, through the Affordable Care Act (rule 42 CFR Parts 424 and 431), requires all providers of medical services to obtain a National Provider Identifier (NPI) within one week of their employment start date. The NPI acts as a unique provider identifier for Medicaid claims submitted to the Medicaid Agency, and is necessary to the operations of both Medicaid and Related Services.

In order to properly conduct Medicaid claiming and to remain a provider employed in any capacity, all providers rendering services on behalf of DCPS must obtain their NPI number. Providers may verify their existing NPI number or obtain an NPI number online at <https://nppes.cms.hhs.gov/NPPES>. After securing an NPI within seven days of employment, please provide the number to your assigned Program Manager or Clinical Specialist.

Please review the attached National Provider Identifier FAQs and directions. For any other questions concerning your NPI number or any difficulties experienced while attempting to obtain your NPI number, please notify your assigned Program Manager or Clinical Specialist and contact the NPI Enumerator.

## MEMORANDUM

TO: Related Services and Early Stages Program Managers

Cc: Dr. Art Fields, Senior Director of Related Services

Deitra Bryant Mallory, Director Related Services Quality

Regina Grimmert, Director Related Services Operations

Sean Compagnucci, Executive Director Early Stages

FROM: Dr. Nathaniel Beers, Chief, Office of Specialized Instruction

RE: National Provider Identifier Requirement for Providers Employed or Contracted with DCPS

As a result of the Affordable Care Act, the Centers for Medicare and Medicaid (CMS) issued a final rule (42 CFR Parts 424 and 431) on April 12, 2012 requiring all providers of medical services to obtain a National Provider Identifier (NPI). The NPI acts as a unique provider identifier for Medicaid claims submitted to the Medicaid Agency. In order to properly conduct Medicaid claiming, all providers rendering services on behalf of the District of Columbia Public Schools must obtain their NPI number.

Providers may verify their existing NPI or obtain an NPI for the first time online at <https://nppes.cms.hhs.gov/NPPES>

### Frequently Asked Questions (FAQ's):

1. What is an NPI?

An NPI is a 10-digit number used by Medicaid to uniquely identify providers.

2. Why is the NPI required?



In order to properly submit Medicaid claims for special education services rendered, individual provider NPI's must be included in claims.

3. Why is this required now and not in past?

The Affordable Care Act (ACA) reinforced the 1996 HIPAA requirement that certain providers obtain an NPI, making the NPI requirement universal. The Centers for Medicare and Medicaid Services issued a final rule (42 CFR Parts 424 and 431) requiring all providers of medical services to obtain an NPI. As a result, states must provide the individual NPI when claiming.

4. If I'm providing services as part of my private practice, will this affect my ability to collect Medicaid?

As long as a provider is not submitting claims for services rendered on behalf of DCPS, then there should be no effect on a provider's ability to claim outside of these services.

5. Who is liable if DCPS is the Medicaid claimant?

Liability will be shifted away from providers, because DCPS conducts Medicaid claiming on behalf of providers and providers have no part in claiming themselves.

6. Will this be an annual requirement or just one time?

Obtaining an NPI is a one-time requirement.

7. When do I need to obtain an NPI/enroll with DC Medicaid?

DCPS requires providers to obtain an NPI within 7 days of employment. Please provide your NPI number to your discipline Program Manager.

8. What's the process to obtain an NPI?

Providers must access the National Plan and Provider Enumeration System (NPPES) at

<https://nppes.cms.hhs.gov/NPPES>

Time to complete is an estimated 20 minutes. Required credentialing and identifying information is listed on the website.

9. Does it cost anything?

There is no cost to obtaining an NPI.

Any questions or concerns?

Contact:

Phuong Van

Gloria VanHook

Medicaid Analyst, OSI Eligibility and Enrollment Specialist, OSI

Email: [phuong.van@dc.gov](mailto:phuong.van@dc.gov) Email: [gloria.vanhook@dc.gov](mailto:gloria.vanhook@dc.gov)

Desk: (202) 442-4487 Desk: (202) 7276196





TO: Related Services Providers

Cc: Dr. Art Fields, Senior Director Related Services  
Deitra Bryant Mallory, Director Related Services Quality  
Regina Grimmert, Director Related Services Operations  
Sean Compagnucci, Executive Director Early Stages

FROM: Medicaid Team, Office of Specialized Instruction

RE: Directions to Apply for a National Provider Identifier

All providers rendering services on behalf of DCPS must obtain a National Provider Identifier (NPI). Individuals are eligible to receive one NPI regardless of the number of specialties practiced. Please follow the steps below if you never received an Entity Type 1 NPI.

**Contact the NPI Enumerator (helpdesk) at 1-800-465-3203 or [customerservice@npienumerator.com](mailto:customerservice@npienumerator.com) for questions about the application.**

**Open the hyperlink <https://nppes.cms.hhs.gov/NPPES>**

**Section 1:**

- Select Entity Type 1: "An individual who renders health care"
- Is the individual a sole proprietor? Select No

**Section 2:**

Complete 1-19.

**Section 3:**

3-A and B: Input DCPS address for *Business Address* and *Business Practice Location*.

1200 First St NE, 9th Floor  
Washington, DC 20002

3-C. Fill out if applicable

3-D. Provider Taxonomy Code

1. Click **Add Taxonomy**
2. Select **Provider Type Code**, click **Next**
3. Select **Taxonomy Code Area**, **Highlight** the appropriate code
4. Click **Save** and then click **Next**

The table below provides some Taxonomy Codes. For a complete list, please visit <http://www.wpc-edi.com/reference/> and click on *Health Care Provider Taxonomy Code*.

Classification	Provider Type Code	Taxonomy Code
Audiology	23	231H00000X
Occupational Therapy	22	225X00000X
Occupational Therapy Assistant	22	224Z00000X
Physical Therapy	22	225100000X
Physical Therapy Assistant	22	225200000X
School Psychologist	10	103TS0200X
Clinical Psychologist	10	103TC0700X
Speech Language Pathologist	23	235Z00000X
School Social Work	10	1041S0200X
Clinical Social Work	10	1041C0700X

**Section 4:** Certification Statement.

**Section 5:** Provide your contact information.

**\*\*NPI information can be updated online. \*\***



DISTRICT OF COLUMBIA  
PUBLIC SCHOOLS

Office of Specialized Instruction

March 2014

# Guidelines for Speech Only IEP Case Management

Final Version

DCPS maintains discretion to revise, amend, or revoke this guidance at any time.

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## Definition of Speech Only IEPs

A speech-only IEP contains only goals and direct services in the area of speech-language pathology. A speech-only IEP does not contain any other related service(s) or specialized instruction.

## How to Identify the Number of Speech Only IEPs at my School

There two (2) recommended ways to identify Speech Only IEP cases at your respective school. The Speech Only IEPs can be found either through SEDS or the Provider Management Application 2.0 (PMA). Below illustrates access to both databases to retrieve the information.

### **SEDS EASY IEP Access:**

Identifying Speech-Language Only IEPs in SEDS:

1. Click on the *Students* tab located on the navigation pane of the home screen.
2. Select *Advanced Student Search* as the student search option located towards the bottom of the page.
3. Select *Special Ed* as the Status located in the middle of the page.
4. Select *Speech or Language Impairment* as the Disabilities located in the middle of the page.
5. Select *Speech-Language Pathology* as the Related Services located towards the bottom of the page.

NOTE: The results will identify **POTENTIAL** students with a Speech-Language Only IEP. Specific items must be present on the students IEP in order to qualify.

Qualifications for Speech-Language Only IEP that must be present

1. The disability classification can only be Speech or Language Impairment (SLI).
2. All related, consultative and extended school year services can only be Speech-Language Pathology.
3. If Special Education Services are present on the IEP, then the amount of time can only be zero (0).
4. All qualifications must be present on the student's IEP, in order to be assigned a speech-language pathologist as the case manager in SEDS.

### **Provider Management Application (PMA) Access:**

- Log onto the PMA.
- Scroll down to section called "Reports" located on the left-hand side of the screen and find tab called "School Years."
- Under "School Years" find and enter section called "Speech-Language Only IEP Students."

This report lists all students that have a speech and language only IEP at each school. Within this report, it will indicate the students school and the IEP/Eligibility due date.



## Case Management of Speech Only IEPs

As a case manager, the Speech-Language Pathologist (SLP) is responsible for ensuring that students with speech-only IEPs have appropriately written Individual Education Plans (IEPs) and that they follow the District of Columbia Public Schools Policy and Procedure for compliance.

Responsibilities of Speech-only IEP Case Managers:

Responsibilities	Required Duties to Fulfill Responsibilities as a Case Manager
Parent Communication	<ul style="list-style-type: none"><li>• Scheduling meetings and sending Letter of Invitations</li></ul>
Assessments: Reevaluations	<ul style="list-style-type: none"><li>• Order and finalize all assessments in SEDs</li><li>• Input all assessment results in SEDs</li></ul>
IEP Development	<ul style="list-style-type: none"><li>• Prepare draft IEP</li><li>• Prepare all documents for IEP meeting</li><li>• Enter all data/information into SEDs</li><li>• Finalize the IEP</li></ul>
IEP implementation	<ul style="list-style-type: none"><li>• Ensure all students on caseload receive proper accommodations and modifications</li><li>• Implement the IEP</li><li>• Ensures the team signs the IEP and faxes the IEP into SEDs</li></ul>
Monitoring	<ul style="list-style-type: none"><li>• Progress monitoring</li><li>• Develop and enter quarterly progress reports into SEDs</li></ul>

## What is not included in Speech Only IEP Caseloads

Speech Only IEP case managers are not responsible for managing initial IEPs or initial eligibility. This responsibility remains that of the LEA Representative or case manager.

## Maximum Caseloads for SLPs

Per the Washington Teachers' Union (WTU) bargaining unit agreement, case managers' caseloads are not to exceed fifteen (15) cases. In the incidence when caseloads do exceed fifteen (15) cases the case manager must be offered the administrative premium payment. As caseloads fluctuate, please refer to

this stipulation and equalize caseloads amongst case managers as appropriate. Speech Only IEP case managers in excess of fifteen (15) at any school require that the SLP agree to receive administrative premium to manage those cases, or that they be assigned to another case manager. The administrative premium funds are the responsibility of the school.

## Point of Contacts

- Kenyetta M. Singleton, M.S., CCC-SLP  
Program Manager, Speech – Language Pathology & Audiology  
(202) 907-7682  
[Kenyetta.Singleton@dc.gov](mailto:Kenyetta.Singleton@dc.gov)
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DISTRICT OF COLUMBIA  
PUBLIC SCHOOLS

Office of Specialized Instruction

2014-2015 School Year

# Swallowing and Feeding Guidelines for Speech-Language Pathologists

Version 3.0

Submitted by: Tiffany White M.Ed., CCC-SLP and Kenyetta Singleton M.S., CCC-SLP

It is DCPS' position that all students should utilize appropriate feeding and swallowing procedures while eating and remain adequately nourished and hydrated in order to access educational programs and participate fully. It is DCPS' position that the focus should be to maintain a student's current diet prescribed by a physician's order, which has been determined to be the safest and meets that student's nutritional and hydration needs.

According to ASHA's Guidelines for Speech-Language Pathologist providing swallowing and feeding services in schools (2007) addressing swallowing and feeding disorders is educationally relevant and part of the school system's responsibility for the following reasons:

- Students must be safe while eating in school. This includes providing appropriate personnel, food, and procedures to minimize risks of choking and for aspiration during oral feedings
- Students must be adequately nourished and hydrated so that they can attend to and fully access the school curriculum
- Students must be healthy (e.g. free from aspiration pneumonia or other illnesses related to malnutrition or dehydration) to maximize their attention at school.
- Students must develop skills for eating efficiently during meals and snack times so that they can complete these activities with their peers safely and in a timely manner.

Typically dysphagia is an accompanying disorder related to neurological and/or structural issues that impeded normal swallowing functions. Swallowing and feeding disorders are characterized by difficulty tolerating food and liquid, managing saliva, and taking oral medications which may be exemplified by choking and aspiration, oral-motor and sensory impairments, inappropriate behaviors during eating activities, refusal to eat, and restricted variety of accepted food and liquid. Students with swallowing and feeding disorders may present with difficulty affecting motor planning, postural and oral-pharyngeal motor abilities, sensory processing, respiration and digestion.

To facilitate consistency in service delivery, DCPS has developed Feeding and Swallowing Guidelines. DCPS's position is to ensure that the student is able to maintain adequate nutrition and hydration so that the student can access the educational curriculum. Due to the medical complexity of dysphagia, the possibility of silent aspiration, and the risk of potential harm to the student, parents requesting diet modifications, including oral trials will be referred to a medically based Speech-Language Pathologist or Swallowing/Feeding Clinic. **The school based SLP is not responsible for implementing oral trials, or changing diet consistencies or textures (i.e., mechanically ground/chopped, puree, nectar thick liquids, honey thickened liquids, etc...) this can only be done by a medically based Speech-Language Pathologist or Swallowing/Feeding Clinic under the orders of a physician.**

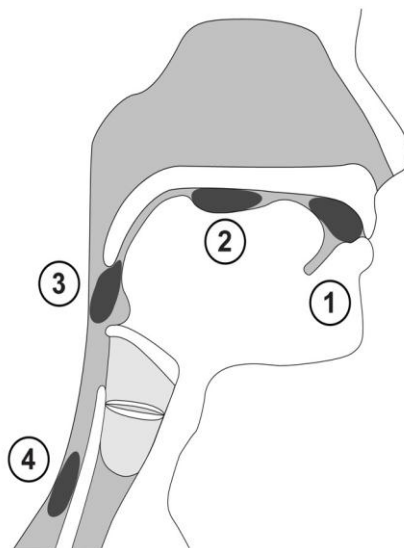
Part B of IDEA, concerning children from 3 through 21 years of age, describes disabilities that are governed by provision of the act and its accompanying regulations. A feeding and swallowing disorder is not of the disability categories listed in IDEA; however, such a disorder may coexist in children who are identified as having one or more of the listed disabilities, including autism, developmental delay, intellectual disability, multiple disabilities, orthopedic or other health impairments, and traumatic brain injury. Because a feeding or swallowing disorder is not a primary disability, feeding and swallowing services are included under related services when they are needed to support a child's special education instruction. In the case where a child may require modification of their diet (chopped, ground and/or

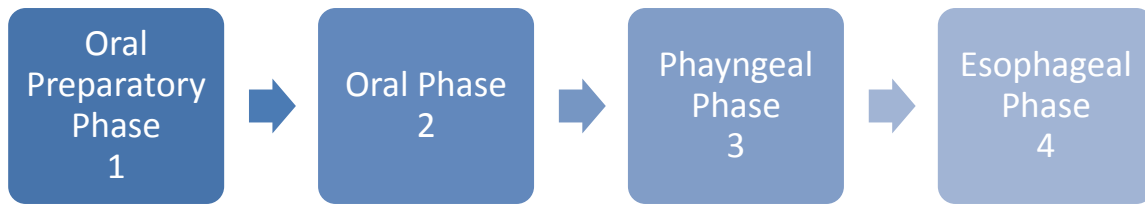
puree food) or liquids (nectar-thick, honey-thick and/or pudding-thick) the child may require Assistive Technology via supplementary aids and services (i.e., adapted eating utensils, blender, thickeners, etc...). IDEA defines supplementary aids and services as 'aids, services, and other supports that are provided in regular education classes or other education-related settings to enable children with disabilities to be educated with nondisabled children to the maximum extent appropriate [IDEA Sec. 601]. These could include both direct (e.g., specific skill instruction) and indirect (e.g., monitoring by a paraprofessional) feeding and swallowing services and special equipment necessary to support dietary modifications, as well as access to food items. (CT State Department of Education)

504 Plans generally include accommodations necessary to facilitate access to school programs. For children with feeding and swallowing handicaps, these accommodations are likely to take the form of modified food, utensils and physical arrangements for feeding or eating. A child who is initially served under Section 504 may require referral to special education later on; conversely a child exiting special education may become eligible for services under Section 504. (CT State Department of Education)

#### **4 Stages of the Swallow**

The swallow is divided into 4 phases which encompass specific actions with the manipulation and transition of the food bolus: oral preparatory, oral, pharyngeal, and esophageal (Arvedson and Brodsky, 2002; Logemann, 1998; Swigert, 1998)





<b>Oral Preparatory Phase</b>	Food is introduced into the mouth (requiring adequate lip closure) where it is manipulated by the tongue, teeth and cheeks to break down the food and organize it into a bolus.
<b>Oral Phase</b>	Begins when the bolus enters the oral cavity and is mixed with saliva during mastication to allow formation of a cohesive bolus. The tongue lifts the bolus toward the hard palate, and moves it to the back of the mouth with a wave-like (peristaltic) squeeze for propelling the bolus into the pharynx.
<b>Pharyngeal Phase</b>	The second stage of the swallow is entered as the bolus is propelled toward the oropharynx, where the swallow reflex is triggered. Soft palate elevation during this stage prevents foods and liquids from entering the nasopharynx. The hyoid bone and larynx are pulled upward and anteriorly and the vocal folds midline. The epiglottis, a cartilage flap, closes, thereby protecting food from entering the airway. The posterior tongue base propels the food through the pharynx with the assistance of the peristaltic wave contraction of the posterior pharyngeal wall.
<b>Esophageal Phase</b>	As the food is passed through the pharynx to the esophagus, the upper esophageal sphincter relaxes, allowing the food to pass through into the esophagus. Peristaltic wave contractions continue to propel the food toward the stomach. The lower esophageal sphincter, located at the juncture of the esophagus and stomach, opens to allow entry of the food into the stomach. This sphincter then closes, preventing reflux. Esophageal conditions affecting swallowing may include gastroesophageal reflux, achalasia, and esophageal strictures, among many others.

### **Signs and Symptoms of Dysphagia**

Recognizing signs and symptoms of dysphagia is critical for identifying children with evaluation and intervention needs in this area. The following signs and symptoms of swallowing disorders are among the most common (sources include Logemann in Homer et al., 200; Newman, 2000; and Nicolosi et al., 2004):

- Poor upper body control or posture
- Unusual head or neck posture
- Frequent refusal to eat or drink
- Irritability or behavioral problems during eating
- Difficulty placing or keeping food in the mouth (anterior spillage)
- Oral hypersensitivity or hyposensitivity

- Difficulty controlling saliva in the mouth or significant drooling, especially after eating
- Difficulty controlling food in the mouth or excessive mouth movement during chewing and swallowing
- Difficulty starting to swallow
- Needing to swallow a few times to get food down
- Repeated drinking while or immediately after chewing or swallowing
- Food left on tongue (residuals) or pocketed on the side of the mouth after swallowing
- Coughing before/during/after eating or drinking
- Frequent gagging or spitting or vomiting during or after eating or drinking
- Watery eyes (tearing) during or after eating or drinking
- Extended feeding periods (longer than 30-40 minutes)
- Frequent bouts of pneumonia or other chronic respiratory problems
- Gurgly voice quality or breath sounds, especially after eating or drinking

<b><i>Oral Phase Dysphagia Symptoms</i></b>	<b><i>Pharyngeal Phase Dysphagia Symptoms</i></b>
Difficulty with bolus management	Coughing/choking while eating
Inability to manage oral secretions (drooling)	Wet vocal quality
Food residue along the tongue/palate or food retained in cheeks after swallowing	History of frequent upper respiratory tract infections or pneumonia
Difficulty chewing food	Complaints of food “sticking” in throat
Loss of food from mouth while eating	Spiking high-grade temperature or consistently running a low-grade temperature
	Increased respirations with oral intake
	Throat clearing during meals
	Pain during the swallow
	Leakage of liquids through the nose while eating
	Repetitive Swallows

### **Texture Modifications to Foods and Liquids**

“When the oral phase is characterized by incoordination and delay, the child’s potential for aspiration and choking is greater with thin liquids than with thickened liquids and thick semisolid foods. The thicker textures provide greater sensory information and do not tend to fall back in the oral cavity as quickly as thinner textures... In contrast... children with reduced pharyngeal motility and persistent residue after a swallow are most likely to aspirate on paste-consistency foods, because these firmer, sticky food are harder to clear from the pharynx with subsequent swallows. Children also may experience considerable irritation and discomfort, which can lead to food refusal and behavioral problems related to feeding”

(Arvedson and Brodsky, 2002, pp 443-444). To avoid the potential dangers associated with feeding children with inappropriate textured food, clear communication among all parties regarding diet terminology and manner of preparation is essential. For safety reasons, clear descriptors of texture and multiple examples of allowable food choices must be insisted upon and this information must be clearly communicated to those responsible for food preparation and feeding. Food texture should not be changed arbitrarily. SLPs in the schools cannot modify food textures. **Food textures and consistencies can only be changed via a physician's orders and/or following the results of the most current findings on a swallow study (MBS).**

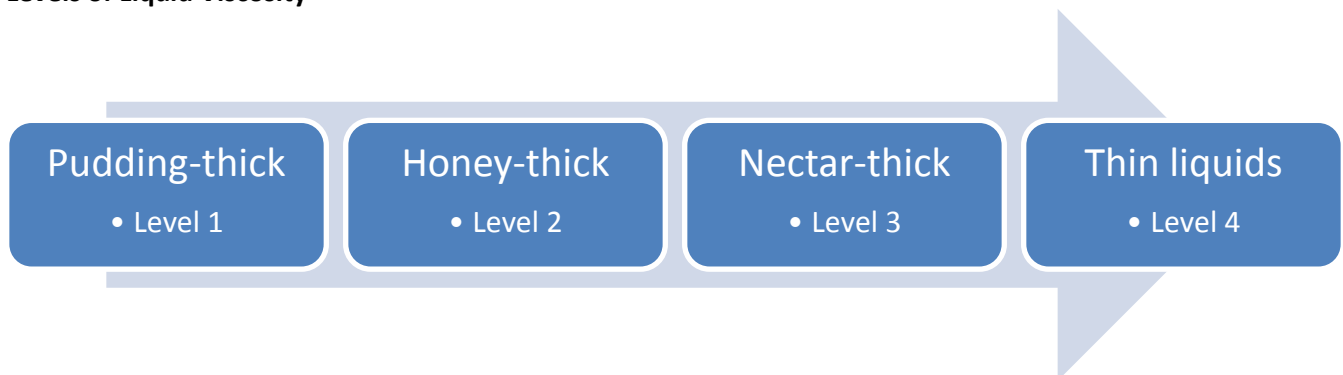
Among the children for whom texture/consistency modifications are indicated are those who:

- Have poor muscle control and have trouble chewing, forming a bolus or keeping food in their mouths;
- Are aspirating on thin consistencies;
- Are born with oral structure that have irregular sizes and shapes or deformities;
- Cannot open their mouths wide enough to eat because of joint problems, arthritis, or injury;
- Are transitioning from non-oral to oral feeding and therefore lack oral-motor experience with normal food consistency and have possible emotional resistance to the entry of food in to the oral cavity. (Connecticut State Department of Education)

#### Food Levels/Consistencies



#### Levels of Liquid Viscosity



## **Feeding and Swallowing Levels**

### ***Non-Oral Feeding- Nothing By Mouth: MUST HAVE MBS and GI Consult***

In some students, dysphagia is so severe that the student should not or cannot swallow anything. A tube may be surgically inserted directly into the stomach (gastrostomy), through the nose (nasogastric), or through the mouth (orogastric) to provide hydration, feeding, and administration of medication. A qualified individual, usually a nurse and /or trained teacher, can give liquid or semi liquid foods directly through the tube. The physician prescribes the amount of food.

These students must have a Modified Barium Swallow study on file with the school nurse. The results of the study indicated a pharyngeal phased dysphagia even with modified consistency to puree and thicken liquids. Student is at great risk of aspiration and his/her nutrition/hydration is maintained via g-tube feeding. These students will NOT receive feeding/swallowing services via DCPS. These students should be referred for medically based speech-language therapy services to address the student's dysphagia. The student is maintaining adequate nutrition and hydration via non-oral means; therefore, he/she is able to access the educational program and participate fully.

### ***Modified Consistencies- Oral Feeders: MUST HAVE MBS/GI Consult***

The aspiration risk can be reduced for some students by altering the consistency or texture of the food, which is determined by the medical-based SLP in collaboration with the student's physician. These students may be receiving supplemental non-oral feedings to maintain nutrition/hydration and weight gain, such as a PEG or G-tube. The results of the MBS indicate a pharyngeal phase dysphagia requiring a modified consistency such as pureed or thickened liquids which results in reducing aspiration risks and increasing swallowing. These students will not receive feeding/swallowing services via DCPS that address the modification of diet consistencies or oral trials to upgrade diet consistencies. These students must be at a school with fulltime nursing staff. However they can receive speech and language therapy that address oral motor exercises and non-nutritive stimulation to assist with the strengthening and/or coordination of the oral motor structures necessary for swallowing/feeding (i.e., using chewy tube to increase strength and/or assist with the rotary chew, lip closure and strengthening exercises to decrease drooling and anterior spillage, etc...). The students will need a feeding plan at their school, which includes a physician's order for a modified consistency and current Modified Barium Swallow Study. Parents can provide the physician's order or provide consent to the school SLP to obtain a physician's order regarding the modified consistency to the school. The speech-language pathologist acts a liaison between the school nurse and classroom teacher to ensure implementation of the physician's order. These students should have a feeding plan that includes: aspiration precautions, compensatory strategies (if required), MBS study and results from recent GI consult; emergency plan, seating and positioning needs, any feeding (sensory) prep needs, and any special equipment needed.

### ***Oral Phase Dysphagia / Food Aversion:***

These students may or may not have a Modified Barium Swallow study. The results indicate a normal pharyngeal phase with normal consistencies and thin liquids. All of the student's nutrition and hydration needs are met via oral feedings. These students have difficulty with anterior-posterior propulsion, bolus formation and clearing the oral cavity. These issues should be addressed in their feeding plan. These students' feeding plans should include: aspiration precautions, compensatory

strategies (if required), MBS study (if available); choking protocol, seating and positioning needs, any feeding (sensory) prep needs, and any special equipment needed.

### **School-based Swallowing and Feeding Team**

The school-based swallowing and feeding team consist of members who service in the school system, as well as medical practitioners outside the schools. The school-based team consists of core members who are primarily responsible for decisions regarding dysphagia. The core team typically consists of the following:

- Speech-Language Pathologist (who often services as the dysphagia case manager)
- Parent/Guardian
- Nurse
- Classroom Teacher
- Occupational Therapist (OT)
- Physical Therapist (PT)
- School Administrator

It may also include the following team members

- School Psychologist
- Social Worker
- Cafeteria Personnel

Medical professionals outside the school system may include the following:

- Physicians (e.g., pediatrician, gastroenterologist, neurologist, ENT, radiologist, etc...)
- Speech-Language Pathologist
- Nurse
- Dietitian/Nutritionist
- Psychologist
- Occupational Therapist
- Physical Therapist



The following represents the process to be followed with developing and implementing swallowing/feeding plans and interventions for students to ensure that proper medical information is provided, which will be necessary for training the educational staff regarding safe swallowing to reduce/prevent signs and symptoms of aspiration.



1. Designated team member contact family. This may include the nurse, teacher or speech pathologist.
2. Team provides information about the purpose of swallow study for the student (to determine the least restrictive diet in order to increase safety when swallowing and to decrease the risks of signs/symptoms associated with aspiration)
3. Parent contacts physician for a prescription for a swallow study and make appointment. If the parent encounters difficulty following their initiation of the request, then

members of the educational team will provide assistance and/guidance on how to obtain the necessary information (Medical Based Resources are included within this guidance to refer parents). However, parents must make the team aware of the barriers encountered, in order for the team to provide the appropriate type of assistance and guidance.

4. Parent informs school of pending appointment.
5. Parent provides copy of swallow study results / report
6. Team reviews swallow student results and recommendations
7. Team meets with family to discuss
8. Develop a feeding and swallowing plan if needed. Please see Appendix for plan.

### **Adapted Devices/Equipment for Feeding and Swallowing**

This role is to be primarily addressed by the Occupational Therapist (OT) and Assistive Technology provider

- Does the student require a blender (to puree or chop food per the doctor's order) and/or thickener (to thicken the consistency of liquids per the doctor's order)?
  - If yes, the school is responsible for providing these items. It should be indicated in the AT section of the IEP and/or notated within the 504 Plan. This information should also be incorporated in the Swallowing/Feeding Plan
- Does the student require adapted eating utensils to address intake of food, increase independence for feeding and/or to assist with facilitating feeding?
  - If yes, the type of equipment needed should be indicated in the AT section of the IEP and/or notated within the 504 Plan. This information should also be incorporated in the Swallowing/Feeding Plan
- Does the student demonstrate postural concerns, which may require adaptation to the seating/wheelchair (to maintain proper positioning and body control during feeding to increase safety and reduce risks of aspiration)?
  - If yes, identify the type of seating modifications needed, which may/may not need to be indicated in the AT section of the IEP and/or notate within the 504 Plan. This information should also be incorporated in the Swallowing/Feeding Plan

### **The School-based Speech-Language Pathologist's Role and Responsibilities**

- Develop a Feeding Plan (see appendix) to provide to educational staff
- Provide training to the educational staff (i.e., teacher, paraprofessional, etc...) regarding safe feeding and posturing.
- Fax Feeding Plan into SEDS under the miscellaneous coversheet labeled "Feeding Plan"
- Conduct periodic monitoring of education staff feeding student to ensure safe feeding and posturing is being maintained
- Contact treating physician to obtain a medical order indicating diet consistency and/or modifications
  - Fax medical order into SEDS using a miscellaneous coversheet, labeled as "Doctor's Order <date>"

- Contact/Communicate with medical-based SLP regarding report and results from the most recent swallowing study (MBS, FEES, etc...)
  - Most recent swallow studies must be faxed into SEDS using a miscellaneous coversheet entitled “Swallow Study Result <date>”
- Document training of educational staff regarding the feeding plan and how it should be implemented by the educational team.
  - Use the Staff Development Verification Form and fax into SEDS using a miscellaneous coversheet entitled “Feeding/Swallowing Plan Training Attendance Sheet” (This form can be located on the Educator Portal in the Related Services Section under the IMPACT heading).
- Maintain indirect service/consultation logs in SEDS regarding training, monitoring, communication with medical or outside resources within the service tracker notes and/or the Communication Log
- Participate in 504 Plan or IEP meeting, if applicable

### **Components of the Feeding Plan**

Safe feeding requires consideration of many factors that precede, occur during and follow the actual act of feeding and swallowing. The following components should be addressed:

- Environment in which the child is to be fed;
- Positioning during feeding;
- Equipment for food preparation and feeding (in collaboration with the AT team and/or cafeteria staff)
- Diet content (including food and liquids), quality and texture as prescribed by doctor’s order
- Feeding techniques
- Precautions, including emergency procedures as discussed and develop with student’s nurse
- Training plans for personnel implementing the plan, including verification that it has taken place as scheduled (per the 504 Plan or IEP)
- Monitoring safety, progress and effectiveness of the plan and revising it accordingly;
- Process for communicating with families and the child’s medical team

### **Direct Therapy Strategies for Feeding and Swallowing**

There are strategies that are designed to directly affect swallow function through the student’s practicing “active exercise” (Logemann, 2000, p. 52). Therefore, if it is determined that the student may require oral motor exercises to increase coordination and strengthening of oral musculature to assist with swallowing, the provide may utilize clinical judgment regarding if the student could benefit from goals to address this skill within the 504 Plan or IEP. It should be reiterate that all oral motor exercises are non-nutritive.

- **Oral Motor Exercises**

Exercises to increase the range of motion, strength, or endurance of the muscles related to feeding and swallowing have frequently been included in feeding and swallowing intervention. Their purpose has been to improve bolus preparation and control for efficient passage into the pharynx and to protect the airway from aspiration. The major focus of these exercises has been increasing tongue tip elevation, improving jaw control, decreasing tongue thrust and developing lip closure. (Klein and Delaney, 1998; Swigert, 1998; Evans Morris and Dunn Klein, 2000)

- Swallowing Maneuvers

These strategies “are taught to the student to change the timing or strength of selected movements during the oropharyngeal swallow. These require direction-following skills (comprehension skills in order to learn and retain), but may be taught to students as a game (Logemann, 2000, p. 53). Below outlines the four swallowing maneuvers described by Logemann (2000)

- Supraglottic swallow (closes the true vocal folds before and during swallow)
- Super-supraglottic swallow (Close entrance to airway at the level of the false vocal fold)
- Mendelsohn maneuver (change laryngeal motion an cricopharyngeal opening)
- Effortful swallow (improve pressure generated by tongue an base of tongue to help clear bolus)

If you have questions or concerns regarding swallowing concerns for a student on your caseload, please seek out assistance from your Program Manager to provide you guidance and support. There are several feeding programs within the metro area that address feeding aversion and modification of diet consistencies that parents can access if concerns arise:

## **Medical Based Resources**

Kennedy Krieger Institute  
Feeding Disorders Clinic  
707 North Broadway  
Baltimore, MD 21205  
888-554-2080  
[www.kennedykrieger.org](http://www.kennedykrieger.org)

Mt. Washington Pediatric Hospital  
Feeding Program  
1708 West Rogers Ave  
Baltimore, MD 21209  
410-578-8600  
[www.mwph.org](http://www.mwph.org)

Children’s National Medical Center  
Feeding Disorders Clinic  
111 Michigan Ave, NW  
Washington, DC 20010  
202-476-3032  
[www.studentrensnational.org](http://www.studentrensnational.org)

## **Key Terms**

**Aspiration Pneumonia** - a lung infection caused by pulmonary aspiration

**Pulmonary Aspiration** - the entry of secretions or foreign material into the trachea and lungs

**Modified Barium Swallow Study** - using a swallowed contrast material which can be seen using X-rays, the physician is able to see all structures involved in swallowing (from the oral cavity to the esophagus) on a video screen while the test is taking place. Used to evaluate the swallowing process for people who are having problems speaking or swallowing food without aspirating it into the windpipe (a variation of the upper gastrointestinal series).

**Anterior posterior propulsion** – manipulation of the tongue and oral structures to propel food from the front to back of oral cavity

**Bolus formation** - During mastication and swallowing, food is cut into smaller pieces and softened by saliva and becomes bolus, then swallowed.

## **References**

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Arvedson, J.C. & Brodsky L. (2002). *Pediatric Swallowing and Feeding: Assessment and Management* (2<sup>nd</sup> ed). Albany: Singular Publishing Group/Thompson Learning, Inc.

Connecticut State Department of Education (2008). *Guideline for feeding and Swallowing Programs in Schools*

Logemann, J.A. (1998) *evaluation and Treatment of Swallowing Disorders* (2<sup>nd</sup> ed). Austin: Pro-Ed, Inc.

Logemann, J.A. (2000). "Therapy for Children with Swallowing Disorders in the Educational Setting." *Language, Speech, and Hearing Services in Schools*, 31: (1), 50-55

## Feeding and Swallowing Plan Form

Date \_\_\_\_\_

Student \_\_\_\_\_ Teacher \_\_\_\_\_

Allergies \_\_\_\_\_

### Equipment

Dish \_\_\_\_\_ Utensil \_\_\_\_\_  
Cup \_\_\_\_\_ Straw \_\_\_\_\_

### Need for help? Circle one

Independent                      Assisted                      Dependent  
Explain \_\_\_\_\_  
\_\_\_\_\_

### Consistency. Circle.

Solid Food:	Pureed	Ground	Chopped	Mashed	Bite Size _____
Liquids:	No liquids	Thin liquids	Thickened liquids (Circle consistency)		
Consistency:	Nectar	Honey	Pudding		

### Tube Fed

Fed Rate \_\_\_\_\_ Flush Rate \_\_\_\_\_

Circle one below.

Tube fed / nothing orally	Tube and oral fed	Amount fed orally _____
Pleasure feeding      Yes	or      No	Amount _____

### Procedures

Amount of food per bite \_\_\_\_\_

Food placement \_\_\_\_\_

Wait time \_\_\_\_\_

Behavior Techniques \_\_\_\_\_

Student's communication or signals during feeding \_\_\_\_\_

\_\_\_\_\_ Keep in upright position \_\_\_\_\_ minutes after meal

\_\_\_\_\_ Encourage student to cough to clear throat

\_\_\_\_\_ Offer a drink after \_\_\_\_\_ bites

Comments \_\_\_\_\_

\_\_\_\_\_

### Positioning

Sitting Posture \_\_\_\_\_

Chair / seating device \_\_\_\_\_

Head position / support \_\_\_\_\_

Trunk control / support \_\_\_\_\_

Other \_\_\_\_\_



DISTRICT OF COLUMBIA  
PUBLIC SCHOOLS

Office of Specialized Instruction

Updated August 2014

# Missed Related Service Sessions, Truancy and Due Diligence Guidelines

Version 3.0

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## Purpose

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The purpose of this document is to provide guidance to related service providers (RSPs) about required actions in the event of a missed service. The purpose is also to clarify our roles and obligations relating to when a missed related service session must be made up, how to document missed, make-up, and attempted make-up service sessions, and timelines by which the sessions are to be made up.

DCPS is federally mandated to adhere to the IEP prescription, which may include related services. As such, it must be the goal of each RSP to provide consistent services designed to help students function more independently in areas impacted by their disability. Related service providers are also responsible for completing service documentation and actions to ensure student access to needed services. In the instances where the delivery of a service is impeded, guidelines have been developed to assist the RSP with completing service documentation that reflects due diligence.

Truancy is an agency-wide problem in DC Public Schools. Truancy is the unexcused absence from school by a minor (5-17 years of age), either with or without parental knowledge, approval, or consent. Since regular school attendance is critical to academic success, chronic truancy must be addressed. Absences impact the number of instructional hours that a student receives and may result in failing grades, disengagement from the school environment, and ultimately, increase the likelihood of students dropping out of school.

During the 2011-2012 school year special education students made up 18% of the overall truancy rate. In an effort to address our troubling graduation rate and truancy problem, DCPS raised awareness about this issue across the agency and increased staffing support. These supports help but they are not enough to meet the enormous challenge of reducing truancy rates as aggressively as the need requires.

Truant students often miss related services. Related service providers are in a unique position to assist with increasing attendance and reducing truancy for special education students. This guidance will incorporate truancy into due diligence activity and RSPs will utilize the guidance outlined in this document to support truancy prevention. Every RSP discipline will follow this guidance for the students on their individual caseloads. The guidelines provide all of the information necessary to monitor student engagement with services, engage parents in problem solving, and adhere to district reporting requirements for student attendance.

### Missed Related Service Session Scenarios and Due Diligence

**Provider Unavailable:** Provider not available for scheduled service session(s) (e.g., sick leave, annual leave, attending an IEP meeting).

When a service session(s) is missed due to the provider being unavailable to deliver the service, DCPS has the following two options:

3. The RSP must schedule a make-up service session for the missed service session(s) during the quarter in which the missed session occurs. If the missed session(s) occurs during the last week of the quarter it must be made up within the first week of the following quarter. This is to ensure that all relevant information will be provided in the quarterly progress report. In most cases, this is the option that should be utilized. If the RSP cannot make up the session(s), he/she must notify the program manager via email;
4. When the RSP absolutely cannot make up the session(s), and notifies the program manager, the program manager must then assign a substitute provider to make up the missed service session(s) during the quarter in which it occurs or develop an alternative option with the RSP and LEA. If the missed session(s) occurs during the last week of the quarter it must be made up within the first week of the following quarter.

**Student Unavailable:** Student in school, but not able to attend session.

### **Student Attendance at School-Related Activities (e.g., field trip, assemblies)**

If a service session(s) is missed because of student attendance at a school-related activity the RSP must:

- Document the missed service session (see *Procedures for Documentation*);
- Consider and document the impact of the missed session on the child's progress and performance and determine next steps to ensure the provision of FAPE. One goal is to ensure the students have the opportunity to participate in activities with their non-disabled peers; and
- If the missed session due to the student's unavailability caused a negative impact on the student's performance, the missed session must be made up.

The goal of DCPS is to ensure that related services are delivered despite the reason for the missed sessions. Therefore, the IEP team should consider alternative service delivery options or a change in services when the missed sessions are significantly impacting service implementation and the student's progress. Examples of alternative service delivery options include: service delivery in the classroom, a consultation delivery model or transition out of the current service type and replacement with different services (e.g. exit from speech / language services and increase research – based reading intervention).

*Does not include inclusionary delivery of services during the school activity as a delivered session (e.g., RSP attends assembly with student as part of his/her service session).*

**Student Refuses to Participate or Attend** (e.g., verbal refusal, student is unable to be located)

1. When a student misses 3 service sessions because the **student refuses to participate or attend** the RSP must:

- Document each missed service session (see *Procedures for Documentation*); and
- Notify the LEA or case manager via email within 24 hours of the last missed service session. This notification prompts an IEP meeting. The LEA or case manager must convene the IEP meeting within 15 school days of the 3<sup>rd</sup> missed service session to consider the impact of the missed session on the student's progress and performance, and determine how to ensure the continued provision of a free appropriate public education (FAPE). Student attendance records should be reviewed at the meeting when making the determination.

The parent/guardian and DCPS can agree in writing that the attendance of certain IEP Team members is not necessary for this meeting depending on the member's area of curriculum or related services; allowing a partial team to meet to address this particular situation. **However, the RSP for the service session in question must be in attendance.** If the parent/guardian cannot physically attend the IEP meeting an alternative means of participation may be used (e.g., individual or conference telephone calls). The parent's/guardian's signature must be obtained on the IEP and/or the Prior Written Notice (PWN) before the delivery of services. The LEA or case manager is responsible for obtaining the parent's/guardian's signature on the amended IEP within 5 days of a telephone conference.

While there is not a requirement to make up missed service sessions due to student absence or refusal the goal of DCPS is to ensure that related services are delivered despite the reason for the missed sessions. Therefore, the IEP team should consider alternative service delivery options or a change in services when student absence or refusal is significantly impacting service implementation as outlined above. Examples of alternative service delivery options include: service delivery in the classroom, a consultation delivery model, or transition out of the current service type and replacement with different services (e.g., exit from speech/language services and increase research-based reading intervention).

2. When a student misses 5 service sessions because the **student refuses to participate or attend** the RSP must:

- Document each missed service session (see *Procedures for Documentation*); and
- Notify the LEA or case manager via email within 24 hours of the last missed service session. This notification prompts an IEP meeting. The LEA or case manager must convene the IEP meeting within 15 school days of the 5<sup>th</sup> missed service session to consider the impact of the missed session on the student's progress and performance, and determine how to ensure the continued provision of FAPE. Student attendance records should be reviewed at the meeting when making the determination.

The parent/guardian and DCPS can agree in writing that the attendance of certain IEP Team members is not necessary for this meeting depending on the member's area of curriculum or related services; allowing a partial team to meet to address this particular situation. **However, the RSP for the service session in question must be in attendance.** If the parent/guardian cannot physically attend the IEP meeting an alternative means of participation may be used (e.g., individual or conference telephone calls). The parent's/guardian's signature must be obtained on the IEP and/or the PWN before the delivery of services. The LEA or case manager is responsible for obtaining the parent's/guardian's signature on the amended IEP within 5 days of a telephone conference

Again, while it is not a requirement to make up missed service sessions due to student absence or refusal to participate or attend the goal of DCPS is to ensure that related services are delivered despite the reason for the missed service session(s). Therefore, the IEP team should consider alternative service delivery options or a change in services when student absence or refusal is significantly impacting service implementation as outlined above. Examples of alternative service delivery options include: service delivery in the classroom, a consultation delivery model, or transition out of the current service type and replacement with different services (e.g., exit from speech/language services and increase research-based reading intervention).

**Student Withdrawn from STARS but showing in SEDS:** Student withdrawn from STARS but showing on SEDS caseload

The school registrar has completed the steps to withdraw a student from STARS but the student is still showing in SEDS. The RSP must:

- Document the missed service session (see *Procedures for Documentation*);
- Document as "student unavailable"
- The service tracker note must provide:
  - Date student was withdrawn in STARS
  - Reason for withdrawal (noted in STARS)
  - Attending school if known
- Continue to document the missed services until the student is no longer showing in SEDS

**Multiple Student Absences/Tuancy:** Student absent from school and scheduled service sessions

Tuancy is the unexcused absence from school by a minor (5-17 years of age) with or without approval, parental knowledge, or consent.

**The District of Columbia Compulsory School Attendance Law 8-247 and DC Municipal Regulations Title V Ch. 21** govern mandatory school attendance and the ways schools must respond when students are truant. The Compulsory School Attendance Law states that parents/guardians who fail to have their children attend school are subject to the following:

- Truancy charges may be filed against the student or parent;
- Neglect charges may be filed against the parent;
- Parents may be fined or jailed;

- School-aged students may be picked up by law enforcement officers during school hours for suspected truancy;
- Students may be referred to Court Diversion and other community based interventions; and
- Parents and students may be assigned community service and placed under court supervision/probation.

When a student misses a related services session because of an **excused or unexcused student absence** the RSP must:

- Speak with the teacher and Attendance Counselor / Attendance Designee to determine reason for the student's absence;
- Check STARS if teacher is unable to provide information regarding the student's absence;
- Contact the student's parent, make a home phone call (***if the absence is excused, there is no need to contact the student's parent***); and
- Document the contact with the student's guardian in the SEDS Communication Log
- Document each missed session in service tracker note in SEDS (see example below);
  - "Attempted to provide (state related service), however (name of student) is absent per report of classroom teacher (name teacher). Per STARS the student's absence is excused/unexcused."
  - You may also add information received following phone call with parent/guardian. For example "Per telephone conversation with parent (name the parent/guardian), (student's name) is absent from local school because (state the provided excuse)".
- Notify the LEA or case manager via email within 24 hours of the missed service session.

When a student misses five (5) related service sessions because of **unexcused student absences** the RSP must:

- Contact the student's parent or guardian by making a home phone call;
- Inform the teacher, attendance counselor, and/or attendance POC to determine what staff has already done to address attendance concerns;
- Inform the LEA/Case Manager of the absences and attempts to contact the student's parent or guardian;
- Document the attempts to service the student and contact the student's guardian in the SEDS Communication Log **and** in the service tracker log.

Per DCPS' Attendance Intervention Protocol, after five unexcused absences:

- The Attendance Counselor / Attendance Designee will mail an Unexcused Absences STARS letter to the student's home requesting an attendance conference
- Student is referred to the Student Support Team (SST)

- Student, parent or guardian and appropriate school officials develop Student Attendance Support Plan to connect the family to in-school or community resources and city agencies, and to make recommendations for next steps
- Follow up within 10-days to track student's progress on next steps identified in attendance conference. Follow up with programs/resources identified for support during attendance conference to determine if student/family is participating.
- Home visit must be conducted, if parent is not responsive to meeting request.

The Attendance Counselor, Attendance Designee or SST chair will request RSP attendance in the SST meeting. RSPs should be prepared to contribute to the development of the Student Attendance Support Plan.

A decision to reduce or remove a related service from a student's IEP due to truancy should not be made without consideration from the MDT to determine whether the student's non-attendance of service sessions is a manifestation of his/her disability.

Refer to the **DCPS Attendance Intervention Protocol** for the detailed protocol.

**School Closure:** School closed for holiday or emergency. When school is not in session due to a scheduled holiday, delayed opening, or complete closure due to poor weather the missed service session(s) there is no requirement for makeup.

### **Summary:**

The RSP is not required to make up missed service sessions under the following circumstances:

- Student absence (excused or unexcused);
- Student refusal to participate or attend; or
- School closed for holiday or emergency.

However, DCPS has an obligation to ensure appropriate services are delivered. Therefore, the IEP team may consider alternative service delivery options or a change in services based on the student's availability for the particular service type and delivery modality. Examples of this include: service delivery in the classroom, a consultation delivery model, transition out of the current service type and replacement with different services (e.g., exit from speech/language services and increase research-based reading intervention).

## **Procedures for Documentation**

### **Missed Service Sessions**

The RSP must log all missed service sessions in the SEDS *Service Logging Wizard* indicating:

- Date of missed service session;
- Service type (e.g., student absent, student unavailable, provider unavailable, school closure);
- Duration of service scheduled (Service duration must be documented even if a student is absent; if the student receives only partial service, document the altered duration.);
- Group size;
- “Progress Report” (e.g., just introduced, mastered, no progress, not introduced, progressing, regressing); and
- “Comments” box
  - Document why the service session was missed (e.g., student unavailable, student absent, provider unavailable, school closure); and
  - List action taken to ensure service delivery (e.g., contacted the parent/guardian, talked with the teacher, contacted the student).
  - If student absence is the reason for the missed session, provide the impact of the missed session on the child’s progress and performance. If the missed session has impacted the student’s performance, indicate services will be made up and the make up plan dates. If the missed session has not impacted the student’s performance, please indicate and provide supporting data.

### **Make-Up Service Sessions**

The RSP must log all make-up service sessions in the *SEDS Service Logging Wizard* indicating:

- Date and time of service provided;
- Service type (e.g., student absent, student unavailable, provider unavailable, school closure);
- Duration of the service provided;
- Group size;
- “Progress Report” (e.g., just introduced, mastered, no progress, not introduced, progressing, regressing); and
- “Comments” box
  - “MAKE UP SERVICE SESSION for Missed Session on XX/XX/XXXX.” In addition, record progress note standards for service sessions delivered; and
  - List action taken to ensure service delivery (e.g., notified the parent/guardian of the make-up service session dates(s)).

### **Make-Up Service Session Attempts**

The RSP is required to attempt to make up a service session three times. Any failed attempt prior to the third scheduled make-up session should be logged in the SEDS communication log. The log should include:

- Attempted date and time of service session; and
- Which attempt it was (e.g., first or last).

Upon the third failed attempt the scheduled missed make-up service session should be logged in the *SEDS Service Logging Wizard* indicating:

- Attempted date and time of service session;
- Service type (e.g., student absent, student unavailable, provider unavailable, school closure);
- Which attempt it was (e.g., third);
- Duration of service attempted (number of minutes or zero minutes);
- Group size;
- “Progress Report” (e.g., just introduced, mastered, no progress, not introduced, progressing, regressing); and
- “Comments” box
  - Add notation “MAKE UP SERVICE SESSION for Missed Session on XX/XX/XXXX.”
  - List action taken to ensure service delivery (e.g., contacted parent/guardian, talked with the teacher, contacted the student).

After three attempts have been made and documented in an effort to make up the missed service session(s), and the District has exercised due diligence, attempts to implement a make-up session for the missed session(s) are discontinued.


PLEASE NOTE: When all three attempts to make up a missed service session do not occur only the third make-up attempt should be logged in the *SEDS Service Logging Wizard*. The date of the missed session indicated in the “comments” box (e.g., XX/XX/XXXX) must reflect the date of the regularly scheduled missed service session for which the make-up service was covering. The previous failed attempts must be documented in the contact log (e.g., spoke with the teacher when the student was absent to schedule the make-up session, called parent/guardian) as outlined above.



# **DC Public Schools Attendance Intervention Protocol Updated July 2013**

## DC Public Schools Attendance Intervention Protocol


**~~\*\*Connect/Ed calls to absent students occurs daily ONLY when absence is recorded the same day as absence\*\*~~**

# OF ABSENCES	SCHOOL ACTION !	DISTRICT ACTION !	LEGAL ACTION
<b>1 &amp; 2 (Total)</b>	a. Teacher!calls!home!! <i>Teachers should inform Attendance Counselor (AC)/ Designee (AD) of any contact attempted/made with parent and on non-working phone numbers.</i>	a. <b><del>**Connect/Ed calls to absent students (occurs daily)**</del></b> !	
<b>3 (Unexcused)</b>	a. AC/AD!mails! <b>3-Day Unexcused Absences!</b> Attendance!Notice!STARS!letter!and!mails!to!student's!home!( <b>elementary and middle school and educational center students only</b> )!.!	a. Connect!Ed!call!from!Chancellor!	
<b>5 (Total)</b> !	a. AC/AD!mails! <b>5-Day Total Absences!</b> Attendance!Notice!STARS!letter!and!mails!to!student's!home!! <i>AC/AD submits!5!day!letter!to!nurse!to:!</i> <i>➤Check!for!the!Universal!Health!Form!</i> <i>➤Contact!family!</i> <i>➤Develop!individual!Health!Plan!for!students!(i.e.!Asthma!Action!Plan)!</i>	!	
<b>5 (Unexcused) &amp; MPD Pick-ups</b>	a. AC/AD!mails! <b>5-Day Unexcused Absences!</b> STARS!letter!to!the!student's!home!requesting!an!attendance!conference! b. Student!is!referred!to!the!Student!Support!Team!(SST)! c. Determine!and!document!root!cause!of!absences!and!intervention!in!STARS! <i>Student, parent/guardian and appropriate school officials develop!Student Attendance Support Plan!to!connect!the!family!to!in!school!or!community!resources!and!city!agencies, and!to!make!recommendations!for!next!steps!</i> <b><del>**Follow up within 10 (days)</del></b> to track student's progress on next steps identified in attendance conference. Follow up with programs/resources identified for support during attendance conference to determine if student/family is participating** d. Home!visit!must!be!conducted, if!parent!is!not!responsive!to!meeting!request!	a. OYE!will!monitor!5!day!meeting!compliance!rate!! b. OYE!will!review!root!causes!to!identify!common!themes!in!need!of!system!wide!action.!	
<b>7 (Unexcused)!</b>	a. AC/AD!mails! <b>MPD warning!</b> letter!	!	
<b>10 (Total)</b>	a. AC/AD!mails! <b>10-Day Total Absences!</b> STARS!letter!to!the!student's!home!arranging!an!attendance!conference;!! <i>Student, parent/guardian and appropriate school officials meet to develop or modify Student Attendance Support Plan to connect the family to in-school or community resources and city agencies, and to make recommendations for next steps</i> b. If!parent!is!non!responsive!to!meeting!request,!student!is!referred!to!SST! ! !	!	

# **DC Public Schools Attendance Intervention Protocol Updated July 2013**






DC Public Schools  
Attendance Intervention Protocol

**~~\*\*Connect/Ed calls to absent students occurs daily ONLY when absence is recorded the same day as absence\*\*~~**

# OF ABSENCES	SCHOOL ACTION	DISTRICT ACTION	LEGAL ACTION
1 & 2 (Total)	a. Teacher calls home!! <i>Teachers should inform Attendance Counselor (AC)/ Designee (AD) of any contact attempted/made with parent and on non-working phone numbers.</i>	a. <del>**Connect/Ed calls to absent students (occurs daily)**</del>	
3 (Unexcused)	a. AC/AD mails <b>3-Day Unexcused Absences</b> Attendance Notice STARS letter and mails to student's home (elementary and middle school and educational center students only).	a. Connect/Ed call from Chancellor!	
5 (Total)	a. AC/AD mails <b>5-Day Total Absences</b> Attendance Notice STARS letter and mails to student's home!! <i>AC/AD submits 1 day letter to nurse to:</i> <i>➤ Check for the Universal Health Form!</i> <i>➤ Contact family!</i> <i>➤ Develop individual Health Plan for students (i.e. Asthma Action Plan)!</i>	!	
5 (Unexcused) & MPD Pick-ups	a. AC/AD mails <b>5-Day Unexcused Absences</b> STARS letter to the student's home requesting an attendance conference! b. Student is referred to the Student Support Team (SST)! c. Determine and document <b>root cause</b> of absences and intervention in STARS! <i>Student, parent/guardian and appropriate school officials develop <b>Student Attendance Support Plan</b> to connect the family to in-school or community resources and city agencies, and to make recommendations for next steps!</i> <b><del>**Follow up within 10 (days)</del></b> to track student's progress on next steps identified in attendance conference. Follow up with programs/resources identified for support during attendance conference to determine if student/family is participating** d. Home visit must be conducted, if parent is not responsive to meeting request!	a. OYE will monitor 5 day meeting compliance rate!! b. OYE will review root causes to identify common themes in need of system wide action.	
7 (Unexcused)	a. AC/AD mails <b>MPD warning</b> letter!	!	
10 (Total)	a. AC/AD mails <b>10-Day Total Absences</b> STARS letter to the student's home arranging an attendance conference!! <i>Student, parent/guardian and appropriate school officials meet to develop or modify <b>Student Attendance Support Plan</b> to connect the family to in-school or community resources and city agencies, and to make recommendations for next steps</i> b. If parent is non responsive to meeting request, student is referred to SST! ! !	!	

DC Public Schools  
Attendance Intervention Protocol

**\*\*Connect-Ed calls to absent students occurs daily ONLY when absence is recorded the same day as absence\*\***

# OF ABSENCES	SCHOOL ACTION	DISTRICT ACTION	LEGAL ACTION
10 (Unexcused) Student becomes "chronically truant"	<p><b>Elementary and middle schools and educational centers (ages 5 – 13):</b></p> <p>a. If attendance interventions have been executed and documented in STARS,;/   AC/AD will complete CFSA educational neglect referral form and email to <a href="mailto:CFSA.EdNeglect@dc.gov">CFSA.EdNeglect@dc.gov</a> and include Attendance Specialist on email(/</p> <p>b. Document referral in STARS adhoc field(/</p> <p><b>High school students (ages 14 and up):</b></p> <p>c. AC/AD refers student to SST for follow-up. SST meets to review student's progress and revise the Student Attendance Support Plan</p> <p>d. SST will notify administrators of all students reaching 10 unexcused absences</p>	<p>a. OYE will monitor CFSA referral compliance rate</p> <p>b. OYE will notify MPD &amp; OSSE of all students with 10+ unexcused absences</p>	
15 (Unexcused)	<p>a. If all interventions have been executed and documented in DC STARS, AC/AD, in conjunction with their attendance specialist, will refer student/family to court in conjunction with Attendance Specialist (<b>students ages 5-17 only</b>)</p> <p>b. Document submission to OYE in STARS adhoc field</p>	<p>a. OYE will approve and send court referral to OAG/CSS</p> <p>b. OYE will monitor court referral compliance rate</p>	
16+ (Unexcused)	<p>a. Continue to monitor student's progress and modify Student Support Plan</p>		
20* (Unexcused Consecutive)	<p>b. AC/AD mails letter to student's home to notify parent/guardian that the student is eligible to be withdrawn from school</p> <p> School must have executed all the above interventions before withdrawal</p>	<p>a. Attendance Specialists will review list of students that have been withdrawn and will refer dropped students to Student Placement Team</p>	

**Additional Instructions for MPD Drop-offs**

1. Student goes to designated office to sign in
2. AC/AD documents time of entry in adhoc MPD field in STARS
3. AC/AD contacts student's parent/guardian to inform them of MPD pick up
  - a. Print and send STARS MPD Pick Up letter requesting a meeting within 5 days of pick up
4. AC/AD convenes **Attendance Conference** with parent/guardian to develop Student Support Plan

School Year 2014-2015

# Bilingual Assessment Referral Guidelines

Version 4.0

Submitted by: Related Services

## ***Introduction***

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The Individuals with Disabilities Education Act (IDEA) regulations require assessments and other evaluation materials to be provided and administered in the student's native language or other mode of communication.

This set of guidelines is intended to help the Local Education Agency (LEAs) and case managers meet these requirements and provide appropriate assessments to inform the evaluation of students who are not native speakers of English.

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## ***Definitions***

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**English as a Second Language (ESL)** - A model of instruction for students whose native language is other than English

**English Language Learner (ELL)** - A linguistically and culturally diverse student who has an overall English Language Proficiency level of 1-4 on the ACCESS for ELLs test

**ACCESS for ELLs**- An assessment anchored in the WIDA English Language Proficiency Standards to help educators, parents and students better understand a student's development of English language proficiency on an annual basis (see page 4 of this guidebook)

**Bilingual Assessment Team**- The Office of Specialized Instruction (OSI) maintains a team of fully itinerant bilingual related service providers in different disciplines to conduct assessments of ELLs in DCPS local schools, public charter schools for whom DCPS is LEA, and DCPS tuition-grant students in non-public schools. These providers present the results of their reports at MDT meetings and assist the IEP team in developing or modifying IEPs for the students they assess

**Language Acquisition Division (LAD)**- division formerly known as the Office of Bilingual Education that provides translation and interpretation services to central offices and local schools to enable parents of other language backgrounds to fully participate in the education of their children

**Local Education Agency (LEA) Representative**- The point of contact for all special education matters at a DCPS school. LEAs and IEP case managers are responsible for identifying children who may have a disability and for organizing all meetings related to special education. At some DCPS schools, a child's teacher serves as his or her IEP case manager

**Multidisciplinary Disciplinary Team (MDT)** - A group of persons whose responsibility it is to evaluate the abilities and needs, based on presenting data, of a child referred for evaluation and to determine whether or not the child meets the eligibility criteria

**The Office of Specialized Instruction (OSI)** - Works with schools to ensure that students with disabilities have the services and support needed to achieve success

**OSI Bilingual Coordinator**- Point of contact for intake and assignment of requests for bilingual assessments

## ***What is the ACCESS for ELLs test?***

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The Assessing Comprehension and Communication in English State to State (ACCESS for ELLs) test places students in English language proficiency levels 1 to 5.

DCPS provides services to students scoring levels 1 to 4 and exits students from support programs when they reach level 5.

If a student's composite ACCESS score is less than 5 (i.e. 4.9 or below), they are eligible to be evaluated by a bilingual provider. The LEA may follow the process outlined in this document to proceed with a bilingual referral.

See the chart below for an explanation of the five scoring levels. It is expected that at level 5 students are ready to meet state academic standards with minimal language support services. ACCESS for ELLs measures language across the four domains: listening, speaking, reading and writing. It also measures across the following content areas: social studies; social and instructional English; math; science and language arts.

Level	Category	Description
1	Entering	Knows and uses minimal social English and minimal academic language with visual and graphic support
2	Beginning	Knows and uses some social English and generic academic language with visual and graphic support
3	Developing	Knows and uses social English and some specific academic language with visual and graphic support
4	Expanding	Knows and uses social English and some technical and academic language
5	Bridging	Knows and uses social English and academic language working with modified grade level material

For additional information, visit <http://www.wida.us/assessment/access/>

## ***When to refer a student for bilingual assessment***

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As with any student, the IEP team must review all existing data before determining that additional assessments are necessary to make an eligibility determination

If the team has decided additional assessments are necessary, the student should always be referred for *bilingual assessment* if any of the following are true:

The student currently receives ESL services

or

The student's composite ACCESS score is less than 5

or

The student has lived in the United States for 7 years or less

or

The student is 7 years old or younger and a non-native speaker of English

In cases of extenuating circumstances, the IEP team (including the parent) can refer an ELL student for bilingual assessment even if none of the above criterion is met. **Each bilingual referral that does not meet one of the aforementioned criteria is subject to review by the Office of Specialized Instruction (OSI).**

For example:

*A ten year old fifth grade student who was born and has lived in the United States does not receive ELL services because she scored above 4 on the ACCESS test. However, her Spanish speaking parents do not speak any English and the student was never exposed to English before entering school at age 5. Her teachers have noticed that she does not seem to understand very well when spoken to in English, and seems to mix English and Spanish when speaking. When she is referred to Special Education, the team decides a speech-language assessment is necessary. Her case manager decides to refer her for a bilingual assessment.*

## ***How to refer a student for bilingual assessment***

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The LEA Representative or case manager should request a bilingual assessment as follows:

1. Locate the students ACCESS scores and/or all available English proficiency assessments. It is important to obtain these scores <b>prior</b> to obtaining signed parental consent.	These scores are provided annually to each school's ACCESS chairperson, testing coordinator or LEA. If the scores are not available, contact Margaret Miller ( <a href="mailto:Margaret.Miller@dc.gov">Margaret.Miller@dc.gov</a> ) in the Language Acquisition Division (202) 671-0755 to request a copy for your school's files. <b>*Note: scores must be obtained prior to the parent's signing consent</b>
2. Bilingual Social History must be completed for initial assessments	Social worker must complete Bilingual Social History if major changes have occurred in the family; and, if there are social/emotional or behavioral concerns. It is preferred that social history is completed before any requests are made for a Functional Behavior Assessment (FBA)
3. Clinical standards require using data, scores, and history to guide referrals for assessments. Be certain the appropriate data points have been collected and evaluated.	Data Points to be entered into Analyzing Existing Data in SEDS before case is assigned include: Previous assessments (psychological, educational, etc.), Standardized assessment results (i.e. DC-CAS, PIA, etc.), DIBLES, TRC, Attendance Records, Behavior infractions, Data from cumulative files (i.e. retention history), and SST/RTI data
4. Complete the <i>Bilingual Assessment Justification Form</i> (page 16)	Fax the Bilingual Assessment Justification form and a copy of the ACCESS scores and/or available English language proficiency documentation to the OSI Bilingual Coordinator at (202) 654-6078.
5. Complete the "Additional Assessment" component in SEDS (Easy IEP) under the Eligibility section	Complete this component just as you would for any initial or re-evaluation assessment.
6. Assign each assessment to the "Program Coordinator"	Be certain to check the box that indicates "Send email to provider."

## ***Assigning bilingual assessments***

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Within 72 hours of receiving the complete bilingual assessment request, the OSI Bilingual Coordinator will contact the LEA representative by mail with the course of action for each assessment.

**Actions may include:**

- 1. The OSI Bilingual Coordinator assigns the assessment to a bilingual provider in Easy IEP for the following languages available directly through OSI providers:**

Physical Supports	Psychology	Social Work	Speech-Language Pathology
	Japanese		
	Mandarin		
Spanish	Spanish	Spanish	Spanish

- 2. The OSI Bilingual Coordinator assigns the assessment to a monolingual provider in Easy IEP, and the LEA representative schedules an interpreter for each testing session** (for instructions on how to schedule an interpreter for testing sessions, see page 8 of this document entitled “Requesting an Interpreter.”)

## ***Requesting an interpreter***

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An interpreter may be necessary to facilitate the bilingual assessment of ELL students. An interpreter may also be necessary to facilitate review meetings or other MDT meetings with non-English speaking parents. **Scheduling an interpreter for testing or meeting is the responsibility of the LEA Representative.**

There are two options to consider regarding interpreting for testing:

<b><i>Option 1</i></b>	<b><i>Option 2</i></b>
A bilingual teacher (i.e. an ESL teacher) at the school who is fluent in the student's native language may serve as an interpreter.	First, the LEA Representative may decide to use a professional interpreter from the LAD.
	Next, submit the Interpreter Request Form (available on the OSI Connection) leaving the "purchase order number" and "requisition number" fields blank.
	The form must be received by the point of contact for interpreters, Ivy Chaine, <a href="mailto:ivy.chaine@dc.gov">ivy.chaine@dc.gov</a> ; phone (202) 671-0755; fax (202) 671-2667 <b>at least 5 business days in advance.</b>
	At the conclusion, the Language Acquisition Division (LAD) relies on your feedback to determine that interpretation services were provided and ask that a feedback form is faxed to their office at (202) 671-2667.

Note: Meetings may be interpreted using the Language Line provided by the District of Columbia Office of Human Rights. However, this line ***may not*** be used for assessments.

- Call the Language Line at 1-800-367-9559
- Agency Client ID **511049**
- Access Code **701001**

## ***Translation of documents***

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According to DCPS policy, the Language Acquisition Division will provide translation of vital documents into any non-English language spoken by a limited or non-English proficient population that constitutes 3% or 500 individuals, whichever is less, of the population served or encountered. Vital documents are defined as document(s) which are for district-wide distribution and it do not include individual student records. For example, the Chancellor's Letter to Parents about the last day of School and DCPS Enrollment packets are considered vital documents. These are documents for all DCPS parents including ELL parents. Translation for the document will be provided for the language group that constitutes 500 or 3% of the total population of DCPS.

If you have additional questions about document translation, please contact Psychology Program Manager, Dr. Ramonia Rich, [Ramonia.Rich@dc.gov](mailto:Ramonia.Rich@dc.gov), 202-654-6150.

## ***Frequently asked questions***

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### **What if there is a bilingual provider assigned to work with the school regularly who can complete a bilingual assessment?**

If the school has a regularly scheduled provider who is bilingual, for data tracking purposes, the LEA representative or case manager should still follow the bilingual referral process above as the school based provider may not ultimately be assigned to complete the assessment. Providing referral data to the OSI Bilingual Coordinator is important because it allows OSI to assign bilingual providers to work with the schools that have the highest volume of bilingual referrals. At this time, OSI cannot capture this data unless the procedure is followed.

### **Where are student ACCESS scores located?**

ACCESS scores are provided to the parent and school ACCESS or testing coordinator. If the scores are not available, contact Margaret Miller, [Margaret.Miller@dc.gov](mailto:Margaret.Miller@dc.gov), (202) 671-0755 at the Language Acquisition Division to request a copy for your school's files. **Note: ACCESS scores must be obtained prior to obtaining signed parental consent.**

### **What if the student has no English language proficiency scores?**

The school should immediately ask the parent to take the student to the Language Acquisition Division's Intake Center for testing. Current English language proficiency scores are essential to ensure that ELL students get access to the full range of appropriate supports available. The LAD Intake Center contact information is as follows:

Ivy Chaine  
Language Acquisition Division Program Coordinator  
Garrison Administrative Unit  
1200 S Street, NW  
Washington, DC 20009  
[Ivy.Chaine@dc.gov](mailto:Ivy.Chaine@dc.gov)  
Phone: (202) 671-0755  
Fax: (202) 671-2667

### **What if the student does not require a bilingual assessment but his/her parent does not speak English?**

Conducting social histories and adaptive behavior assessments are interview based and require a bilingual assessor or interpreter if the parent is not proficient in English, *even if the student does not require a bilingual assessment*. For these assessments, the LEA representative should follow the bilingual referral process described above to order those portions of the assessment (i.e. Adaptive Behavior Assessment) that are to be completed by the bilingual team. If the student does not require a bilingual assessment, the school based psychologist will be responsible for completing assessments that can be administered in English.

### **Who should perform bilingual educational assessments?**

In the case of an initial assessment, a psychologist from the Bilingual Assessment Team will administer the educational assessment and the student should be referred for a bilingual evaluation.

However, during re-evaluations, if there is a special education teacher at the school who is also fluent in the student's native language, and the school has bilingual educational assessment materials, the special education teacher can complete assessments. This course of action should be noted on the Bilingual Assessment Justification Form.

**Should the bilingual provider present his/her report at the review meeting?**

Yes, this is best practice. Please include the bilingual assessor when scheduling the review MDT meeting.

**Will the bilingual provider deliver general language interpretation at the review meeting?**

No, the LEA representative should include a separate interpreter.

**What school based staff may interpret during assessments or at IEP meetings?**

The MDT meeting may utilize teachers fluent in the student's native language to interpret. Community members or family members may interpret if the parent agrees to consider them as a consultative member of the IEP team. Remember, information discussed at MDT meetings or in the process of special education assessments is private. Contracted interpreters procured through the LAD have a professional commitment to confidentiality. Any school-based staff the team decides to use to interpret for an assessment or at a meeting should be individuals who could otherwise have access to the student's file and be considered members of the MDT.

School support staff, such as secretaries, custodians, and cafeteria support should not be used as interpreters.

**What if the student is enrolled in a private/religious school?**

The student's case manager should follow the same process as any other case manager to refer the student for a bilingual assessment.

**What if the student is between 3 years (3.0) and 5 years 10 months (5.10) of age?**

The student should be evaluated at Early Stages. The LEA representative should fax the Child Find Referral form with information about the student's primary language to Early Stages, [referrals@earlystagesdc.org](mailto:referrals@earlystagesdc.org), (202) 535-1112 or call 202-698-8037.

**Does this process apply for deaf or hearing impaired students fluent in American Sign Language?**

American Sign Language is a "language" and requires the same process be followed for assessment. Contact, OSI Representative, [Karen Morgan](mailto:Karen.Morgan@dc.gov), [Karen.Morgan@dc.gov](mailto:Karen.Morgan@dc.gov), to inquire about assessments and services for students who are hearing impaired.



**How can I request a sign-language interpreter for a parent?**

If the team requires a sign-language interpreter for a parent of a hearing impaired student at a meeting, the LEA representative should fax the Request for Sign Language Interpreter Form to the DC Office of Disability Rights directly by contacting [Haydn.Demas@dc.gov](mailto:Haydn.Demas@dc.gov) at (202) 442-4692 or (202)724-5055.

### ***Additional forms and documents***

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- Bilingual Assessment Justification Form (page 16)
- Interpreter Request Form (page 17)
- Interpreter Feedback Form (Contact the LAD; page 10)
- ACCESS Test Chairs by School (Contact the LAD; page 10)
- Instructions on how to use the DC Office of Human Rights Language Line (page 8)
- Request for Sign Language Interpreter (Contact DC Office of Disability Rights; page 12)

## Points of contact

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### Office of Special Education: Program Managers

Name	Role	Email	Phone	Fax
Kenyetta Singleton	Program Manager, Speech-Language Pathology	<a href="mailto:Kenyetta.singleton@dc.gov">Kenyetta.singleton@dc.gov</a>	(202) 673-8363	(202) 654-6099
Dr. Ramonia Rich	Program Manager, Psychology	<a href="mailto:Ramonia.rich@dc.gov">Ramonia.rich@dc.gov</a>	(202) 369-2886	(202) 654-6150
Tamara Dukes	Program Manager, Social Work	<a href="mailto:Tamara.dukes@dc.gov">Tamara.dukes@dc.gov</a>	(202) 907-8056	(202) 654-6153

### Office of Specialized Instruction: Bilingual Consultation Contacts

Please contact the consultant assigned to your school below for specific questions about bilingual cases. **DO NOT** assign assessments directly to the psychologists or speech-language pathologist; *please follow the Bilingual Referral process found in this guidebook.*

Name	Role	Email	Phone
Anna Rosario	Social Worker	<a href="mailto:Anna.rosario@dc.gov">Anna.rosario@dc.gov</a>	(202) 215-0987
Camille Robinson	Psychologist	<a href="mailto:Camille.robinson2@dc.gov">Camille.robinson2@dc.gov</a>	(202) 603-9171
Isora Cruz-Cardona	Psychologist	<a href="mailto:Isora.Cruz-Cardona@dc.gov">Isora.Cruz-Cardona@dc.gov</a>	(202) 276-9802
Maura Garibay	Social Worker	<a href="mailto:Maura.Garibay@dc.gov">Maura.Garibay@dc.gov</a>	(202) 534-2740
Robert Soriano	Psychologist	<a href="mailto:Robert.Soriano@dc.gov">Robert.Soriano@dc.gov</a>	(202) 607-4694
Dr. Sonia Pilot	Psychologist	<a href="mailto:Sonia.Pilot@dc.gov">Sonia.Pilot@dc.gov</a>	
Valerie Calderon	Speech Language Pathologist	<a href="mailto:Valerie.Calderon@dc.gov">Valerie.Calderon@dc.gov</a>	(703) 924-4168

## ***Points of contact, continued***

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### **School Based Bilingual Providers**

<b>Name</b>	<b>Role</b>	<b>School</b>	<b>Email</b>
Dr. Ana Gardano	Psychologist	TBA	Ana.gardano2@dc.gov
Andres Nunez	Psychologist	Oyster Adams Bilingual School	Andres.nunez@dc.gov
Susanne Leslie	Psychologist	HD Cooke ES	Susanne.Leslie@dc.gov

### **Language Acquisition Division (LAD)**

<b>Name</b>	<b>Questions about</b>	<b>Email</b>	<b>Phone/Fax</b>
Main Office	General Inquiries		<b>(202) 671-0750</b>
Ivy Chaine	Interpreter	Ivy.chaine@dc.gov	(202) 671-0755/2667
Margaret Miller	Data/Records	Margaret.miller@dc.gov	(202) 671-0750
Arnaldo Ramos	ELL Intake	<a href="mailto:Arnaldo.Ramos@dc.gov">Arnaldo.Ramos@dc.gov</a>	(202) 671-0750

## ***Bilingual Assessment Justification Form***

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Providers from the Bilingual Assessment Team or interpreters will be assigned **only after both steps below are completed** by the LEA representative or case manager.

**Step One:** This completed form and a copy of the student's ACCESS scores and/or any other English language proficiency documentation are faxed to (202) 654-6078.

**Step Two:** Each required assessment is ordered in Easy IEP and assigned to the Program Coordinator

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Information requested below about the student to be assessed must be complete and accurate.

Student's Name

Student DCPS ID#

Date of Birth

Attending School

Native Language

Dominant Language

LEA Representative  
or case manager

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**Justification for Bilingual Assessment (check all that apply)**

- ☐ Student currently receives ESL services
- ☐ Student's composite ACCESS score is lower than 5
- ☐ Student has lived in the United States for fewer than 7 years
- ☐ Student is younger than 7 and not a native speaker of English
- ☐ None of the above, an explanation must accompany this form for review by the OSI

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**Note: If school based staff will complete one or more bilingual assessments, must attach explanation**

Requisition Number: \_\_\_\_\_

Purchase Order Number: \_\_\_\_\_

**INTERPRETER REQUEST FORM**

**INDEPENDENT ASSESSMENT REVIEW**

DATE: \_\_\_\_\_

NAME OF REQUESTER: \_\_\_\_\_

TITLE: \_\_\_\_\_

SCHOOL/LOCATION: \_\_\_\_\_ PRINCIPAL'S NAME: \_\_\_\_\_ ☐ N/A

NAME OF STUDENT: \_\_\_\_\_ STUDENT ID# \_\_\_\_\_

NAME OF PARENT/GUARDIAN: \_\_\_\_\_

LANGUAGE/DILECT SUPPORT REQUESTED:

☐ SPANISH ☐ VIETNAMESE ☐ CHINESE ☐ AMHARIC ☐ FRENCH ☐ OTHER: \_\_\_\_\_

**AREA OF SERVICE REQUESTED:**

☐ PARENT/TEACHER/ADMINISTRATOR MEETING

☐ SPECIAL EDUCATION MEETING/IEP

☐ EVALUATION \_\_\_\_\_

☐ OTHER \_\_\_\_\_

☐ EAR SETS/LANGUAGE \_\_\_\_\_

☐ TRANSMITTER/LANGUAGE \_\_\_\_\_

**FUNDING SOURCES:**

☐ FROM SCHOOL

☐ LAD (FORMERLY OBE)

☐ EARLY STAGES-HEAD START

☐ EARLY STAGES

☐ OFFICE OF SPECIALIZED INSTRUCTION

☐ OTHER \_\_\_\_\_

**NAME OF PREFERRED INTERPRETER  
(IF ANY):** \_\_\_\_\_

DATE/TIME FOR SERVICES: \_\_\_\_\_

SERVICE LOCATION: \_\_\_\_\_ PHONE (DIRECT LINE/CELL): \_\_\_\_\_

DAY OF CONTACT NAME: \_\_\_\_\_ PHONE (DIRECT LINE/CELL): \_\_\_\_\_

FOR MORE INFORMATION CALL IVY CHAINE (202) 671-0755 (LANGUAGE ACQUISITION DIVISION) OR JENNIFER FULLER (202) 480-0898 (EARLY STAGES)

**APPROVED:** ☐ YES ☐ NO

☐ IVY CHAINE (LAD) or ☐ JENNIFER FULLER/MEGHAN BROWN (EARLY STAGES)

DATE: \_\_\_\_\_

July 2012

# Clinical Supervision of Graduate Students Guidelines

Version 1.0

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## Purpose

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This guidebook for clinical supervision of a graduate student is a comprehensive guide and reference point for providing career guidance through clinical supervision for speech-language graduate student clinicians. As a graduate student supervisor, the role of mentoring should be approached as a continual effort that encompasses a critical set of clinical skills and interpersonal attributes that enable an ability to develop and instill specific attitudes, values and practice habits in mentees in administering clinical support services. During clinical supervision, it is the responsibility of the supervisor to practice clinical teaching in adherence to the highest standards of integrity in establishing a mentoring relationship conducive to influencing clinical practices in developing and strengthening core competencies of graduate student clinicians.

In reviewing the contents of this guidebook, this document seeks to incorporate the fundamental standards observed by ASHA for SLP supervisors in administering clinical supervision over graduate student clinicians. In observing these standards, this document reviews core competencies, considerations and challenges that should be acknowledged by the supervisor in facilitating a gainful clinical supervisory relationship with the supervisee that provides mentoring guidance and enrichment through practical clinical experiences.

# ASHA Position Statement

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The position statement *Clinical Supervision in Speech-Language Pathology and Audiology* was approved in 1985. This current position statement updates that document with respect to the profession of speech-language pathology. Although the principles of supervision are common to both professions, this position statement addresses only speech-language pathology because of differences in pre-service education and practice between the two professions.

It is the position of the American Speech-Language-Hearing Association that clinical supervision (also called clinical teaching or clinical education) is a distinct area of practice in speech-language pathology and that it is an essential component in the education of students and the continual professional growth of speech-language pathologists. The supervisory process consists of a variety of activities and behaviors specific to the needs, competencies, and expectations of the supervisor and supervisee, and the requirements of the practice setting. The highly complex nature of supervision makes it critically important that supervisors obtain education in the supervisory process. Engaging in ongoing self-analysis and self-evaluation to facilitate the continuous development of supervisory skills and behaviors is fundamental to this process. Effective supervision facilitates the development of clinical competence in supervisees at all levels of practice, from students to certified clinicians. Clinical supervision is a collaborative process with shared responsibility for many of the activities involved in the supervisory experience. The supervisory relationship should be based on a foundation of mutual respect and effective interpersonal communication. Clinical supervisors have an obligation to fulfill the legal requirements and ethical responsibilities associated with state, national, and professional standards for supervision.

## Reasons to Supervise

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There are several reasons for a speech-language provider to serve as a mentor in fostering the professional development of a graduate student. As the mentoring experience should encompass a mutually beneficial learning experience for both the supervisor and supervisee, the mentor plays an integral role in influencing graduate students through observation and evaluation of clinical practices and offering relevant feedback and guidance to improve performance.

Through the reinforcement of best practices, the supervisor is a vital resource for providing guidance and ongoing dialogue that contributes towards improving confidence for independent decision-making and critical thinking for complex client management issues. As shown below, there are 10 compelling reasons to supervise a graduate student:

1. Develop and recruit future employees.
2. Stay current—learn what students are learning.
3. Share your expertise with future SLPs.
4. Establish a relationship with university programs.
5. Teach future SLPs to advocate for SLP services.
6. Introduce students to interdisciplinary teaming.
7. Feel good about giving back to the profession.
8. Develop your mentoring and supervisory skills.
9. Enhance your clinical skills by teaching someone else.
10. Leave a legacy.

As summarized above, these are diverse and substantive reasons on the value gained from a supervisory experience that entails clinical teaching and guidance. The role of a mentor is to gently guide the new clinician by offering knowledge, insight, perspective, or wisdom (Shea, 1997). Through continual interaction with the supervisee, a collaborative process emerges with a shared responsibility between the clinical supervisor and the supervisee. In turn, the undertaking of a supervisory role entails a committed effort to participate in the development of the supervisee as it pertains to improving areas of knowledge gaps and meeting clinical expectations in fulfilling core competencies.

### Benefits for Graduate Students

The benefit gained from graduate students through mentorship includes a solid foundation for practical experience in administering clinical practices, treatment strategies and diagnostic procedures under the guidance of a seasoned professional. This role enables the supervisee guidance in developing an understanding of the profession through a supervisory relationship that is conducive to fostering critical-thinking skills in evaluating and assisting clinical services. In addition,

the supervisory relationship entails an active engagement of ideas in developing clinical skills through a variety of cases involving implementation of services and client management skills. The below reasons illustrate the benefit gained by graduate students from mentoring:

1. Access to a support system during critical stages of college and career development.
2. Clear understanding and enhancement of academic and career development plans.
3. Ability to develop mentoring relationships in industries where mentoring is not readily available.
4. Enhanced understanding of the importance of mentors.
5. Exposure to diverse perspectives and experiences.
6. Direct access to power resources within the professions of audiology; speech-language pathology; and speech, language, and hearing science.
7. Identification of skill gaps before leaving school.
8. Greater knowledge of career success factors.
9. A lasting career network.
10. Insider perspective on navigating their chosen career.

As a mentor, there are several reasons to participate in the supervisory process in facilitating the development of a graduate student in acquiring the core skills and competencies needed to be successful in the field. As a supervisor, the development of a colleagueship with a supervisee contributes toward the advancement of the profession in enhancing the quality of clinicians performing SLP services. The supervisor can impart knowledge on past experiences, which serves to expose the supervisee to diverse clinical cases, therapeutic treatment strategies and diagnostic procedures to enhance the supervisee's content knowledge and understanding of clinical practices.

## Guidance

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ASHA-certified individuals who supervise students should possess or seek training in supervisory practice and provide supervision only in practice areas for which they possess the appropriate knowledge and skills. The supervisor must oversee the clinical activities and make or approve all clinical decisions to ensure that the welfare of the client is protected. The supervisor should inform the client or the client's family about the supervisory relationship and the qualifications of the student supervisee.

The supervisor must provide no less than the level of supervision that is outlined in the current certification standards and increase supervision if needed based on the student's knowledge, experience, and competence. The supervisor should document the amount of direct and indirect supervision provided, and design and implement procedures that will protect client confidentiality for services provided by students under supervision.

ASHA members and certificate holders engaged in the preparation, placement, and supervision of student clinicians must make reasonable efforts to ensure that direct practicum supervision is provided by professionals holding the appropriate CCC. They must inform students who engage in student practica for teacher licensing, or other clinical practica under a non-ASHA-certified supervisor that these experiences cannot be applied to ASHA certification. ASHA-certified personnel cannot sign for clinical practicum experiences that were actually supervised by non-ASHA-certified individuals. It is unethical for certificate holders to approve or sign for clinical hours for which they did not provide supervision.

## Essential skills and core competencies

There are essential skills and core competencies that are expected of clinical supervisors in having the capacity and ability to properly facilitate the clinical supervisory process. Mentors should recognize that they lead by example, and will be responsible for various aspects of the student's clinical experience. In turn, mentors will address all accountability, including documentation; reimbursement; confidentiality; licensure and certification requirements; local, state, and national standards and regulations; and preferred practice patterns.

As role models, mentors should be conscientious of their daily presentation, including attire and hygiene. As a professional, it should be implicitly and explicitly communicated through professionalism and daily work habits that the supervisor takes the mentorship role seriously. Although friendly interaction should be encouraged as a means to develop rapport with the supervisee, it is important that boundaries are set and a level of mutual respect is established in commanding authority from the supervisee. In communicating with the supervisee, it is imperative that the supervisor follows established protocol regarding clinical practices and doesn't deviate from standards to ensure consistency regarding expectations.

A mentor must not rely solely on his superior clinical knowledge and expertise in this process, but also must understand the role that one's individual and unique personality plays in mentoring. Mentors need to have knowledge of strategies that foster self-evaluation while recognizing and accommodating various personality types and learning styles. In turn, mentors should have skills that assist the supervisee in describing and measuring his/her own progress (ASHA, 2008b). As a supervisor, the opportunity arises for self-reflection and an in-depth examination of one's own teaching style and practice habits, including one's own individual strengths and weaknesses. Effective clinical teaching should include self-analysis, self-evaluation, and problem-solving skills (ASHA, 1985). This self-acknowledgement plays an integral part in the supervisor's awareness of how supervision is administered and how to enhance the supervisory experience to benefit the supervisee.

## Code of Ethics

ASHA-certified individuals who supervise students cannot delegate the responsibility for clinical decision-making and management to the student. The legal and ethical responsibility for persons served remains with the certified individual. However, the student can, as part of the educational process, make client management recommendations and decisions pending review and approval by the supervisor. Further, the supervisor must inform the client or client's family of the qualifications and credentials of the student supervisee involved in the provision of clinical services.

All supervised clinical activities provided by the student must fall within the scope of practice for the specific profession to count toward the student's certification. The supervisor must achieve and maintain competency in supervisory practice as well as in the disability areas for which supervision is provided. The amount of supervision provided by the ASHA-certified supervisor must be commensurate with the student's knowledge, experience, and competence to ensure that the welfare of the client is protected. The supervisor must also ensure that the student supervisee maintains confidentiality of client information and documents client records in an accurate and timely manner.

Discrepancies may exist among state requirements for supervision required for teacher certification in speech-language pathology and audiology, state licensure in the professions of speech-language pathology and/or audiology, and ASHA certification standards. In states where credential requirements or state licensure requirements differ from ASHA certification standards, supervised clinical experiences (including student practica for teacher licensing) will count toward or may be applied toward ASHA certification (CCC) requirements only if those practicum hours have been supervised by ASHA-certified personnel.

## ASHA's 13 tasks of supervision

The below tasks illustrate the directives encompassed within a supervisory relationship in maintaining an effective relationship that will contribute towards the development of the supervisee in attaining and refining skills needed to administer SLP services. As a mentor, it is

paramount that these tasks are fulfilled and reinforced throughout the duration of the supervisory process to establish expectations for the supervisee and to facilitate the professional development of the supervisee in promoting independent decision-making. The 13 tasks of supervision are as follows:

1. Establishing and maintaining an effective working relationship with the supervisee
2. Assisting the supervisee in developing clinical goals and objectives
3. Assisting the supervisee in developing and refining assessment skills
4. Assisting the supervisee in developing and refining clinical management skills
5. Demonstrating for and participating with the supervisee in the clinical process
6. Assisting the supervisee in observing and analyzing assessment and treatment sessions.
7. Assisting the supervisee in the development and maintenance of clinical supervisory records.
8. Interacting with the supervisee in planning, executing and analyzing supervisor conferences.
9. Assisting the supervisee in evaluation of clinical performance
10. Assisting the supervisee in developing skills of verbal reporting, writing and editing.
11. Sharing information regarding ethical, legal, regulatory, and reimbursement aspects of professional practice.
12. Modeling and facilitating professional conduct.
13. Demonstrating research skills in the clinical or supervisory process.

In completing the tasks, the supervisor should be fully engaged in the clinical process in monitoring and evaluating the clinical performance of the graduate student during their development. Under such supervision, this would include an acute involvement in the supervisee's development, guiding the ethical, regulatory, legal and clinical aspects of treatment in managing supervisee conduct. It is important for the supervisor to convey interest in the supervisory process, monitoring performance in recognizing the supervisee's clinical strengths and weaknesses. In turn, the supervisor should disclose feedback and constructive criticism as appropriate to enhance the supervisee's professional growth.

All certified SLPs have received supervision during their student practicum and clinical fellowship; however, this by itself does not ensure competence as a supervisor. Furthermore, achieving clinical competence does not imply that one has the special skills required to be an effective supervisor. ASHA does not have specific requirements for coursework or credentials to serve as a supervisor; however, some states or settings may require coursework and/or years of experience to serve as a supervisor. Knowledge and skills may be developed in a variety of ways: participating in courses or workshops on supervision, engaging in self-study, participating in Division 11 (Administration and Supervision), and gaining mentored experiences under the guidance of an experienced clinical educator.

## Supervisory Requirements

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The below requirements are expectations held to all supervisors in managing professional and clinical expectations of graduate students participating in externships with DCPS Related Services Speech-Language program. The following requirements enable the supervisor to understand the scope of the role and responsibilities in managing the student, as well as guidance in facilitating a relationship conducive to supporting the student in fulfilling core requirements in meeting clinical competency expectations:

- 1.) Site supervisors will inform the student of any pre-requisite site requirements such as background check and/or immunizations. The supervisor will familiarize the student with the facility's physical layout, orient the student to the institution's policies, make staff introductions as appropriate, and provide verbal and/or written expectations regarding student's time on site and performance requirements.
- 2.) Site supervisors will help to ensure that the student acquires needed direct client contact hours and will sign off clinical clock hour logs and on-site hour logs on a regular basis.
- 3.) Site supervisor will provide an appropriate amount of supervision to meet the student's level of knowledge, experience, and competence and will be on-site for the entire session.
- 4.) Site supervisor will provide supervision sufficient to ensure the welfare of the client or pupil.
- 5.) Site supervisor will provide direct supervision defined, according to ASHA Standards, as real time supervision that must never be less than 25% of the student's total contact with each patient, client or pupil in therapy and 50% of each diagnostic evaluation. This direct contact must take place throughout the practicum. Direct supervision is defined as on-site observation or closed circuit TV monitoring of the student clinician. In addition to the required direct supervision, supervisors may use a variety of other techniques to obtain knowledge of the student's clinical work, such as conferences, audio-and videotape recordings, written reports, staffing and discussions with other persons who have participated in the student's clinical training.
- 6.) Supervisor will provide written and verbal feedback on therapy and diagnostic sessions, lesson plans, data, and reports submitted by the student clinicians. The supervisor is responsible for conveying clinical requirements to the student and conveying information on the student's specific areas of strength and weakness in a constructive manner. The student will appreciate and benefit from feedback regarding performance and goal-setting.



## Supervision Styles

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Supervisors who maintain a “direct-active” style of supervision as described by [J. L. Anderson](#) are less likely to address the mentoring aspect of supervision. The “direct-active” style focuses mainly on growth in performance rather than on the personal growth of the supervisee. “Collaborative” or “consultative” styles, as described by [J. L. Anderson](#), better facilitate the ability to address the mentoring aspect of supervision.

In this regard, mentoring includes supervision that empowers the student by monitoring professional development in a manner that includes a focus on the personal growth of the supervisee. This would entail 1) in-depth collaboration around reinforcing best practices, 2) providing clarity in areas of ambiguity or uncertainty regarding decision-making, 3) promoting the graduate student to think critically in administering treatment strategies in managing nuanced issues; 4) continually providing input & feedback regarding client assessments and course of treatment for intervention, and 5) assisting in the development of time management and planning skills for patient/client management. In facilitating a mentoring relationship with the supervisee, a dual relationship should emerge in which the supervisee can seek guidance, counseling and advice in a manner that maintains the professional integrity of the supervisor-supervisee relationship, however, yields to enable interpersonal communication that seeks to foster the personal development of the supervisee.

A variety of strategies have proven effective in explicitly defining supervisor expectations for performance and criteria for evaluation, and for enhancing objectivity. These include: a contract-based system, competency-based goal setting and evaluation, and interactive and joint involvement in the analysis and assessment of clinical performance.

Communication that is open, candid and respectful between the supervisor and student is crucial. Supervisors must provide maximum support for the student, which often means allowing the student to initially observe the supervisor providing services, moving to co-assessment or co-treatment, and continuing to delegate more responsibility only when the student has demonstrated the necessary competencies. New clinical experiences offer new challenges and require more intense supervision/direction by the supervisor.

The supervisor and supervisee should share in the planning, observation, and objective analysis of data from the observation as it relates to understanding the clinical and supervisory learning processes. This partnership reportedly leads to a more analytical, problem-solving and ultimately self-supervising supervisee. In turn, there is a mutual responsibility that is shared for the professional growth and development of the supervisee.

# Jean Anderson's Model Of Continuum Supervision

Jean Anderson's continuum of supervision serves as an example model platform for graduate student supervisors to utilize in planning stages of clinical supervision. Widely recognized and distinguished as a primary model for clinical supervision, each stage describes a gradual decrease in the amount and type of involvement by the supervisor with a corresponding increase in amount and type of involvement on the part of the supervisee (Anderson, 1988). This model promotes professional growth for supervisor, as each stage of supervision allows adjustment to the knowledge, needs and skills of the supervisee.

## 1. **Evaluation-feedback stage:**

- The supervisor is dominant and directive in working with the supervisee.
- The supervisee benefits (and appreciates) specific input and feedback for each client assigned for intervention or diagnosis.
- The supervisor serves as "the lead" in planning for the needs of the clients with whom the supervisee is working.
- The supervisory feedback is considered to be "direct-active" in that the supervisor controls and the supervisee follows direction.
- The marginal student, the student who evidences difficulty in planning, critical thinking, time management, and/or other areas of the therapy process may remain in the evaluation-feedback stage for an extended period of time.
- Typically, this is a more comfortable start for the supervisee; however it is the hope that the student will move through this stage of development relatively quickly. Be aware that for many supervisees, the direct-active supervisor is the easiest to work with for most, movement on the continuum to the transitional stage is anticipated.

## 2. The **transitional stage:** Some of the responsibility for case and client management shifts to the supervisee.

- This process is seamless and allows the supervisee the opportunity to begin participating in the planning, implementing, and analyzing the course of treatment for patients/clients. The transition to independence can create anxiety for the supervisee and the supervisor.
- The supervisee is anxious relative to the increased responsibility and planning required for the patient/client.
- The supervisor may feel anxious relative to "giving up control" for the patient and family. In addition to the new clinical student, a supervisee who is working with a new clinical population will generally begin in the evaluation-feedback stage. The supervisor needs to be sensitive to any signs of unusual stress exhibited by the supervisee.
- In this transition stage, the supervisor provides input and feedback; however the tone of the supervisory relationship becomes more of a joint project between the supervisor and the supervisee.

- The supervisee may be able to become more independent when working with clients having some disorder types sooner than with other disorder types (e.g., the supervisee may work effectively in setting short and long term goals with children with phonological disorders but may have difficulty establishing reasonable goals for children with autism). The desired outcome of the transitional stage is that the supervisee begins to demonstrate clinical and professional skills with some degree of independence.
  - It is expected that the supervisee will become more participatory in all aspects of client management and will begin to self-analyze clinical behavior. It is possible that with certain skills (i.e. session planning) the supervisee may require little direction from the supervisor. However, the same supervisee may consistently evidence difficulty at communicating at an appropriate language level with clients/patients. In this case, the supervisor can provide collegial mentoring providing additional ideas or reinforcement as the graduate student establishes short-term goals for sessions, selects materials, etc.
  - The supervisor may need to be directive in supervisory style when working with the same student in "scripting" information to be provided for the family emphasizing appropriate vocabulary choices, definition of professional terminology, etc.
3. The **self-supervision stage**: It is the goal for each supervisee to move to the self-supervision stage. When the student reaches this stage of the continuum, the supervisor serves in a consultative role with the supervisee.
- The supervisee grows in clinical independence.
  - The supervisee is better able to plan and implement therapy with less direct supervisory input.
  - The supervisor begins to serve in a more collaborative role and feedback at this stage mirrors the change in the supervisory role. The supervisor listens and supports the supervisee in problem solving.
  - The supervisee is responsible for the primary management of the caseload.

Significantly, Anderson notes that the continuum is not time-bound. This means that there is no set period of time that a supervisee should achieve a particular skill. The continuum is designed to support the supervisee in the development and self-recognition of clinical and professional strengths as well as the development and self-recognition of those areas requiring additional development of skill.

## Supervisor Tips

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The below tips are helpful in planning a supervisory mentoring experience that is transparent and supportive of the supervisee in seeking to meet successful clinical outcomes. As each supervisee is unique in learning style, level of competency, personality and understanding of relevant content knowledge, the supervisor plays an integral part in guiding the student's initial clinical experiences in the profession, as well as upholding morale in dealing with the varying cases and challenges encompassed in performing clinical services. In turn, the tips shown below are helpful in outlining the framework of ideas in planning your mentorship experience:

- Complete any necessary paperwork attesting to your professional credentials (ASHA certification, state licensure, and/or state teacher certification) as this may be necessary for the graduate student to document their supervised clinical experiences when they make application for their own professional credentials.
- Clarify expectations about the amount of time the student will spend at your site (e.g, 3 or 5 days a week, number of hours, number of weeks).
- Contact the university placement coordinator to ask questions about communication between you and the university program once the graduate student is placed, including:
  - Type and frequency of contact;
  - Number of site visits by university coordinator;
  - Systems for addressing any problems;
  - Benchmarks and assessment for student progress

### **Educational considerations prior to graduate student placement:**

- Find out what types of clinical experiences the graduate student has acquired.
- Determine the type of evaluation of the graduate student's performance that the university requires (frequency and format).
- Consider how you plan to assess and teach clinical skills.
- Determine how you will assign cases and manage your caseload accordingly.
- Determine graduate student assessment measures.

### **Educational considerations after graduate student placement:**

When working with the graduate student, consider the following:

- Set up regular times for conferences.
- Encourage the graduate student to be an active participant in establishing mutually agreed upon educational goals for the placement, which take into consideration the student's level of experience and the nature of the clinical opportunities available at the site.

- Clearly state your expectations for the graduate student over the course of the practicum-hours, responsibilities (clients, assigned projects or readings), and facility policies -- and how the student will be evaluated.
- Be cognizant of the graduate student's learning style and how they respond to feedback.
- Avoid attempting to expose the graduate student to every type of patient and disorder. Periodically revisit the goals for placement and student learning objectives.
- Maintain communication with the university regarding the student's progress.

As a first-time supervisor, appropriate planning is integral in ensuring an effective supervisory experience in shaping the attitudes, behaviors and performance of the supervisee. In turn, much attention should be particularly focused on the supervisee's learning style and their level of competency to determine effective strategies to aid in the student's development. It is imperative from the onset of the mentorship that clear expectations and goals are established, as the supervisor should look to define the path in which the student's experiences and gradual development enable for expectations to be met.

## **Tips for Clinical Remediation**

Occasionally as student or supervisor will encounter and/or perceive a problem in the supervisory relationship. If such matters are left unresolved, this may adverse impact the integrity of the relationship and undermine the supervisory experience. If a supervisor and/or graduate student perceives a problem that exists, a sequence of procedures should be followed to attempt to resolve the problem:

- Discuss the problem together. Usually simple misunderstandings can be resolved by discussion. The university coordinator should be informed regarding any issues, as this person can play a key role in seeking to resolve the problem.
- If the graduate student is having difficulties in clinic practicum, s/he may require a Remediation Plan. The remediation plan is a written document that captures the difficulties being experience, the objectives that need to be met, and the supports available for the student to meet goals and clinical expectations. The plan may focus on one or multiple aspects of work, and may also address a broad area of concerns. It can include professional expectations, clinical competencies, self-evaluation skills, interpersonal communication difficulties, etc.
- If the student is unsuccessful in completing the requirements of the remediation plan, the student will be withdrawn from the practicum experience. If the student is successful in completion of the remediation plan, decisions regarding upcoming placement should be made by the University Coordinator.

## Frequently Asked Questions

### **Are there requirements to supervise student clinicians?**

Yes. Supervisors should have established competency in any area of practice in which the supervisor or student may engage (e.g., supervisors without experience and competency working with pediatric populations should not supervise a student who is working with a child). The Issues in Ethics Statement on Supervision of Student Clinicians includes further discussion of this issue.

To meet ASHA's Standards for the Certificate of Clinical Competence (CCC), student clinicians must be supervised by an individual who holds the CCC in the appropriate area of practice (see Standard IV-E of speech-language pathology standards). University programs also may require the supervisor to hold the necessary state credential to practice in their setting, i.e. license and/or teacher certification.

### **Is there a requirement about the number of years one needs to be ASHA-certified before supervising a graduate student?**

No. However, the supervisor should have acquired sufficient knowledge and experience to mentor a student and provide appropriate clinical education. Obtaining knowledge and skills related to principles of student assessment and pedagogy of clinical education is encouraged

### **Is there special "training" you need?**

As with any area of practice, SLPs who are clinical educators should have established competency in supervision. There are a number of ways one can establish and maintain competency in this area. ASHA's position statement on clinical supervision outlines the competencies needed and training options.

### **How do I find an academic program that will send me student clinicians to supervise?**

A list of graduate programs in speech-language pathology is available on ASHA's Web site. You can speak with the department chair, graduate program director, or clinic director for further information.

### **How much of the practicum has to be directly supervised?**

According to Standard IV-E of the SLP Certification Handbook:

"Direct supervision must be in real time and must never be less than 25% of the student's total contact with each client/patient and must take place periodically throughout the practicum. These are minimum requirements that should be adjusted upward if the student's level of knowledge, experience, and competence warrants."

The implementation language further states that "The amount of supervision must be appropriate to the student's level of knowledge, experience, and competence. Supervision must be sufficient to ensure the welfare of the client/patient."

Also see the ASHA document, Quality Indicators for Professional Service Programs in Audiology and Speech-Language Pathology, which includes information about supervision.

In addition, facilities, payers, and other regulatory agencies may have requirements regarding supervising student clinicians that may impact the amount of supervision provided.

### **Can I supervise more than one student at a time?**

Yes. Supervisors often find that they are called upon to supervise more than one student at a time. There is no language within the standards that specifies the number of students that can be supervised by one person.

### **Do I have to be on-site when the student is on-site? Is it okay to have other SLPs on-site?**

As noted in the question above, the amount of direct supervision provided must be appropriate to the student's needs and ensure the welfare of the client. If the primary supervisor cannot be on site, another clinician may supervise the student, if needed. It is important to note that all persons who take on supervisory responsibilities must hold the appropriate CCC in the professional area in which the clinical hours are being obtained in order for the graduate student clinician to apply those supervised clinical hours towards their own CCC application.

To learn more about payer requirements for reimbursement of services provided by student clinicians and how this may influence the issue of on-site supervision in health care settings, see the first question in the Health care section below.

### **Am I liable for the treatment provided by the student under my supervision?**

As a supervisor, you are responsible for any actions taken by the student while under your supervision. You should ensure that the amount of supervision provided is appropriate to the needs of the client/patient and for the graduate student's experience and skill.

### **Do I have to co-sign all notes, such as treatment plans and IEPs, written by the student? Can anyone else sign the student's notes?**

The supervisor of record for the case would be expected to sign all treatment documentation, in accordance with the facility's policies.

### **How many minutes are in a clinical practicum hour?**

The Council For Clinical Certification defines one (1) clinical practicum hour as equal to 60 minutes.

### **What other supervision resources are available?**

ASHA has a number of resources for supervisors and those interested in clinical education. These resources include:

**Student supervision Web resources**

**Teaching tools**

**ASHA Certification Handbook in Speech-Language Pathology**

## Professionalism Agreement

During my field experiences, I am a guest at the school site or other educational or community setting.

1. I understand that my task is to learn so that I can become a more effective educational professional.
2. I agree to abide by the specific institutional values and policies as well as highest standards of professionalism at all times.
3. I agree to maintain professional, legal, and ethical conduct at all times. I will respect the privacy of children, families, and school personnel and protect the confidentiality of confidential academic or personal information that I encounter.
4. I agree to be on site when and where I am expected. In the event that I cannot attend or will be late, I will follow proper notification procedures to let the appropriate individuals know in advance.
5. I agree to maintain a professional demeanor and appearance, in accordance with the standards of the site where I am placed.
6. I agree to complete my assigned tasks, duties, and responsibilities on time.
7. I agree to interact and communicate in a positive and professional manner with students, peers, school and university personnel, and others. I will avoid bias, prejudice, or lack of fairness toward individuals or groups of people.
8. I agree to act in a safe and responsible manner, avoiding any action that might put students at physical and emotional risk.
9. I agree to remain committed to student learning at all times. I will not make offensive or demeaning comments about students/participants or their abilities to learn or about teachers or their abilities to teach.
10. I agree to remain committed to improving my own instructional practices and teaching activities. I will remain flexible and open to feedback from others.
11. I agree to demonstrate commitment to my field of study and to the teaching profession. I understand that failure to comply with this agreement may result in the execution of a disposition assessment form (Form D-2) and/or placement termination. (The accumulation of three disposition assessment forms will result in a disciplinary review that may result in removal from the teacher education program.)

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Graduate Student Print Name

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Graduate Student Signature

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Date





## Education Schedule

Semester \_\_\_\_\_

### STUDENT INFORMATION:

Name \_\_\_\_\_

Address- \_\_\_\_\_

Cell Phone – \_\_\_\_\_

Email Address \_\_\_\_\_

### SUPERVISING SLP INFORMATION:

Name: \_\_\_\_\_

Email: \_\_\_\_\_

ASHA certification number \_\_\_\_\_

School Corporation/COOP (*NOT INDIVIDUAL SCHOOLS- LIST THOSE BELOW*) \_\_\_\_\_

### School Assignments and telephone number:

1. \_\_\_\_\_

2. \_\_\_\_\_

### Weekly Schedule -- list school name and hours in building(s):

Monday AM PM \_\_\_\_\_

Tuesday AM PM \_\_\_\_\_

Wednesday AM PM \_\_\_\_\_

Thursday AM PM \_\_\_\_\_

Friday AM PM \_\_\_\_\_

School Breaks Dates (Christmas, Spring): \_\_\_\_\_

## Extern Supervisor Evaluation Form

<b>Graduate Clinician:</b>	
<b>Supervisor:</b>	
<b>Externship Dates:</b>	
<b>University:</b>	
<b>University Supervisor:</b>	
<b>Date:</b>	

Please use the following scale to rate the supervisor on the items below:

5	Strongly Agree
4	Agree
3	Neutral
2	Disagree
1	Strongly Disagree

This evaluation form will be confidential and used to identify the skill sets of the Clinical Supervisor when making assignments.

This form is to be completed at the end of Graduate School Assignments and faxed to Kenyetta Singleton @ 202-654-6099.

## Feedback About **Your Supervisor**

<b>My Supervisor:</b>	<b>5</b>	<b>4</b>	<b>3</b>	<b>2</b>	<b>1</b>
1. Is dependable (prompt, available for consultation, etc.)					
2. Values supervision and expresses interest in the process					
3. Respects personal, individual differences between supervisor-supervisee					
4. Provides ongoing monitoring and feedback					
5. Works at hearing and understanding supervisee's concerns					
6. Focuses on increasing supervisee awareness of how/when to improve skill					
7. Is self-disclosing, shares own strengths and weaknesses, and makes referrals when necessary					
8. Collaborates with the supervisee to plan and suggest possible alternatives for lessons					
9. Works on establishing a climate of trust					
10. Constructively works toward conflict resolution between self and supervisee					
11. Serves as a consultant in areas where supervisee has less experience					
12. Provides guidance on ideas initiated by supervisee					
13. Recognizes supervisee's clinical strengths					
14. Recognizes supervisee's clinical weaknesses and provides recommendations for growth					
15. Gives continuous and relevant feedback					
16. Uses constructive criticism to enhance supervisee's professional growth					
17. Recognizes and is sensitive to the power differential between the supervisor and supervisee					
18. Provides a balance of relationship with mutual respect and support					
19. Demonstrates awareness of supervisee's professional level					
20. Explores personal background and history, including socio-cultural factors, which may affect the supervisee's work with clients					

<b>My Supervisor:</b>	<b>5</b>	<b>4</b>	<b>3</b>	<b>2</b>	<b>1</b>
21. Monitors and provides guidance regarding ethical and legal issues					
22. Advances supervisee's sensitivity and ability to work effectively with diverse clients					
23. Uses appropriate references, including scholarly materials					
24. Models and encourages a commitment to ongoing professional development					
25. Advances supervisee's ability to work effectively as a member of a professional team					
26. Advances supervisee's ability to develop and utilize therapeutic relationships					
27. Facilitates skill development of conceptualizing clients and treatment planning					
28. Facilitates skill development of effective intervention					
29. Assists supervisee in accurately and clearly articulating his or her approach to clinical practice					
30. Fostered a satisfactory level of clinical independence					

Global Evaluation:

Specific Strengths:

Specific Weaknesses:

Recommendations:

## SECTION XI

### References–Books, Journal Articles & Websites

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### **Voice & Fluency**

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